



New (*) Neutrino Oscillation Results from T2K

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Birmingham Univ., 19/10/2011

(*) *Run1+2 (1.431E+20 protons on target) dataset*



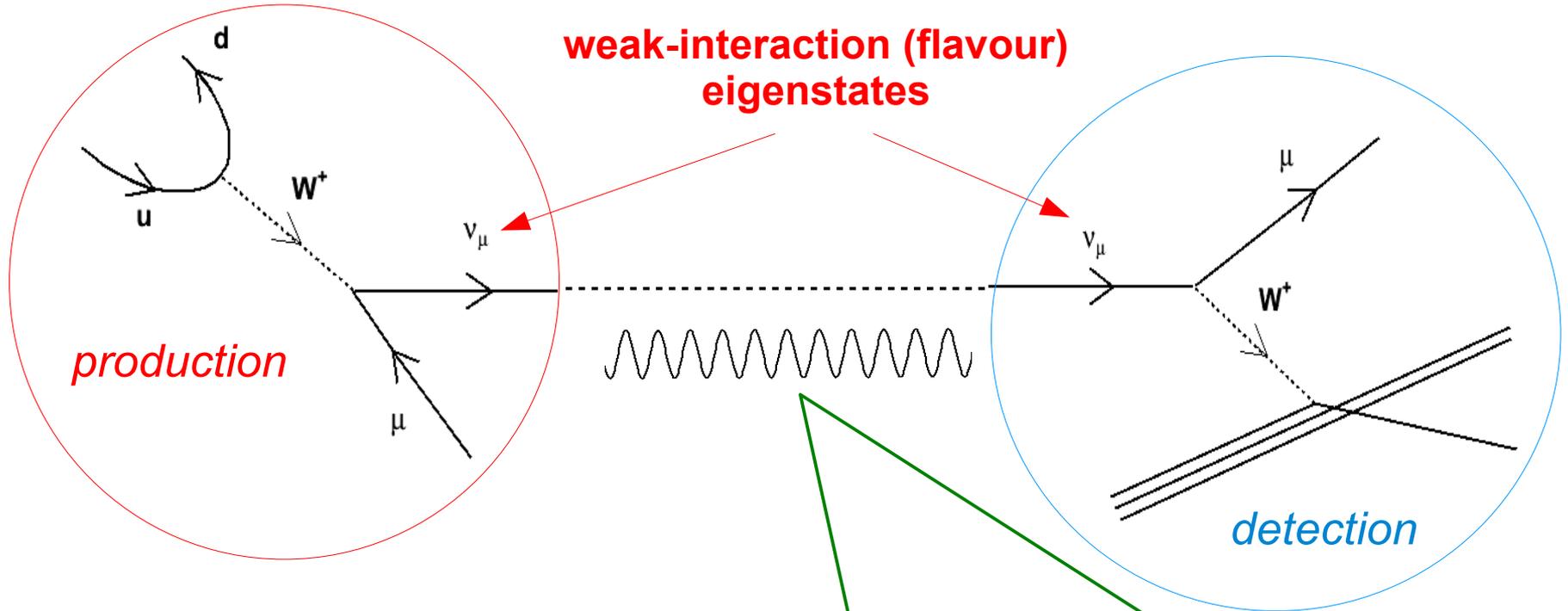
Science & Technology
Facilities Council

Outline

- Neutrino oscillations
- The T2K experimental setup → [arXiv:1106.1238v2](#), accepted for publication by Nucl.Instrum.Meth. A
- Measuring oscillation parameters at T2K
- Data-taking operations (*Physics Runs 1+2, January 2010 – March 2011*)
- Data reduction & Oscillation analysis strategy (2010)
- Electron-neutrino appearance results → [Phys.Rev.Lett.107,041801\(2011\)](#)
- Muon-neutrino disappearance results → [Phys.Rev.Lett. in preparation](#)
- Summary



Neutrino Oscillations



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

mass-eigenstates

propagation described by plane waves

$$|\nu_i(L)\rangle = e^{-im_i^2 L/2E} |\nu_i(0)\rangle$$

Neutrino oscillation ($\nu_\alpha \rightarrow \nu_\beta$) probability

Depends on:

Mixing matrix elements
(determined experimentally)

Squared neutrino mass splittings
(determined experimentally)

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin^2\left(\frac{\Delta m_{ij}^2 L}{4E}\right) + 2 \sum_{i>j} \text{Im}[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin\left(\frac{\Delta m_{ij}^2 L}{2E}\right)$$

Sensitivity to oscillations
by matching the L / E (baseline to energy) ratio
to a particular Δm^2



What do we measure in neutrino oscillation experiments?

- With 3 neutrinos, **any 2** squared mass splittings Δm^2
- 3 mixing angles, θ_{12} , θ_{23} , θ_{13}
- 1 CP violating phase δ

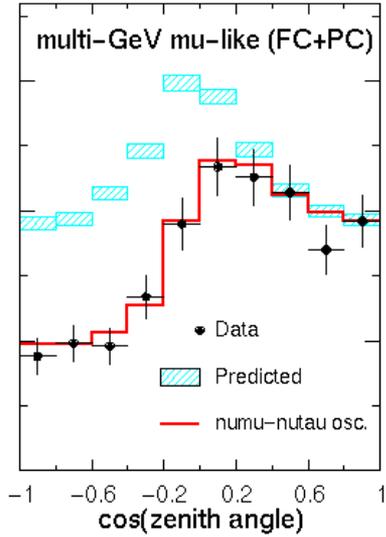
“23” sector probed mainly by atmospheric and LBL accelerator expts
“13” sector probed mainly by SBL reactor (not δ) and LBL accelerator expts
“12” sector probed mainly by LBL reactor and solar expts
Majorana phases

$$U = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix} \\
 = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

1997-2010

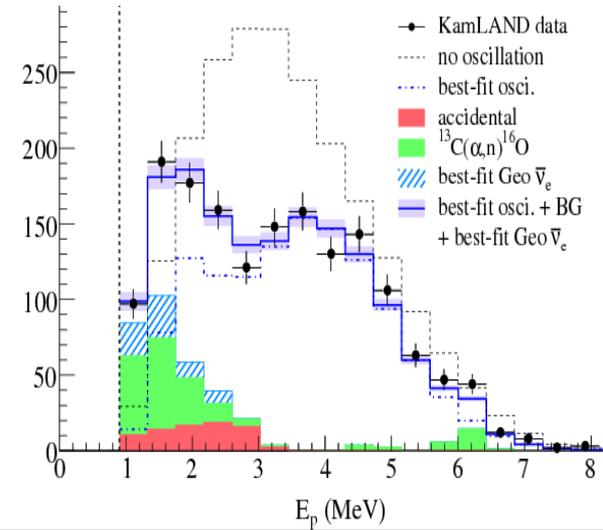
First age of neutrino-mixing exploration

... the atmosphere (*SuperK, Soudan, ...*)

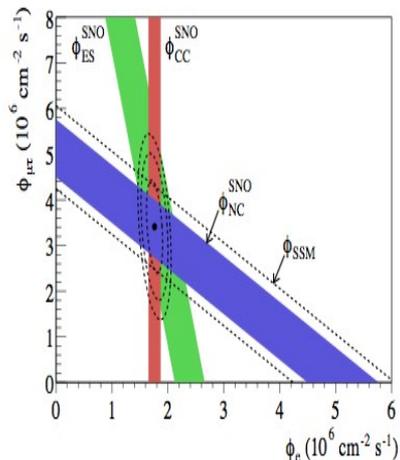


Neutrino oscillations now firmly established studying neutrinos from ...

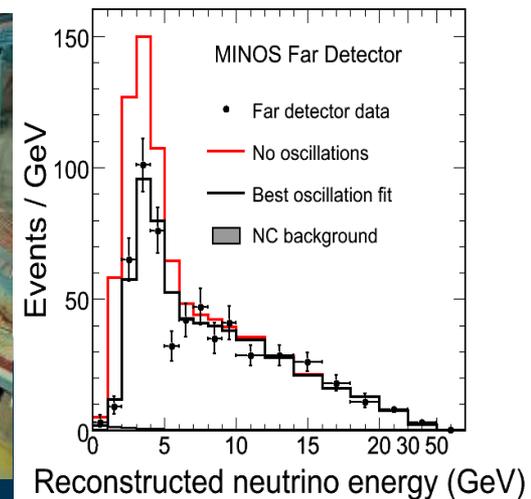
... nuclear reactors (*KamLAND, ...*)



... the Sun (*SNO, SuperK, ...*)

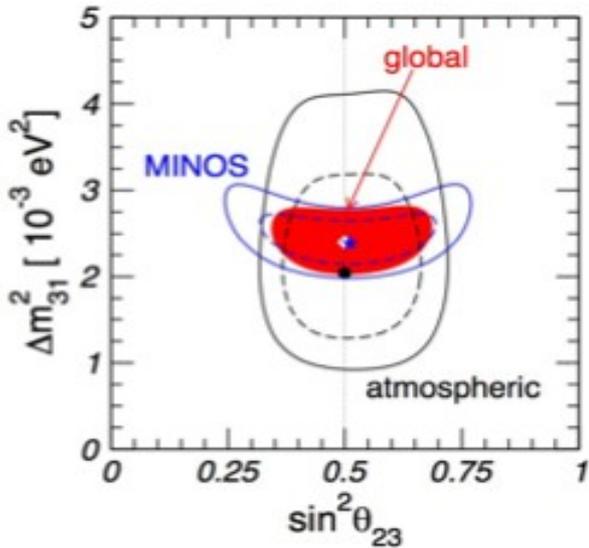


... accelerators (*K2K, MINOS, ...*)

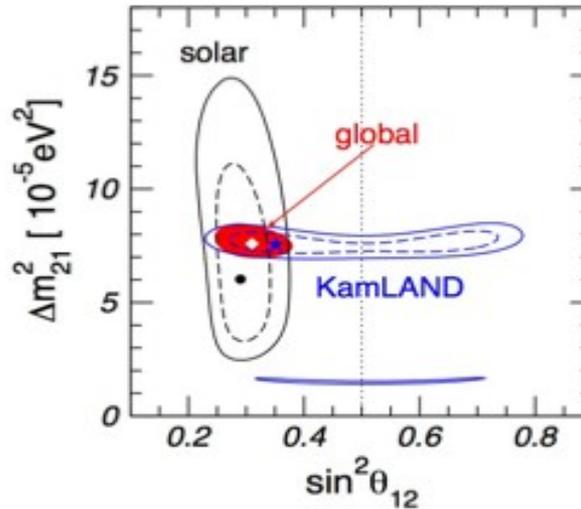


Results from the first age of neutrino-mixing exploration

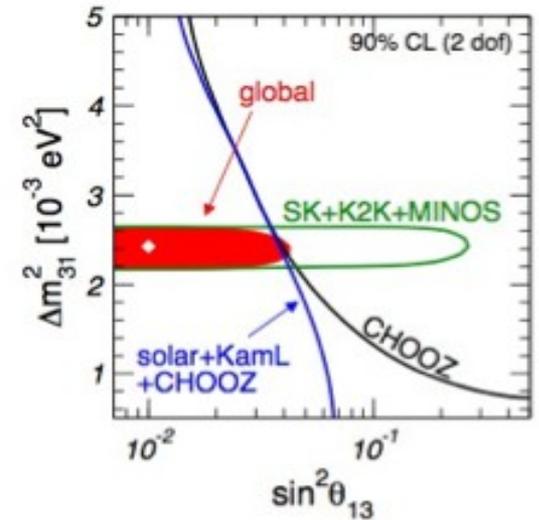
“23” : LBL accelerator & atmospheric



“12” : LBL reactor & solar



“13” : LBL accelerator & SBL reactor

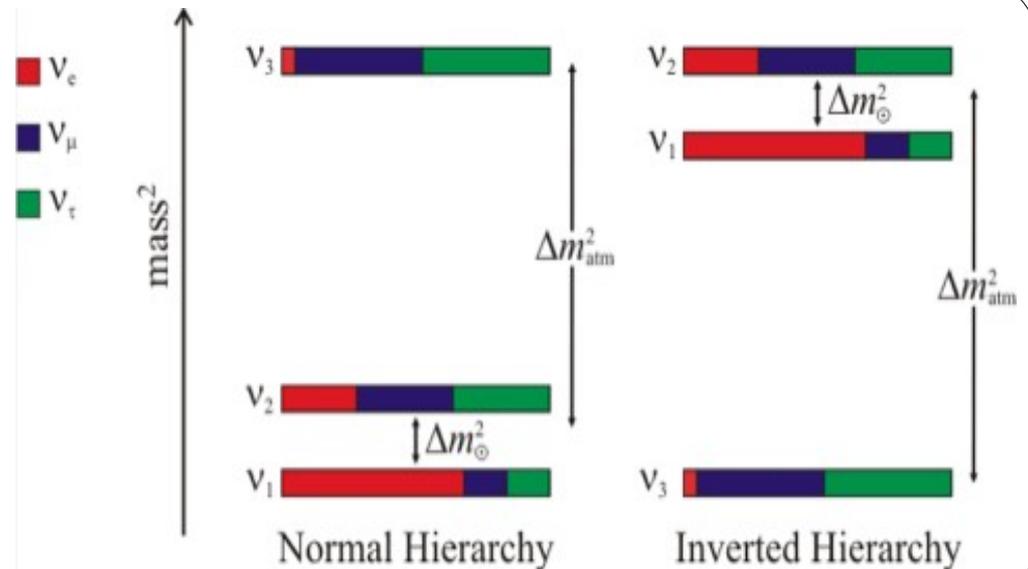


	parameter	best fit	
(solar)	Δm_{21}^2 [10^{-5}eV^2]	$7.59^{+0.23}_{-0.18}$	~ 3%
(atmospheric)	$ \Delta m_{31}^2 $ [10^{-3}eV^2]	$2.40^{+0.12}_{-0.11}$	~ 4%
	$\sin^2 \theta_{12}$	$0.318^{+0.019}_{-0.016}$	~ 6%
	$\sin^2 \theta_{23}$	$0.50^{+0.07}_{-0.06}$	~ 14%

Next big questions in neutrino physics...

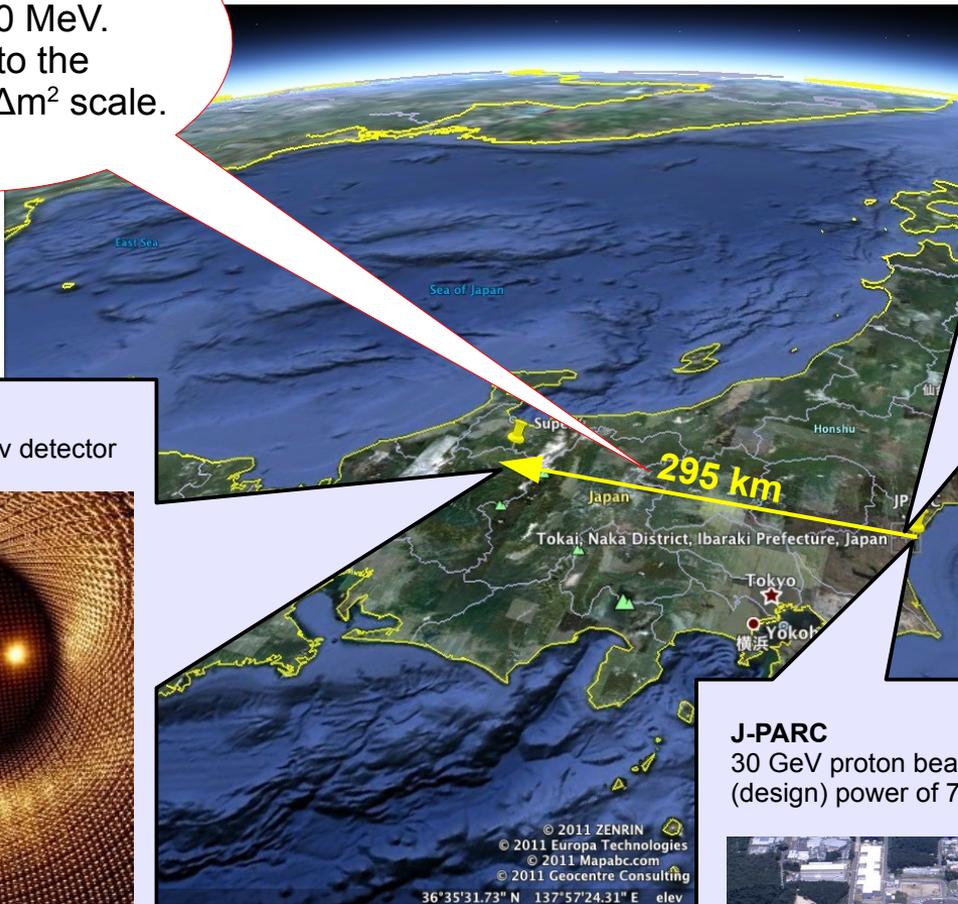
- θ_{13} non-zero?
- θ_{23} maximal?
- CP violation in the neutrino sector?
- Mass hierarchy?
- *Dirac or Majorana?*
- *Absolute mass scale?*

T2K

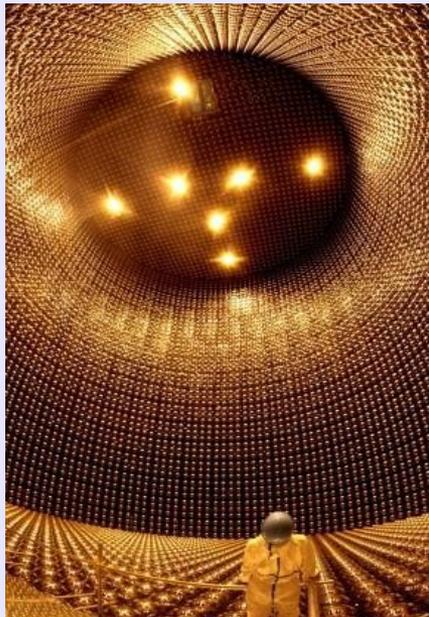


T2K Experiment Overview

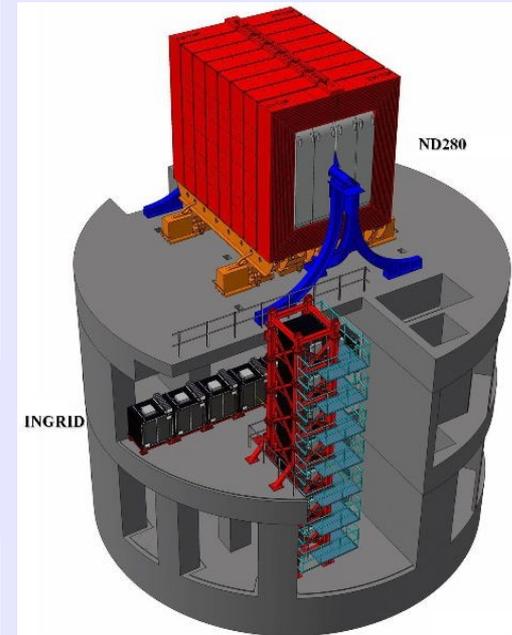
Almost pure ν_μ beam
Peak at 600 MeV.
L/E tuned to the
'atmospheric' Δm^2 scale.



Super-Kamiokande
50 kton water-Cherenkov detector



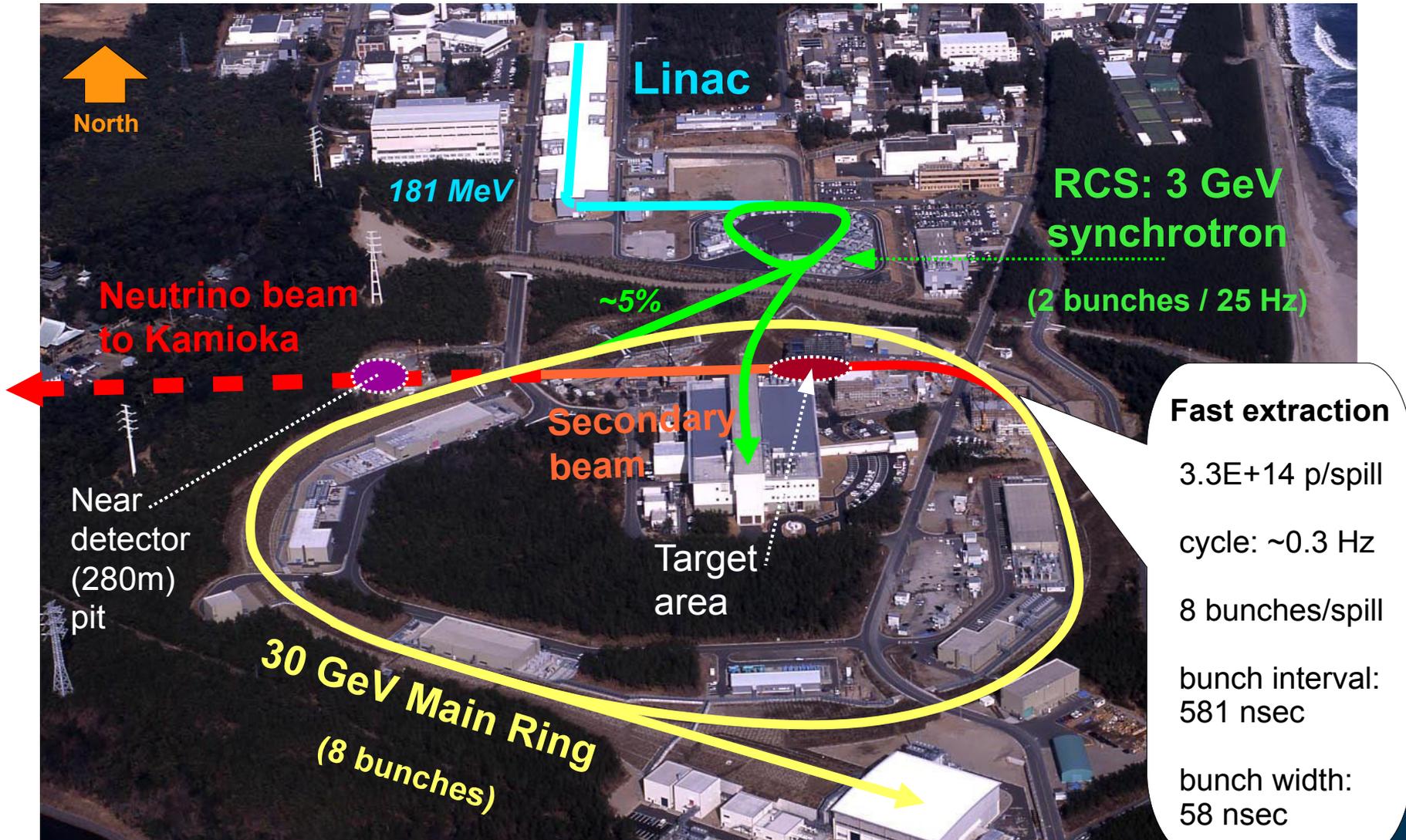
280m detector suite



J-PARC
30 GeV proton beam
(design) power of 750 kW



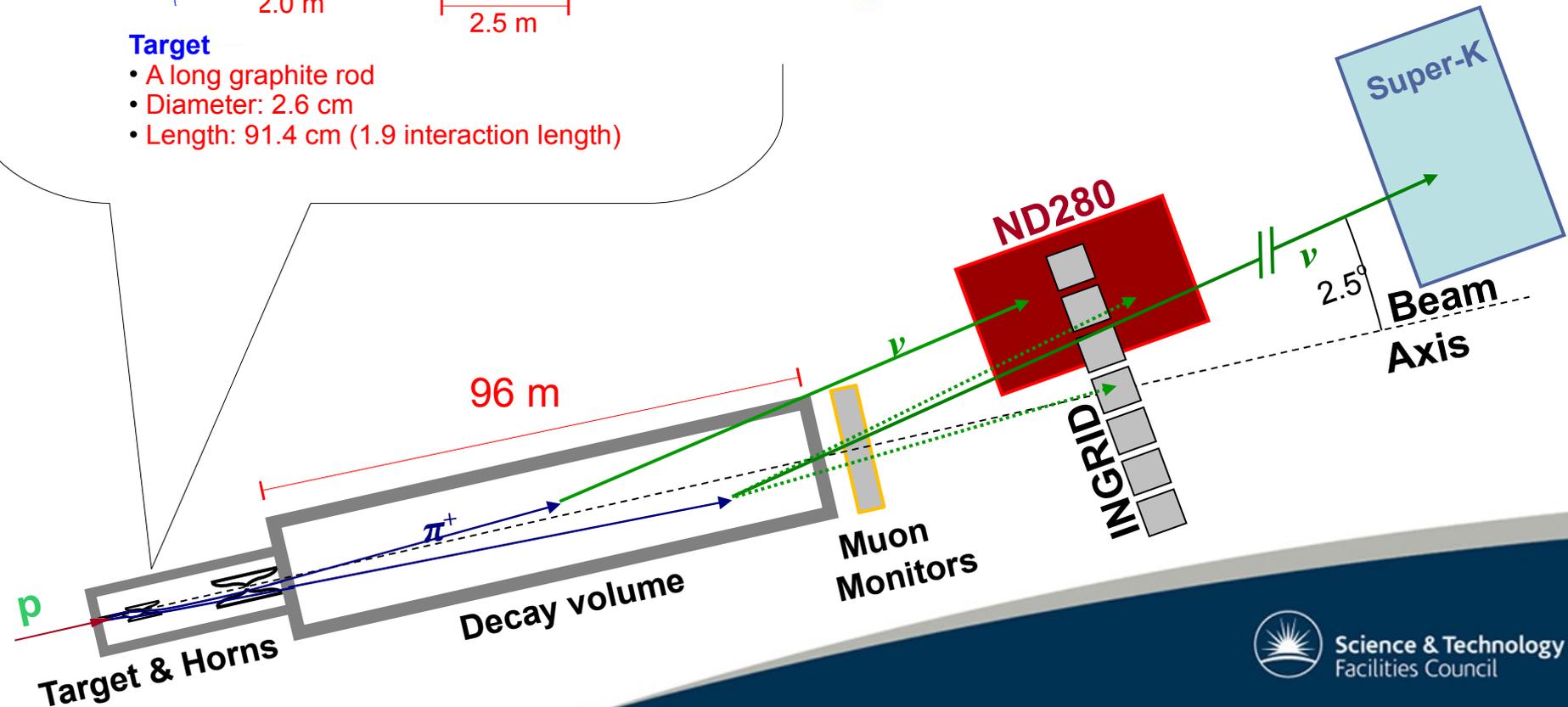
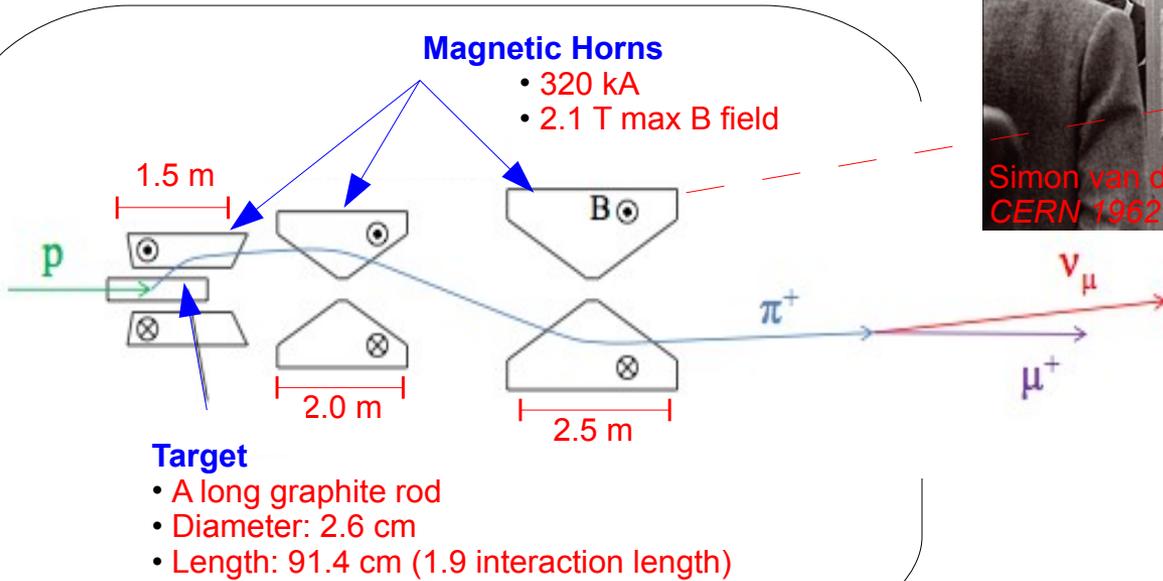
J-PARC facility (KEK / JAEA)



The neutrino beam-line



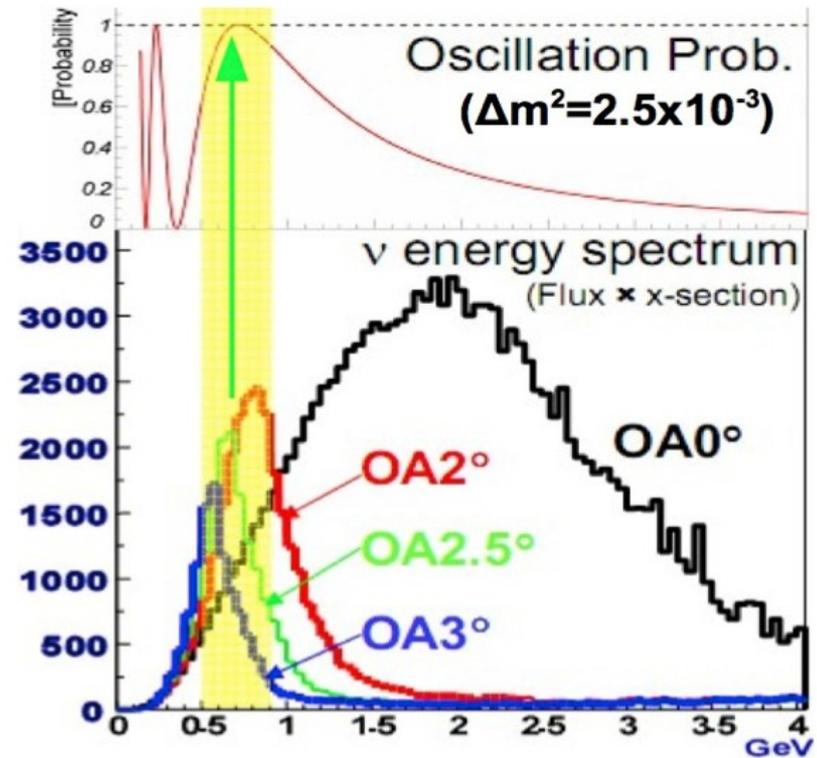
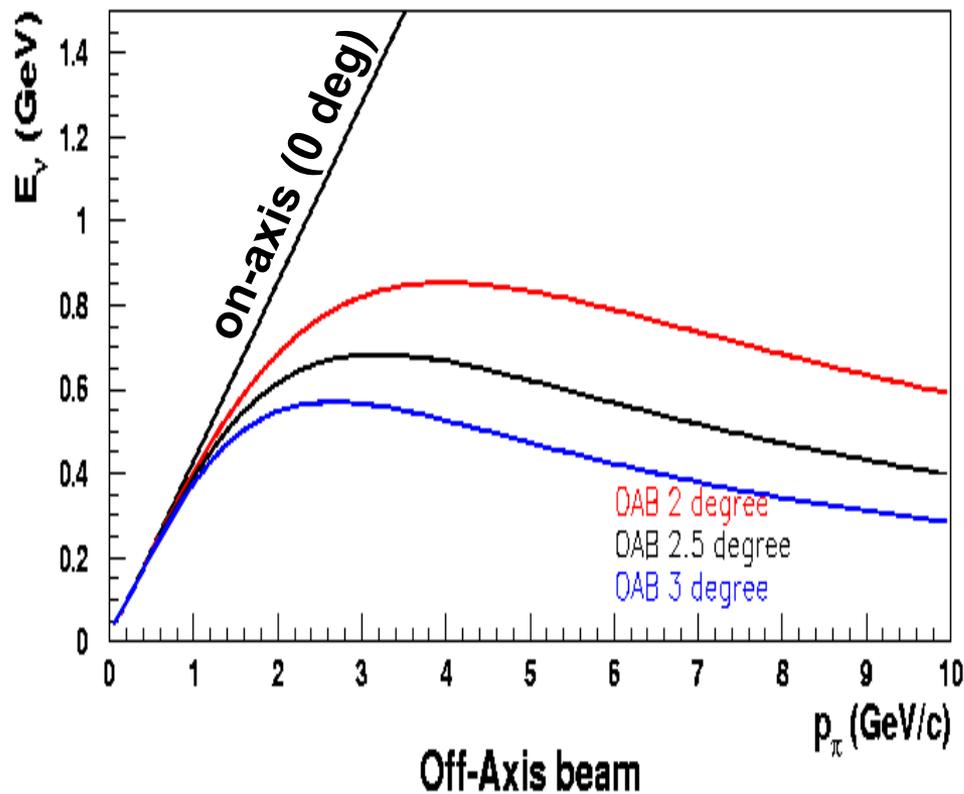
Simon van der Meer (1925-2011)
CERN 1962



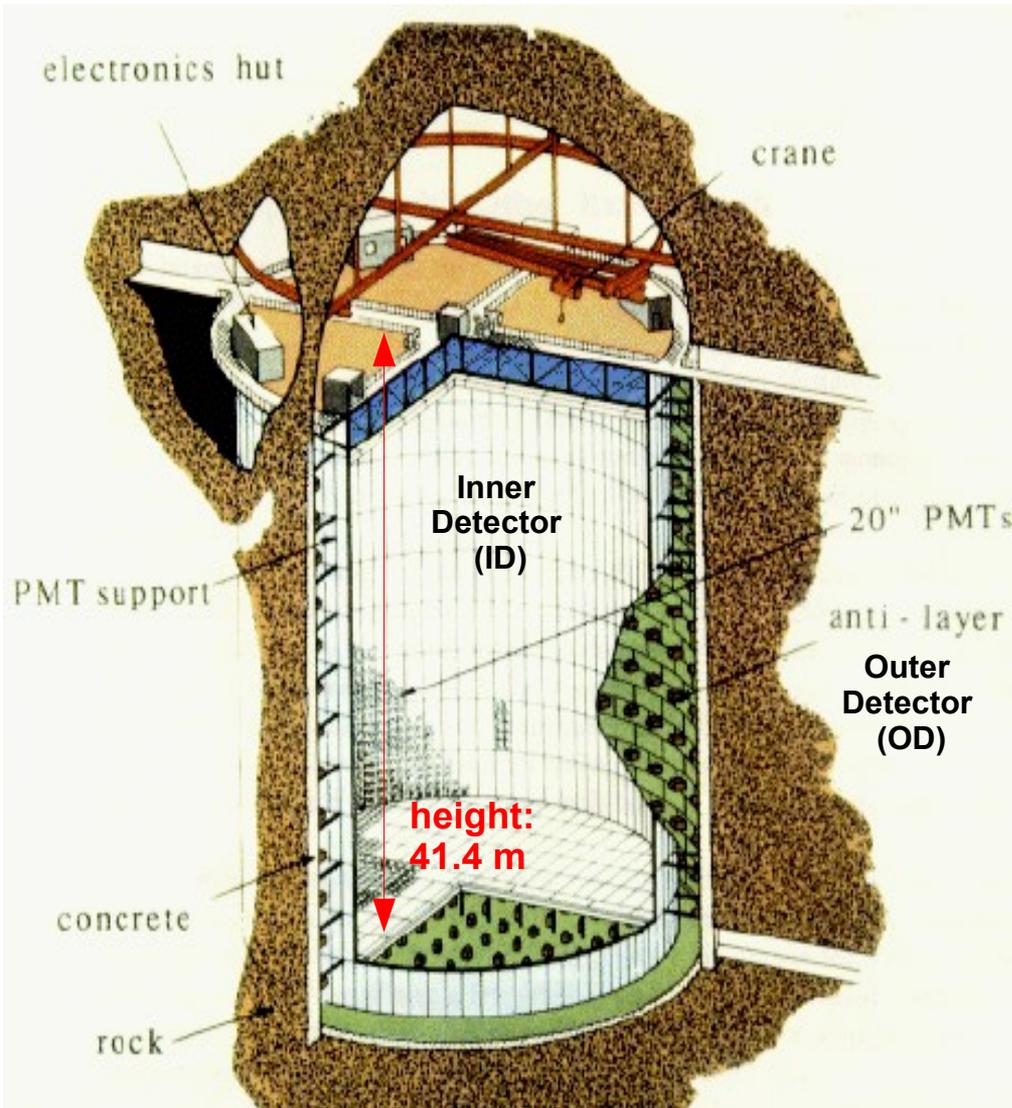
The 'off-axis' trick

T2K is first accelerator neutrino experiment employing the 'off-axis' trick.

Exploit kinematical properties of pion decay to create a narrow neutrino beam peaked at a particular energy (chosen to maximise oscillation probability at the SuperK location)



Super-K (IV)



50 kt Water Cherenkov detector
(**22.5 kt** fiducial mass)

Overburden (shielding): **2700 mwe**

Inner detector: 11,129 20" PMTs
(40% photo-cathode coverage)

Outer detector: 1,885 8" PMTs

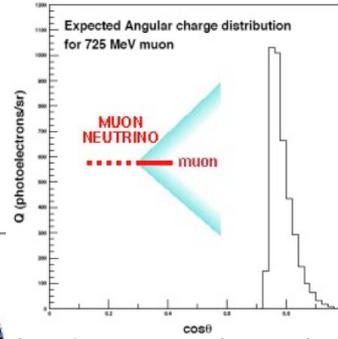
DAQ: No dead-time

Energy threshold: ~ 4.5 MeV

Water Cherenkov imaging

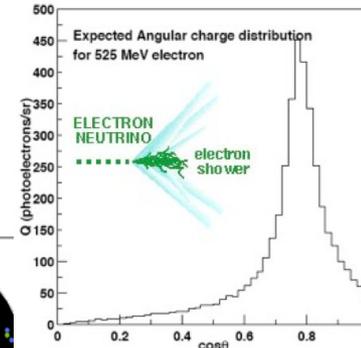
ν_{μ} CC

“CRISP”



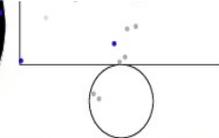
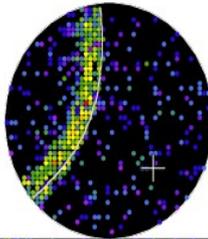
ν_e CC

“FUZZY”



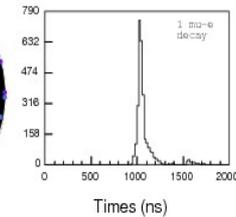
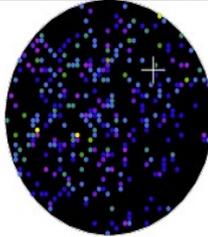
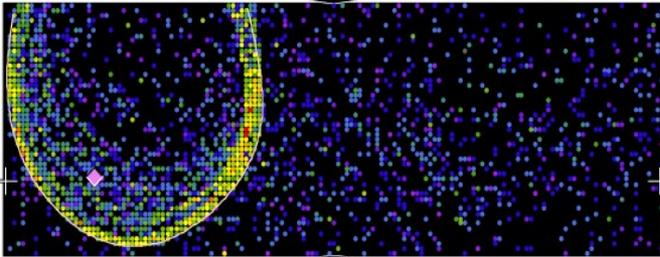
Super-Kamiokande IV

T2K Beam Run 0 Spill 952106
Run 66831 Sub 410 Event 96851432
10-05-18:18:33:08
T2K beam dt = 1879.5 ns
Inner: 2949 hits, 8030 pe
Outer: 3 hits, 2 pe
Trigger: 0x80000007
D_wall: 789.7 cm
mu-like, p = 1024.6 MeV/c



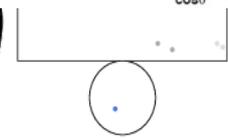
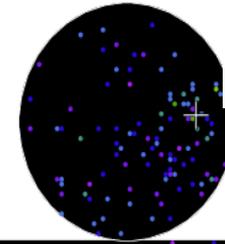
Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2-8.0
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



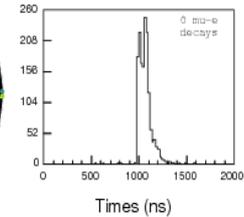
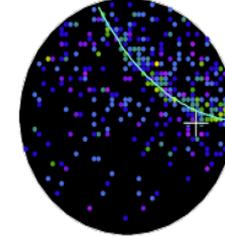
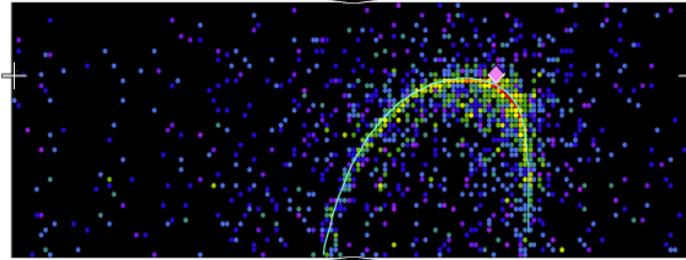
Super-Kamiokande IV

T2K Beam Run 0 Spill 822275
Run 66778 Sub 585 Event 134229437
10-05-12:21:03:22
T2K beam dt = 1902.2 ns
Inner: 1600 hits, 3681 pe
Outer: 2 hits, 2 pe
Trigger: 0x80000007
D_wall: 614.4 cm
e-like, p = 377.6 MeV/c



Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2-8.0
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



First T2K neutrino event at SuperK

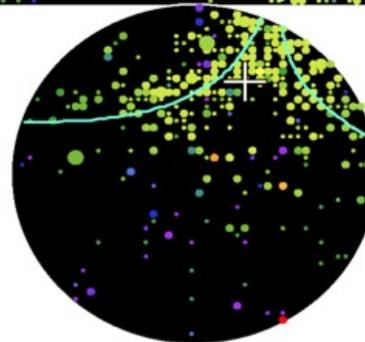
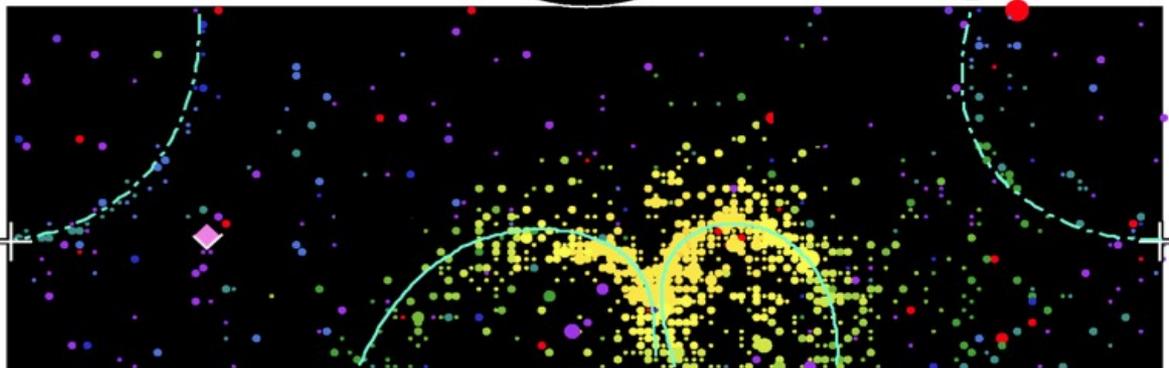
Super-Kamiokande IV

T2K Beam Run 0 Spill 1143942
Run 66498 Sub 160 Event 37004533
10-02-24:06:00:06
T2K beam dt = 2362.3 ns
Inner: 1265 hits, 2344 pe
Outer: 2 hits, 1 pe
Trigger: 0x80000007
D_wall: 650.8 cm

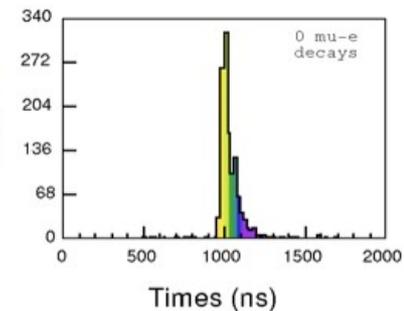
06:00 JST, Feb. 24, 2010

Time (ns)

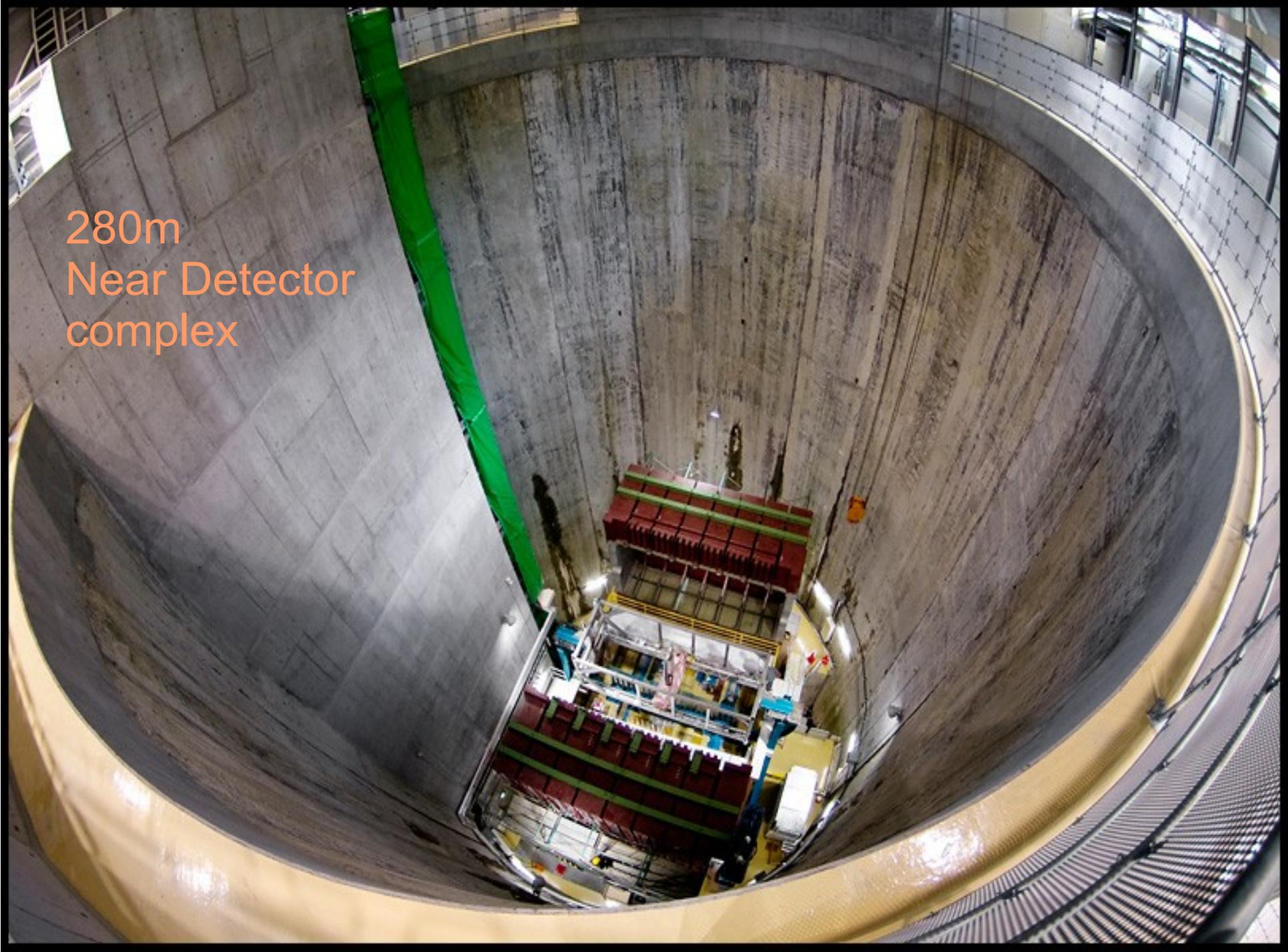
- < 921
- 921- 935
- 935- 949
- 949- 963
- 963- 977
- 977- 991
- 991-1005
- 1005-1019
- 1019-1033
- 1033-1047
- 1047-1061
- 1061-1075
- 1075-1089
- 1089-1103
- 1103-1117
- >1117



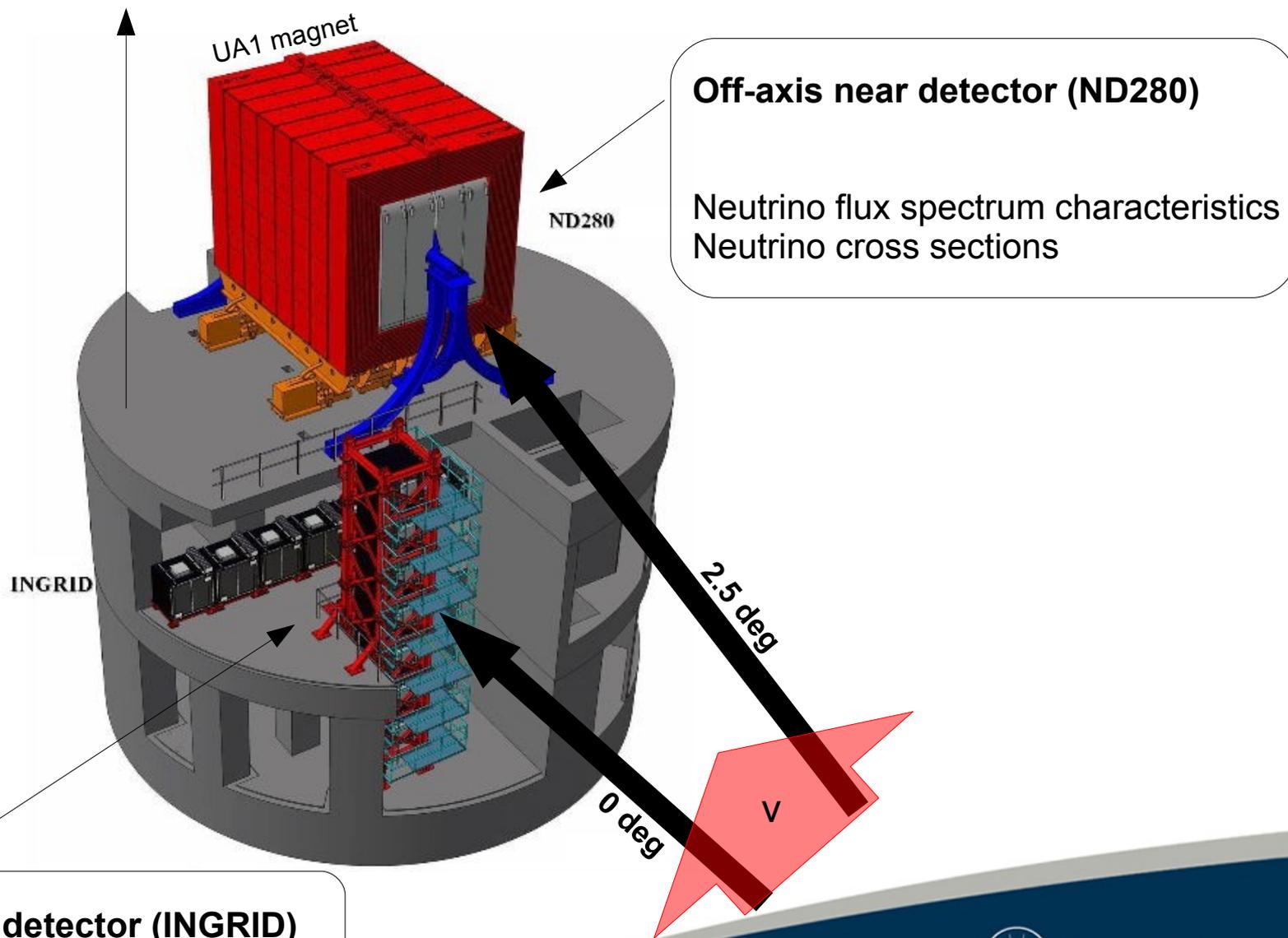
[1st ring + 2nd ring]
Invariant mass: 133.8 MeV/c²
(close to π^0 mass)
Momentum: 148.3 MeV/c



280m
Near Detector
complex



280m Near Detector complex



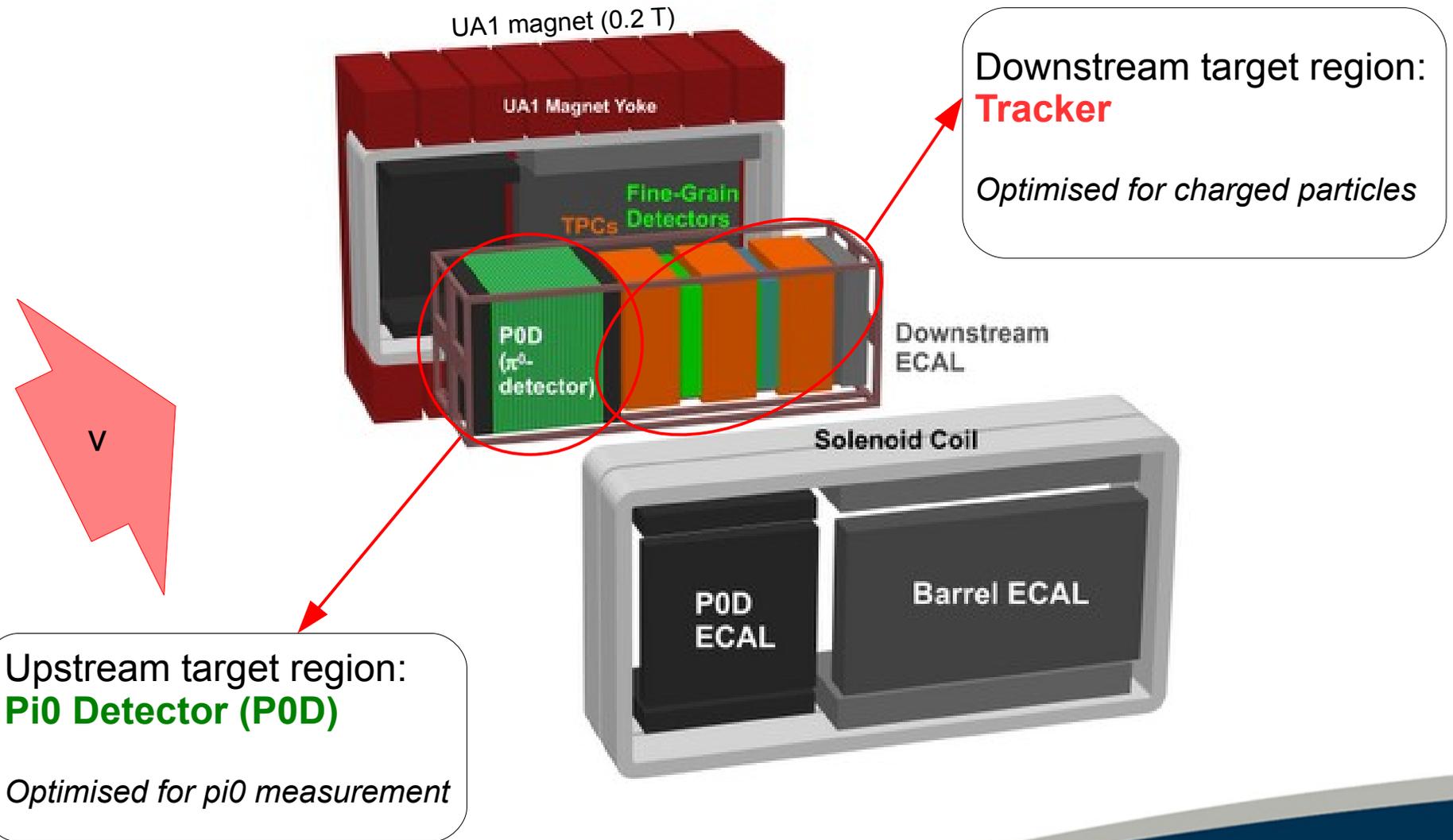
Off-axis near detector (ND280)

Neutrino flux spectrum characteristics
Neutrino cross sections

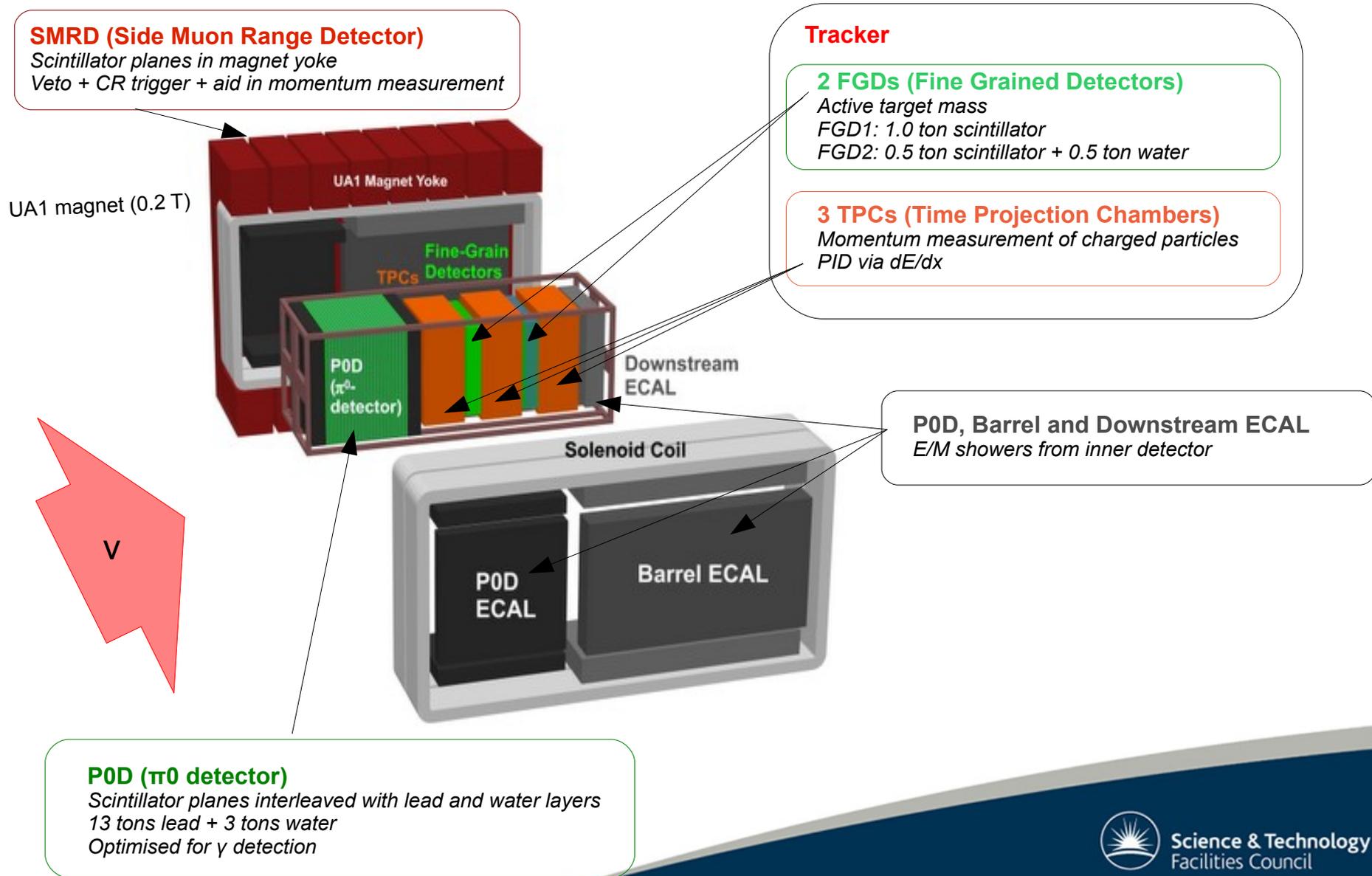
On-axis near detector (INGRID)
Monitor neutrino beam direction



Off-axis near detector (ND280)

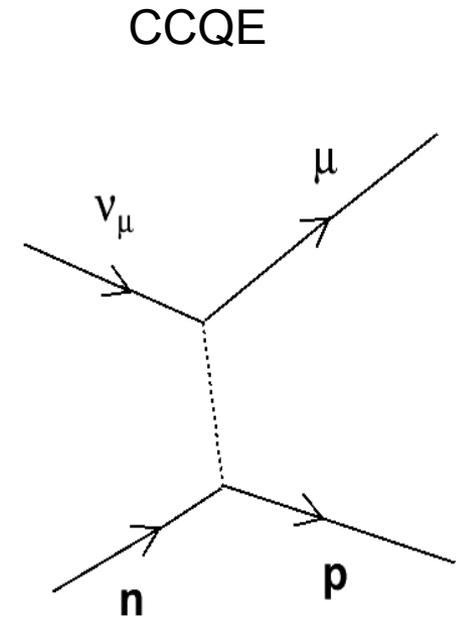
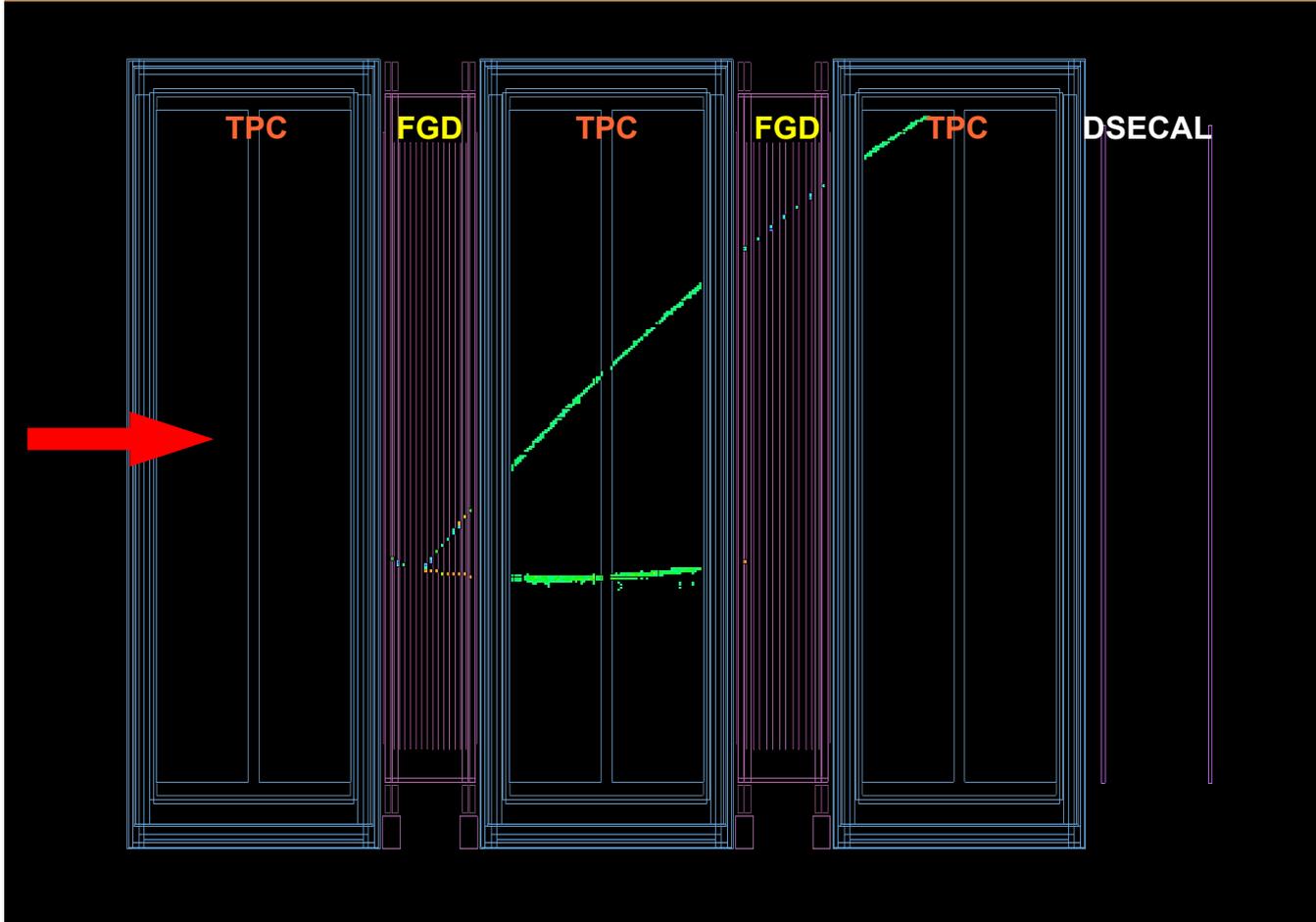


Off-axis near detector (ND280)



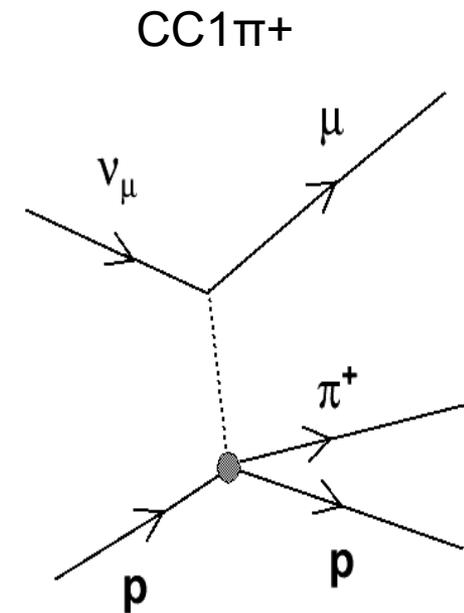
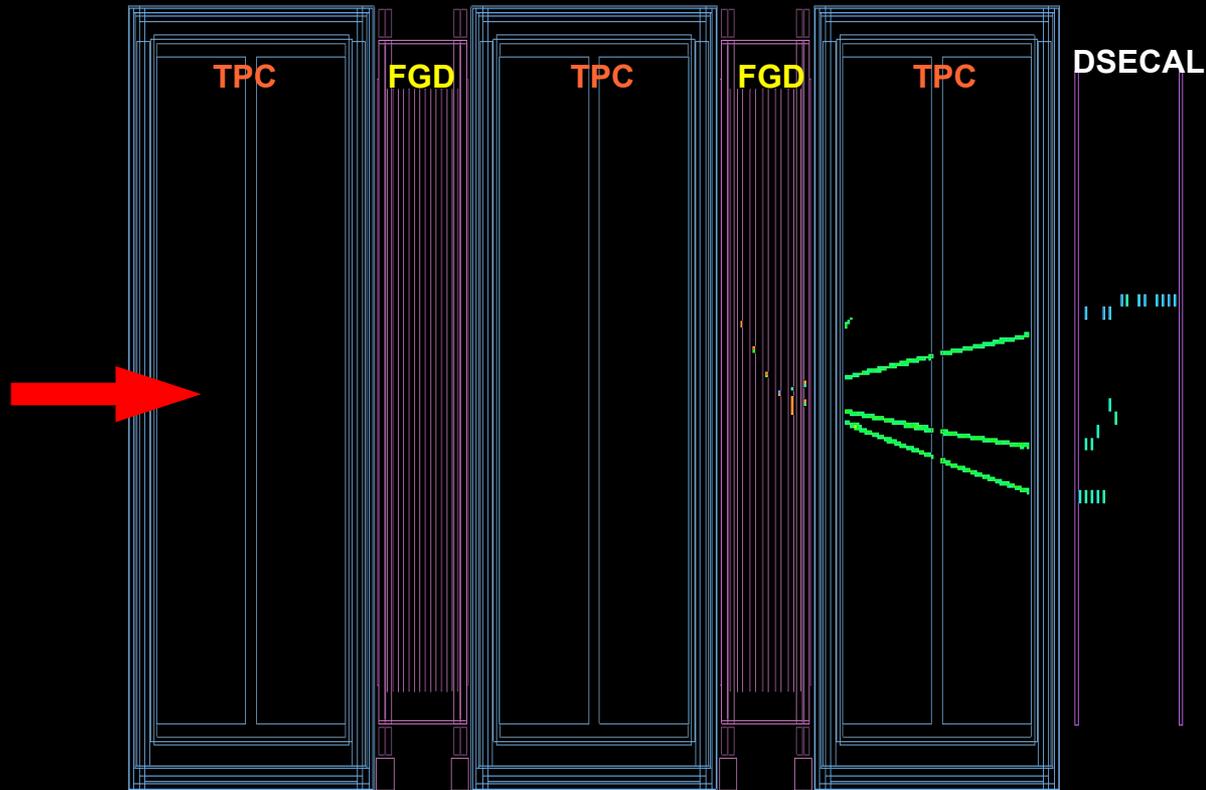
ND280 off-axis detector event (in the Tracker)

Event number : 24083 | Partition : 63 | Run number : 4200 | Spill : 0 | SubRun number : 6 | Time : Sun 2010-03-21 22:33:25 JST | Trigger: Beam Spill



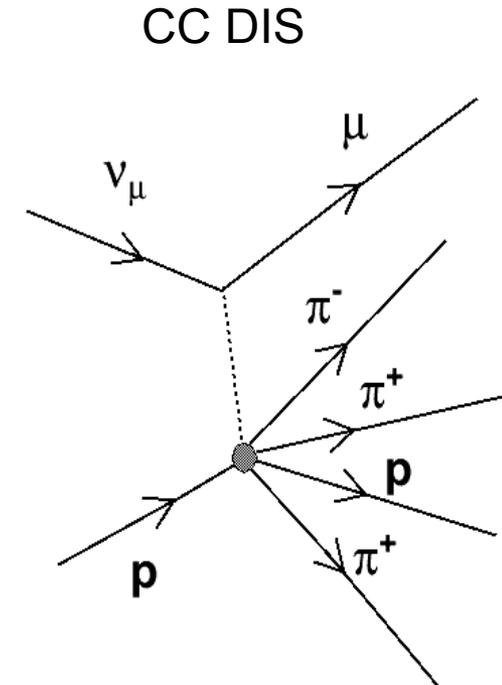
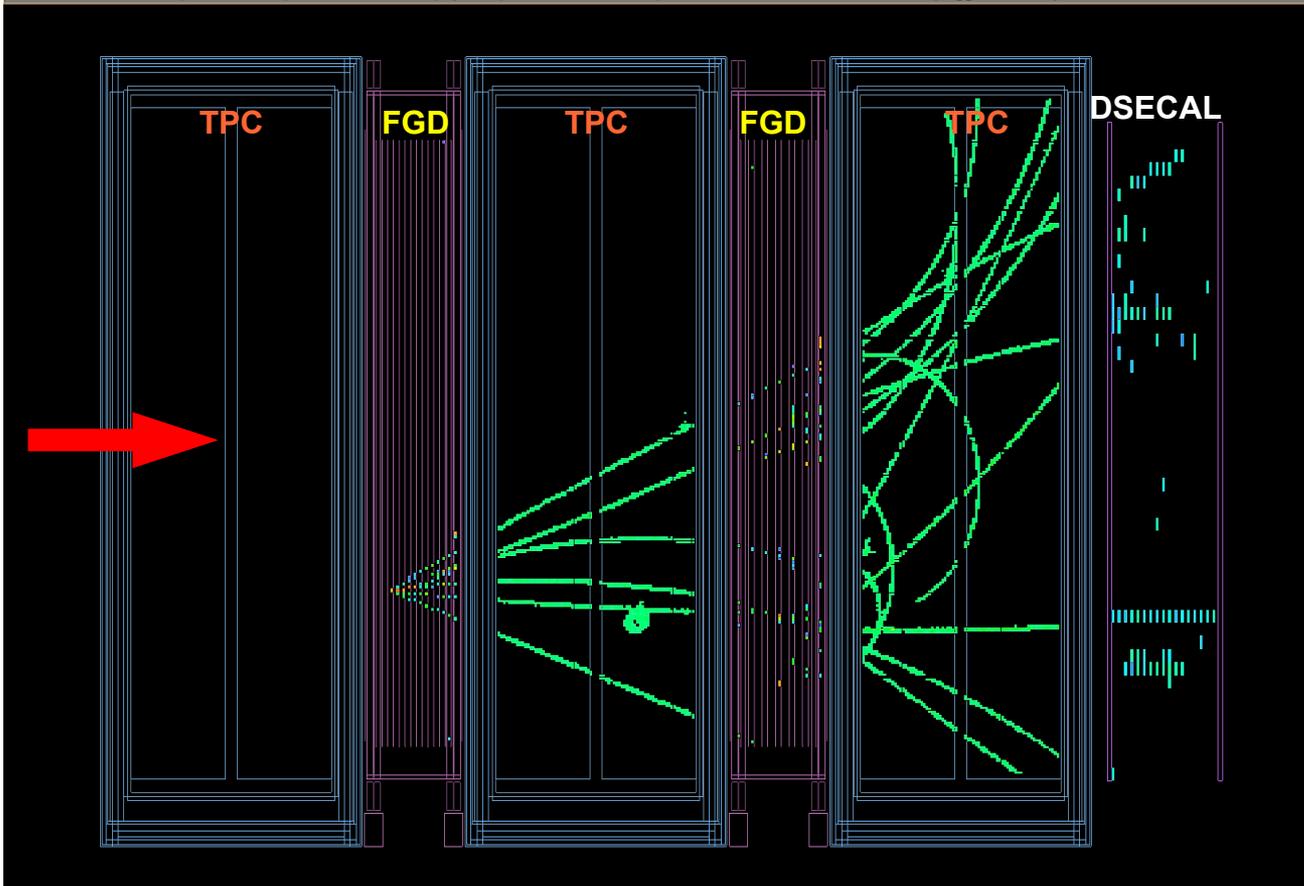
ND280 off-axis detector event (in the Tracker)

Event number : 30308 | Partition : 63 | Run number : 4200 | Spill : 0 | SubRun number : 7 | Time : Sun 2010-03-21 23:41:39 JST | Trigger: Beam Spill



ND280 off-axis detector event (in the Tracker)

Event number : 110284 | Partition : 63 | Run number : 4200 | Spill : 0 | SubRun number : 25 | Time : Mon 2010-03-22 14:06:35 JST | Trigger: Beam Spill

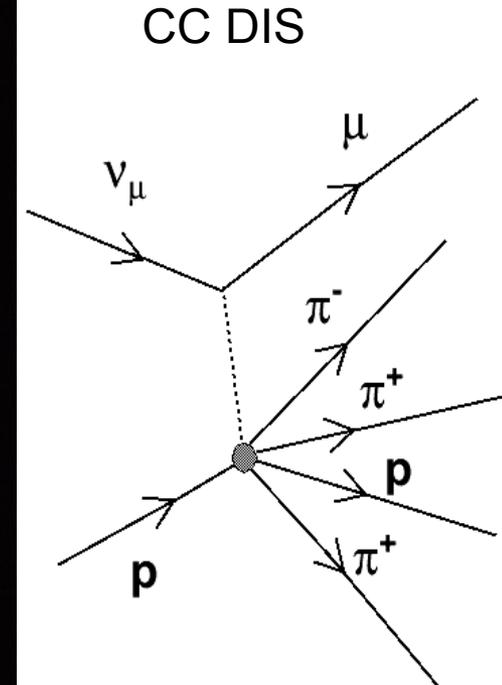
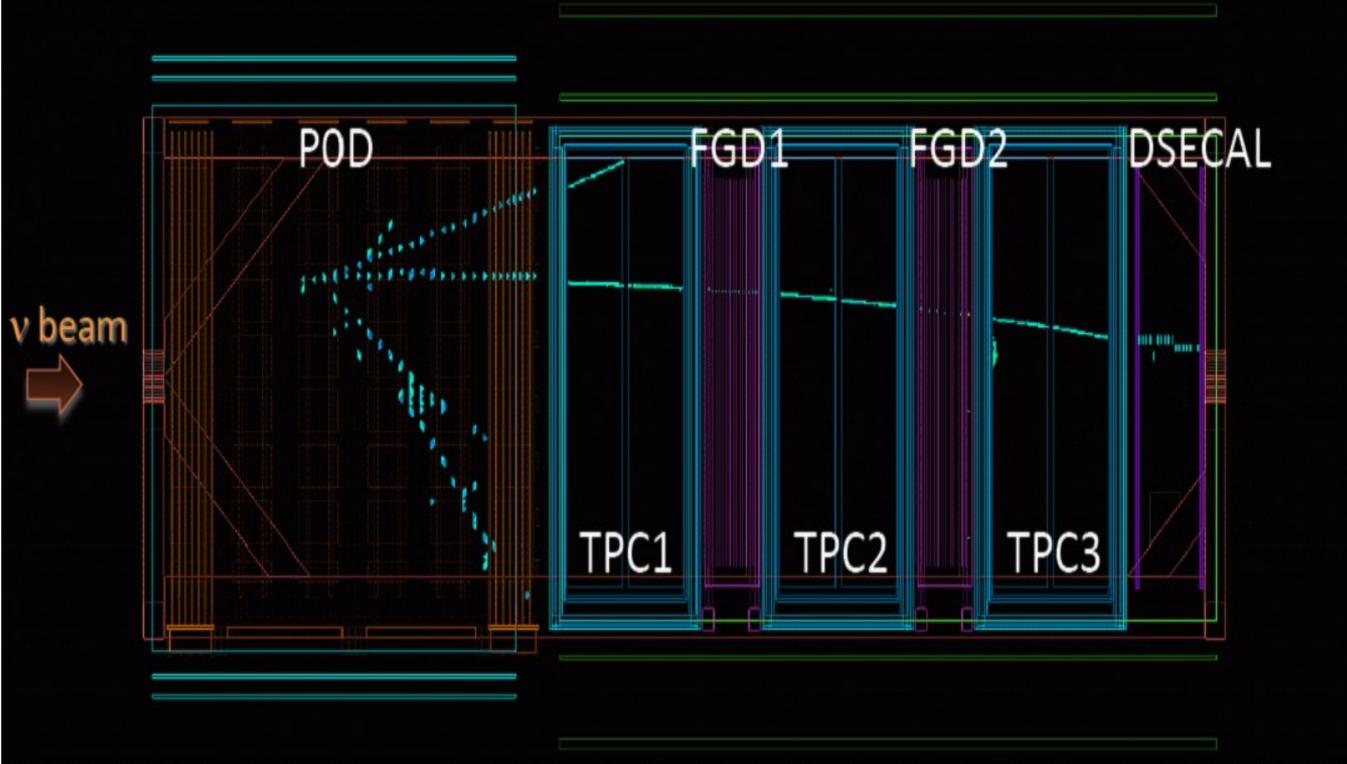


ND280 off-axis detector event (in the P0D)

Event number : 1609 | Partition : 63 | Run number : 2593 | Spill : 7205 | SubRun number : INVALID | Time : Fri 2010-02-05 01:57:45 JST

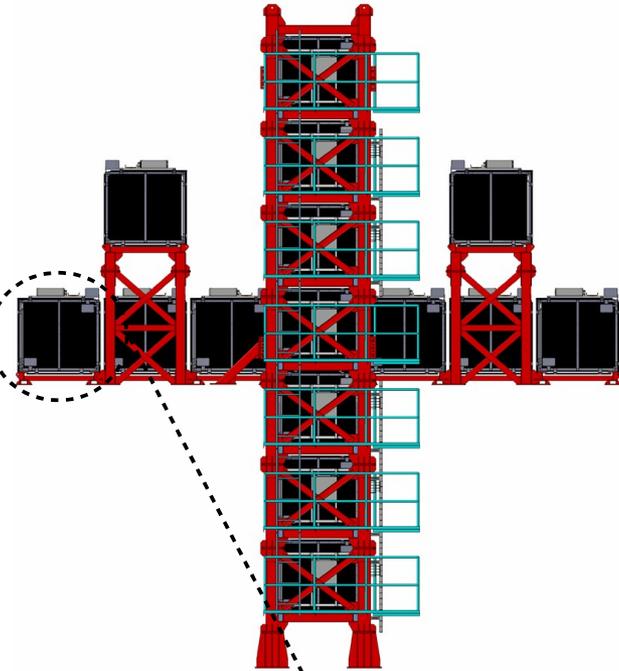
Magnet on (0.188 T)

01:57 JST, Feb. 5, 2010



On-axis near detector (INGRID)

- 10 m x 10 m beam coverage
- ~700 neutrino interactions day at 50 kW
- Monitor neutrino beam direction
 - *Off-axis angle precision goal < 1 mrad*
 - *1 mrad → 2% SuperK flux change at peak energy*

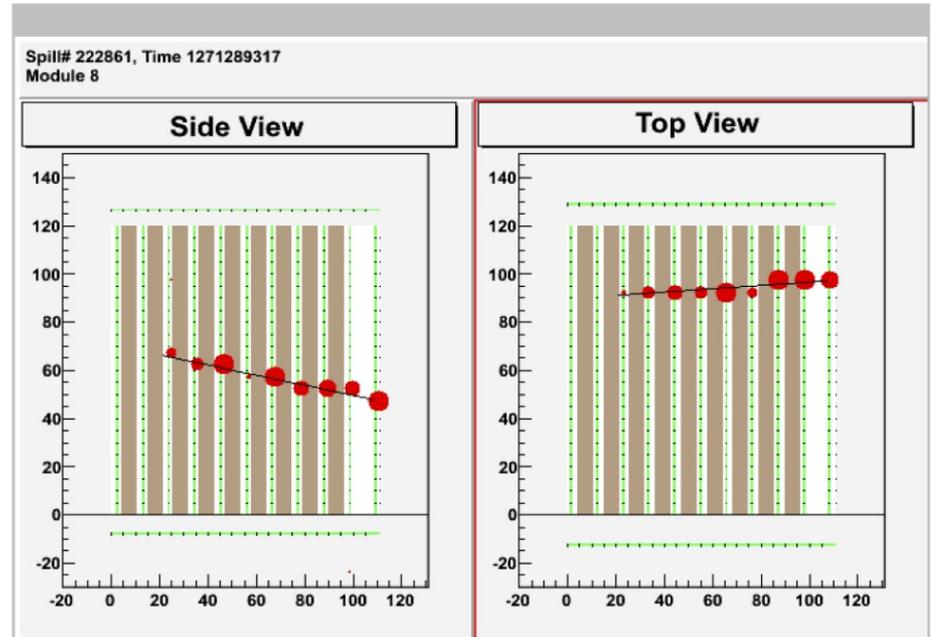
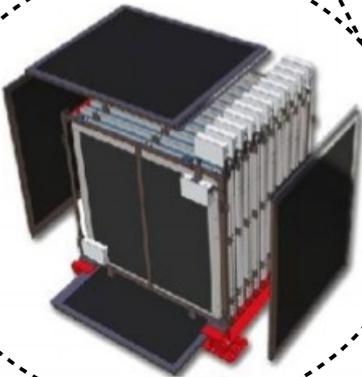


16 modules:

- 7 horizontal
- 7 vertical
- 2 off-cross

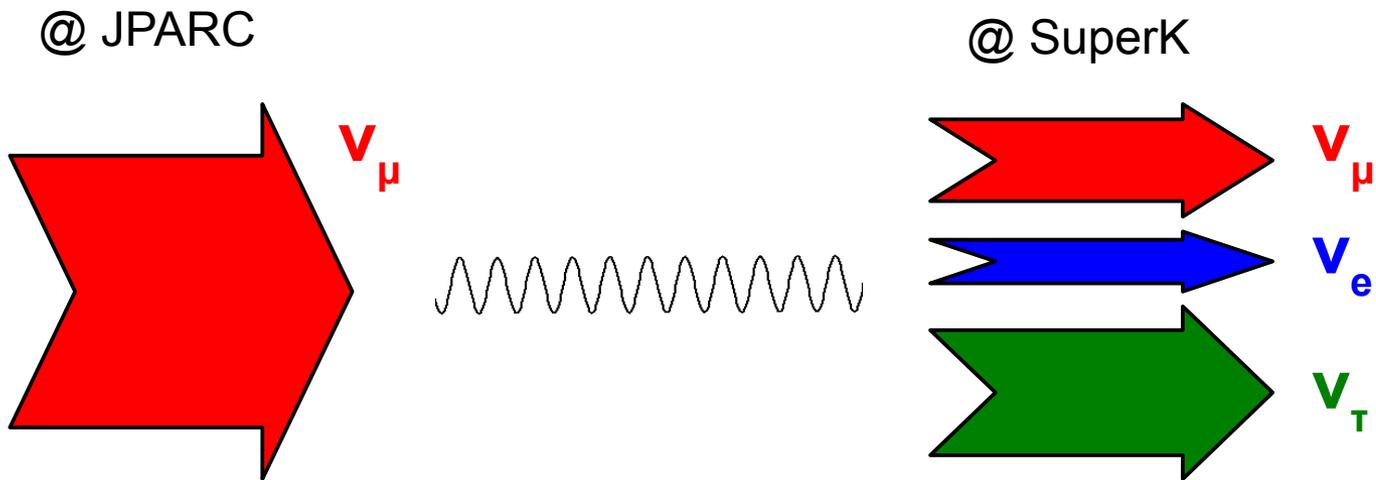
Each module:

7 tons - alternating scintillator / iron planes

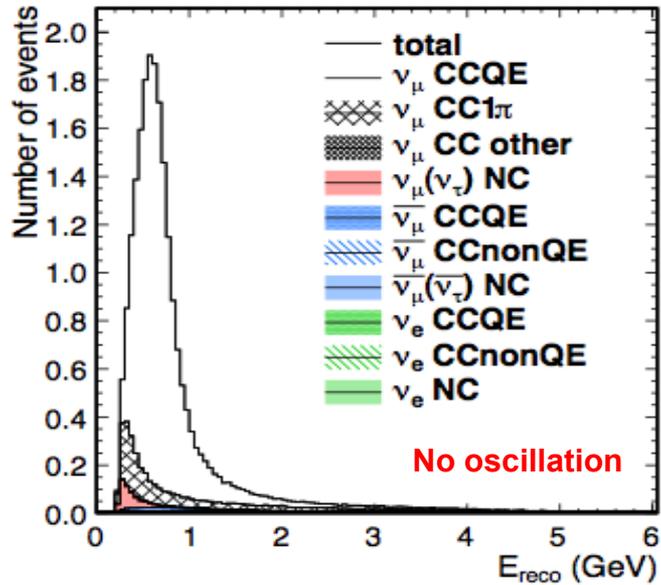


Measuring oscillation parameters at T2K

- The ' ν_μ disappearance' channel
- The ' ν_e appearance' channel

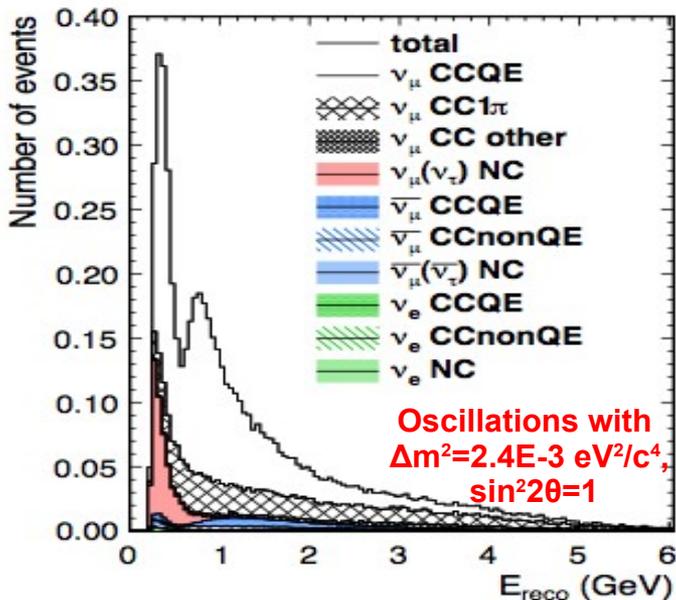
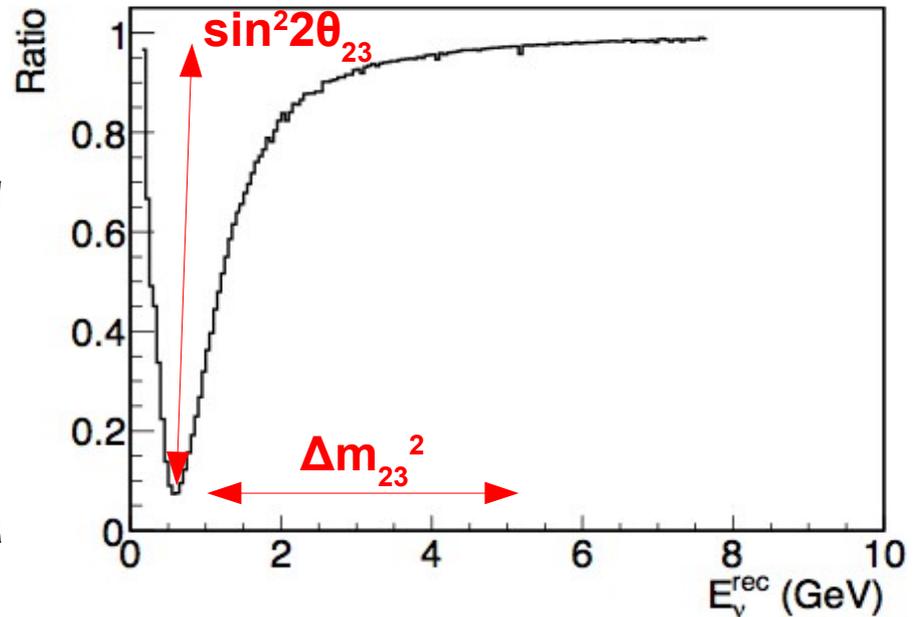


Disappearance channel: Measuring $\sin^2 2\theta_{23}$ and Δm_{23}^2



Looking for:

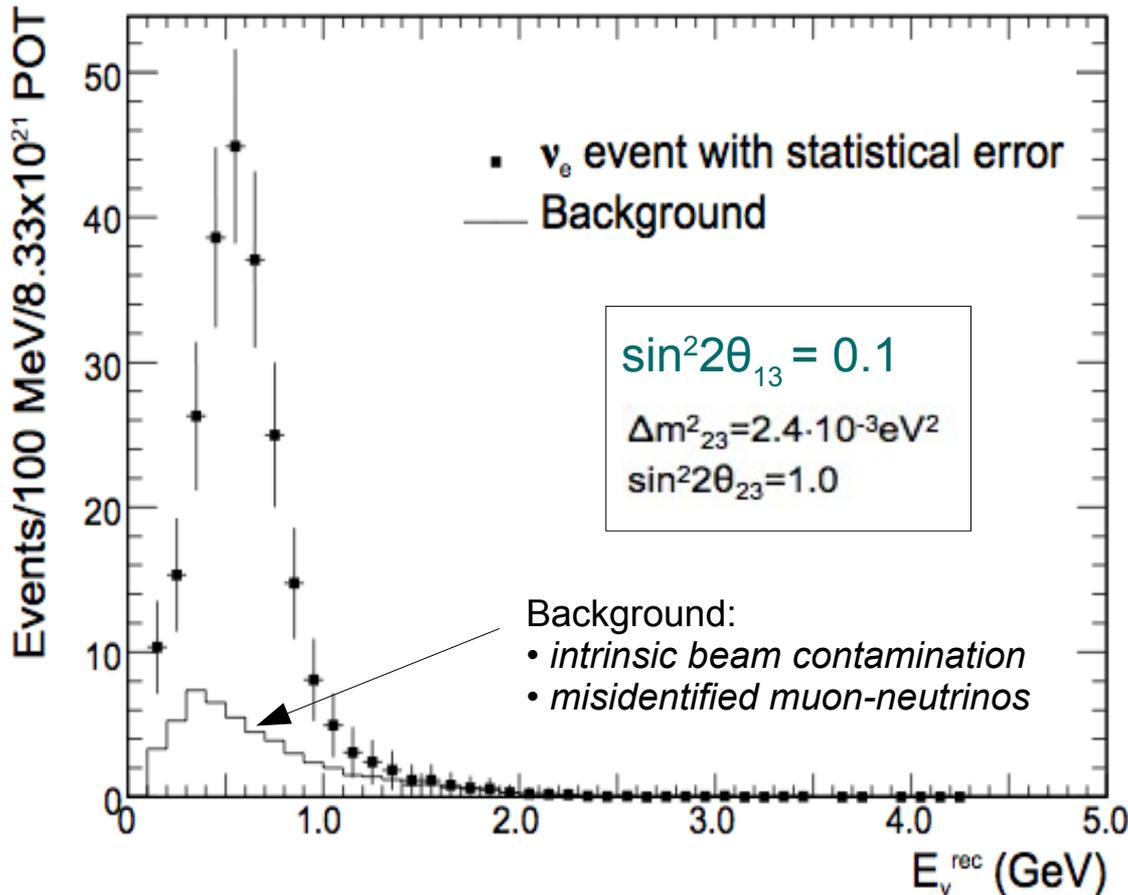
Energy dependent depletion of muon-like events



$$P(\nu_{\mu} \rightarrow \nu_{\mu}) \approx 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{\Delta m_{32}^2 L}{4E_{\nu}} \right)$$

Appearance channel: Measuring $\sin^2 2\theta_{13}$

$$P(\nu_{\mu} \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \cdot \sin^2 \theta_{23} \cdot \sin^2 \left(1.27 \Delta m_{13}^2 \frac{L}{E} \right)$$



Looking for:

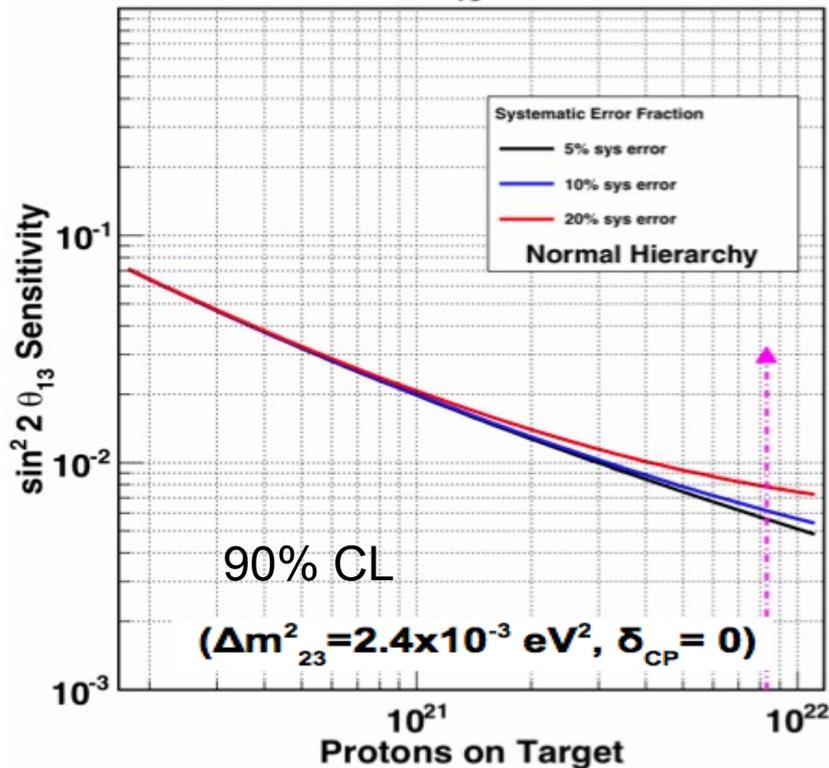
Energy-dependent
excess of
electron-like events



T2K ultimate (5 yrs x 750 kW) sensitivity

ν_e appearance:

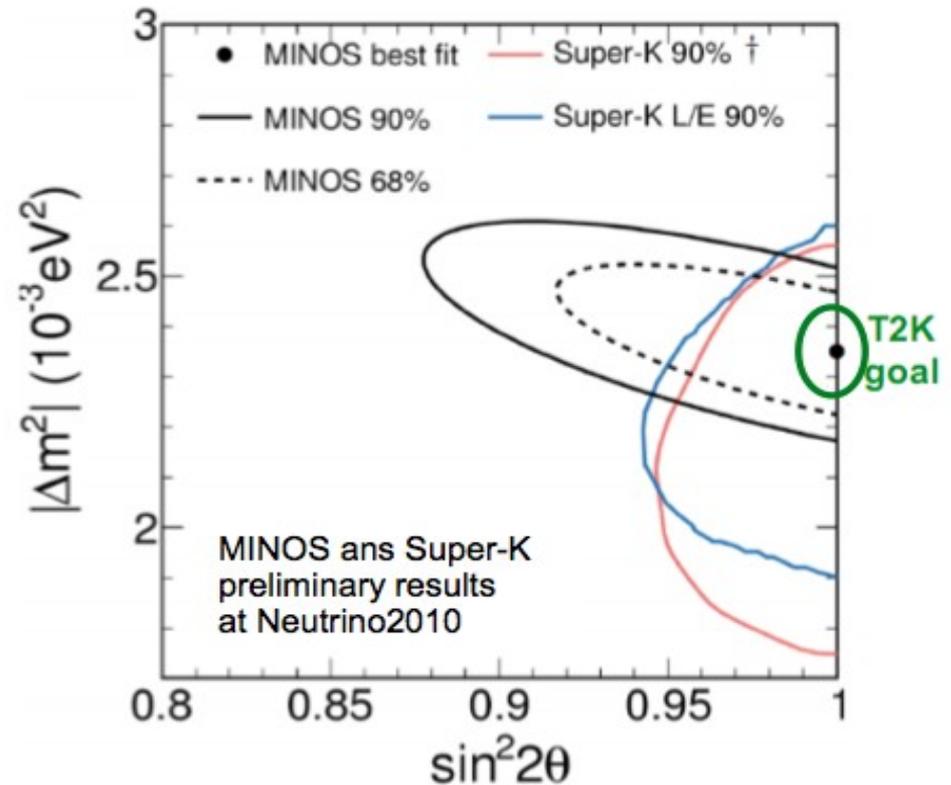
$$\sin^2 2\theta_{13} < 0.008 \text{ (90\% CL)}$$



ν_μ disappearance:

$$\delta(\sin^2 2\theta_{23}) \sim 1\text{E-}2 \text{ (90\% CL)}$$

$$\delta(\Delta m_{23}^2) \sim 1\text{E-}4 \text{ eV}^2/\text{c}^4 \text{ (90\% CL)}$$



Data-taking operations & beam stability



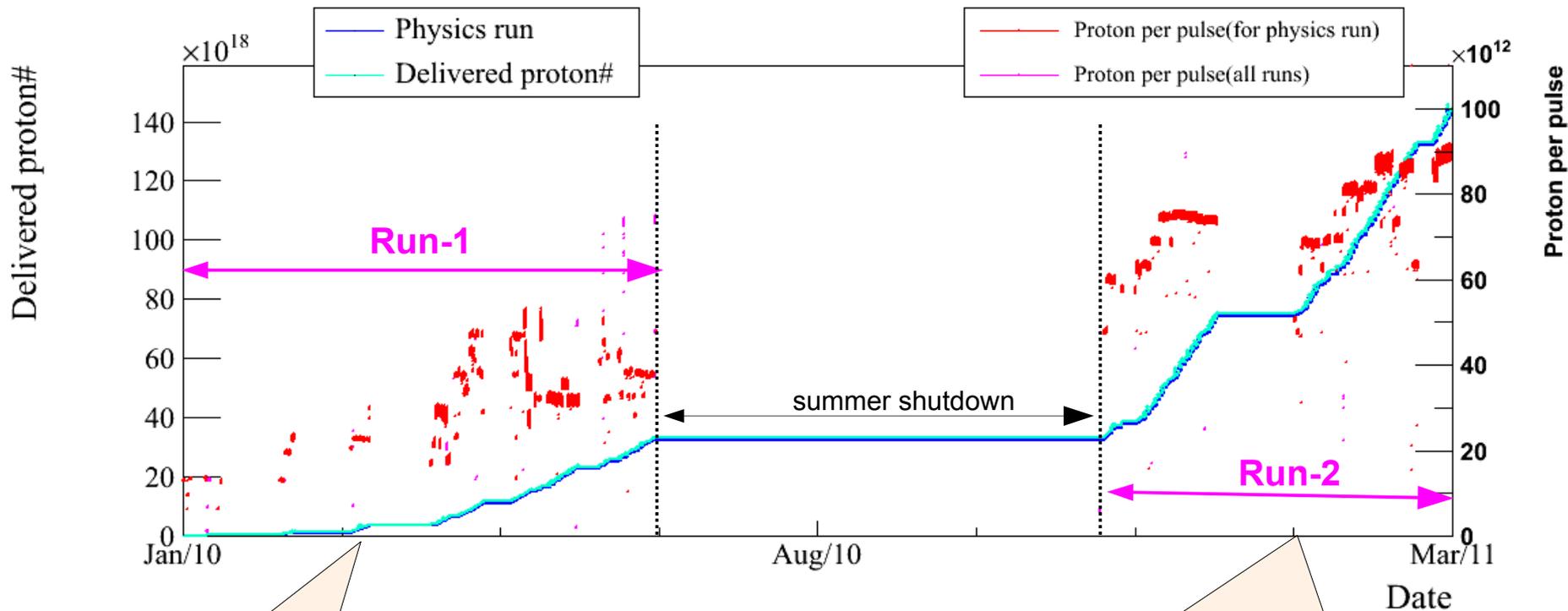
T2K data-taking operations



Expect to restart data-taking operations
late in 2011 / early in 2012



Number of protons delivered by MR



Run-1 (Jan-Jun 2010):

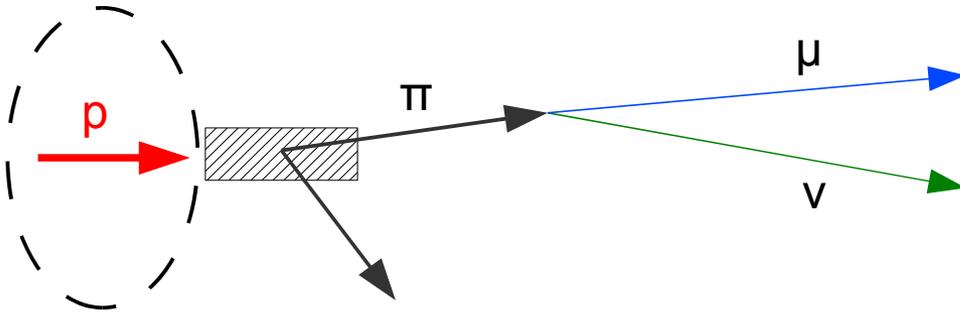
- 6 bunches / spill ($\sim 3E+13$ PPP)
- 3.52 sec cycle
- 50 kW stable operation
 - 100 kW trials
- Integrated exposure (physics): $3.23E+19$ POT

Run-2 (Nov 16, 2010 – Mar 11, 2011):

- 8 bunches / spill ($\sim 9E+13$ PPP)
- 3.04 sec cycle
- 135-145 kW stable operation
- Integrated (Run1+2) exposure (physics): $1.459E+20$ POT



Primary proton beam monitoring

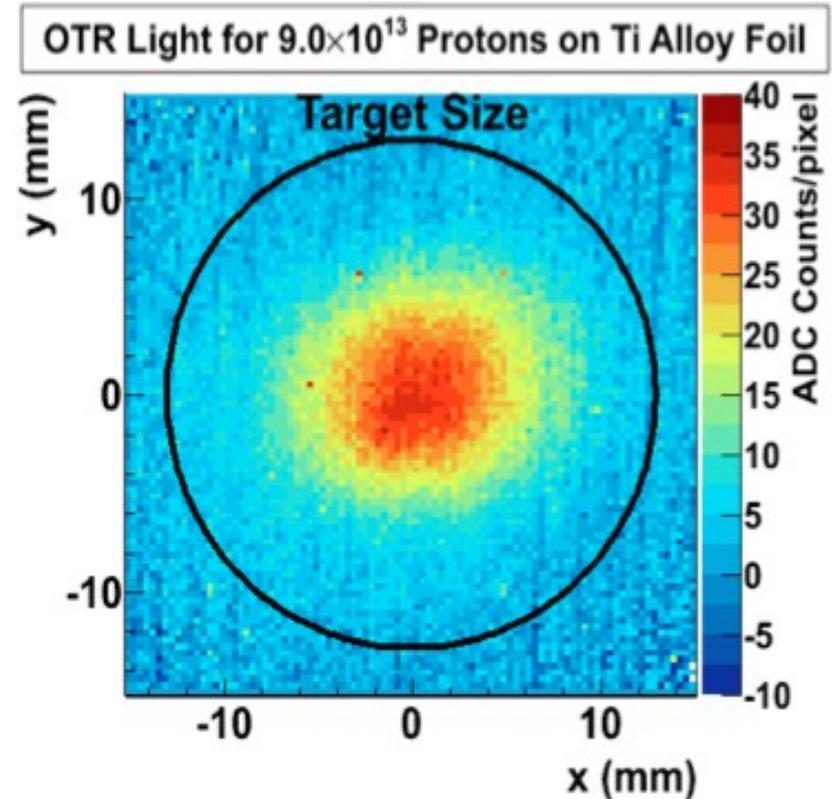


Beam intensity / loss monitoring:

- 5 Current Transformers (CT)
- 50 Beam Loss Monitors (BLM)

Beam position & profile monitoring:

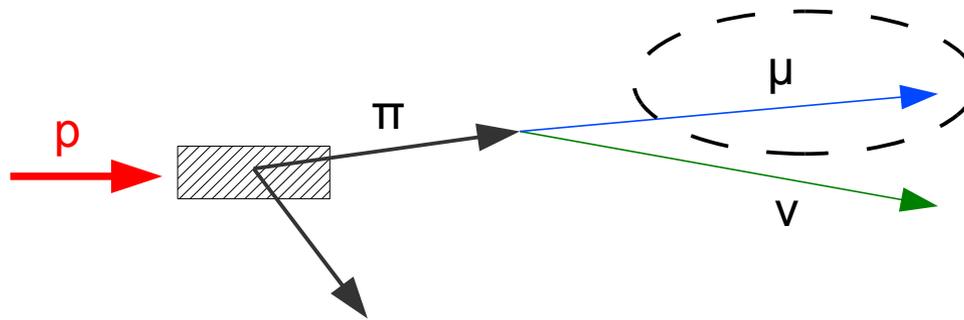
- 21 Electro-static monitor (ESM)
- 19 Segmented Secondary Emission monitor (SSEM)
- 1 Optical Transition Radiation detector (OTR)



Run1+2: Stable primary proton beam



Secondary muon beam monitoring

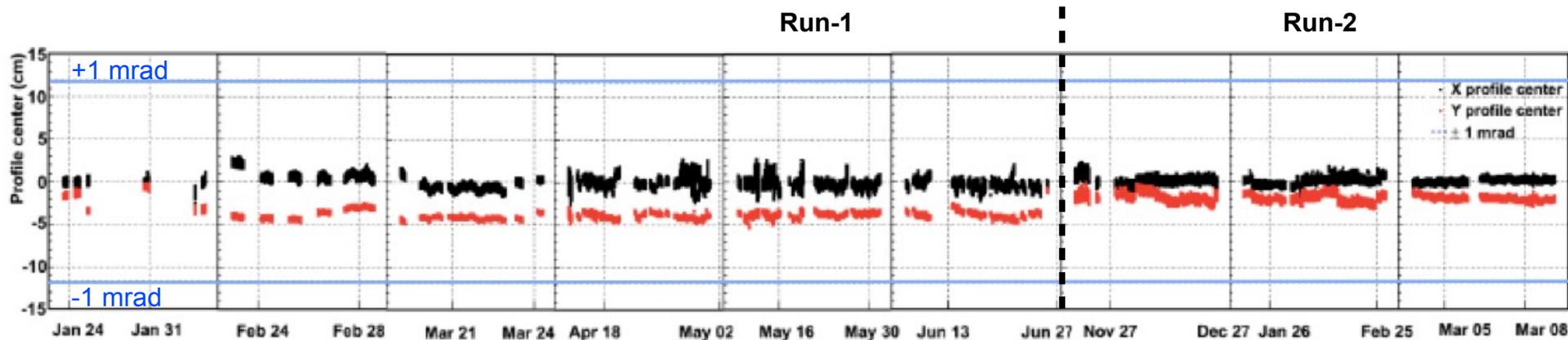


Secondary muon beam monitoring (MUMON) spill-by-spill.

Detector intrinsic resolution < 1.5 mm

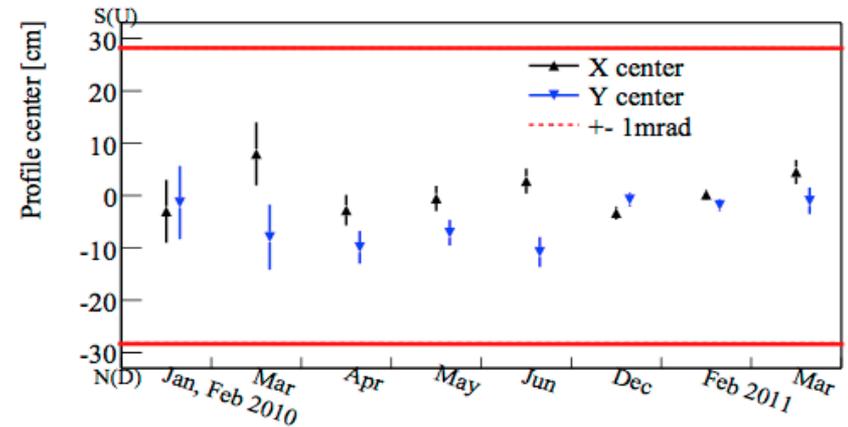
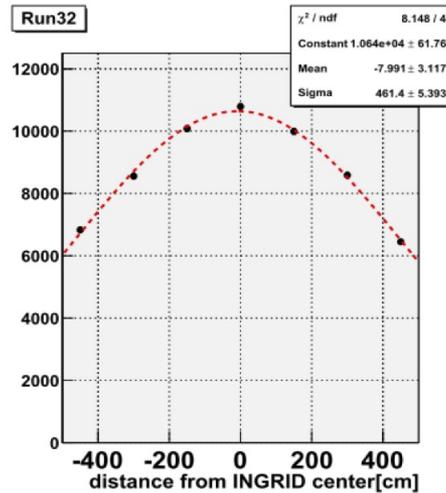
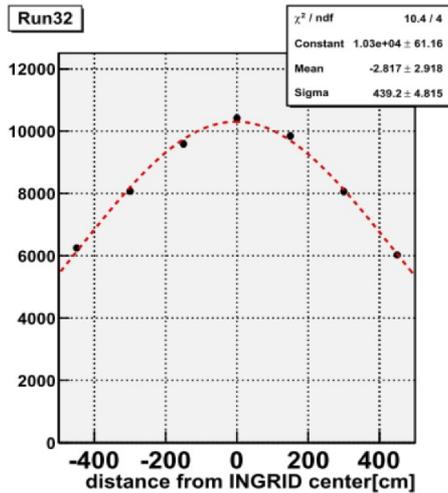
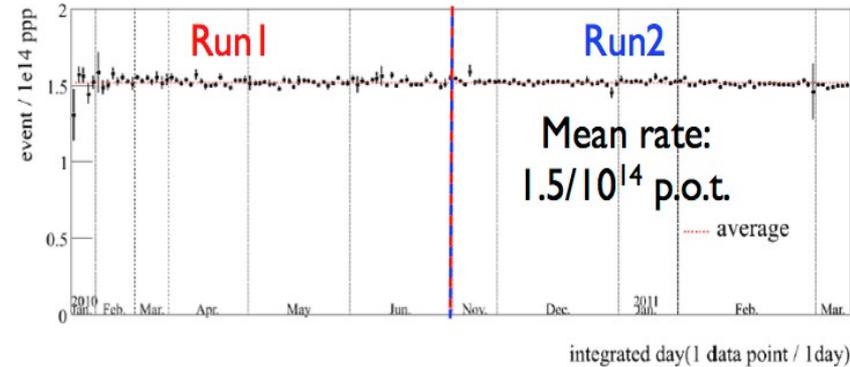
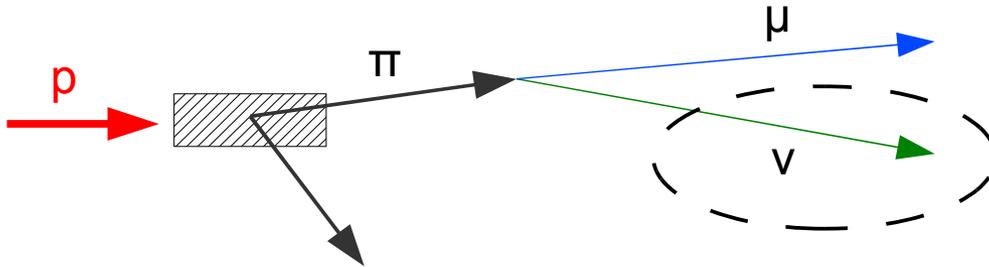
Beam direction is controlled within 1 mrad

Secondary beam intensity stable to $\sim 1\%$



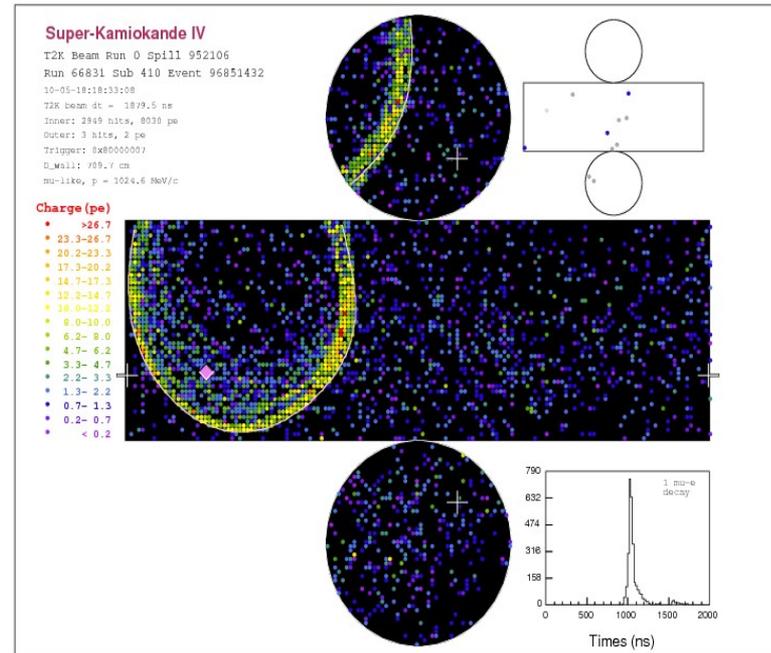
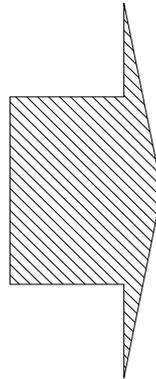
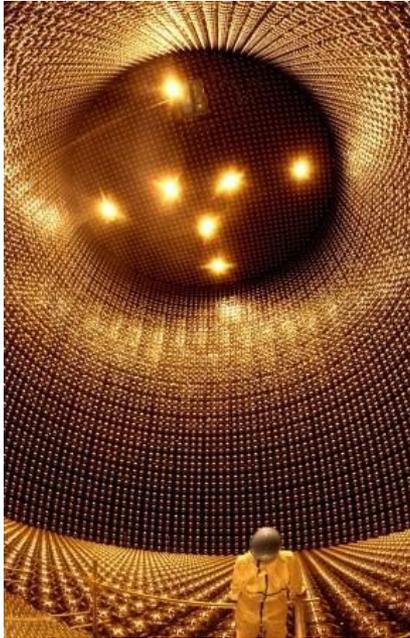
Run1+2: Stable targeting & focusing systems

Neutrino beam monitoring

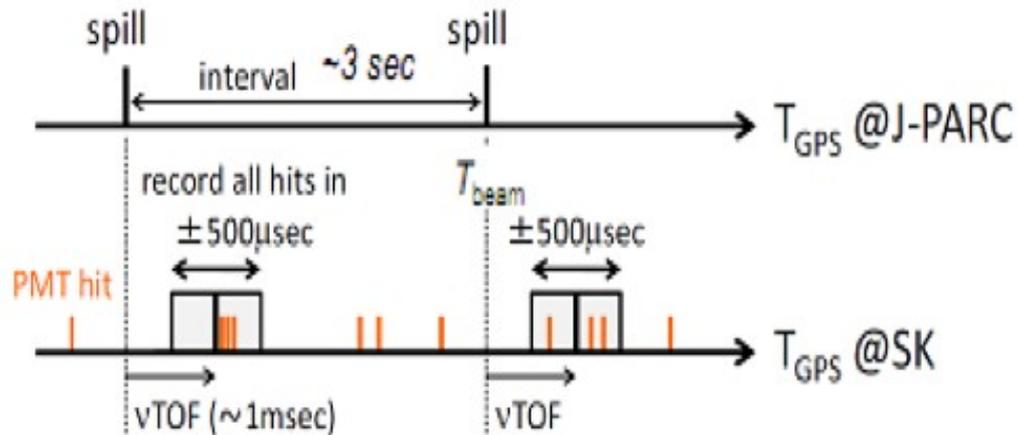


Run1+2: Stable neutrino intensity & direction verified by INGRID

T2K-SuperK event reduction



SuperK – Beam spill time synchronization



Record all hits in $\pm 500 \mu\text{s}$ window around the beam spill arrival to SuperK.

GPS synchronization for J-PARC and SuperK times



SuperK live-time

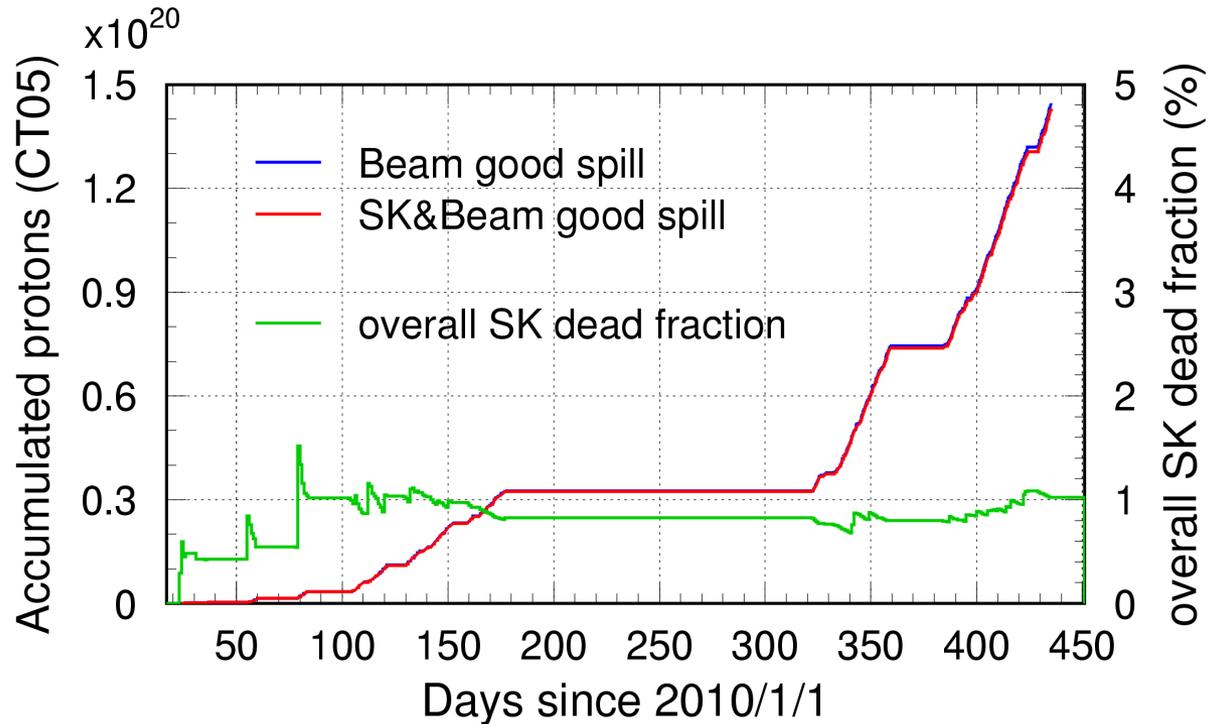
SuperK good spill selection

- SK DAQ alive
- DAQ error check

Checking dark counts in ID and OD

- GPS error check
- Detector status check
- **Pre-activity cut**

*No activity in the 100 μ s before beam arrival
Removes accidental contamination*



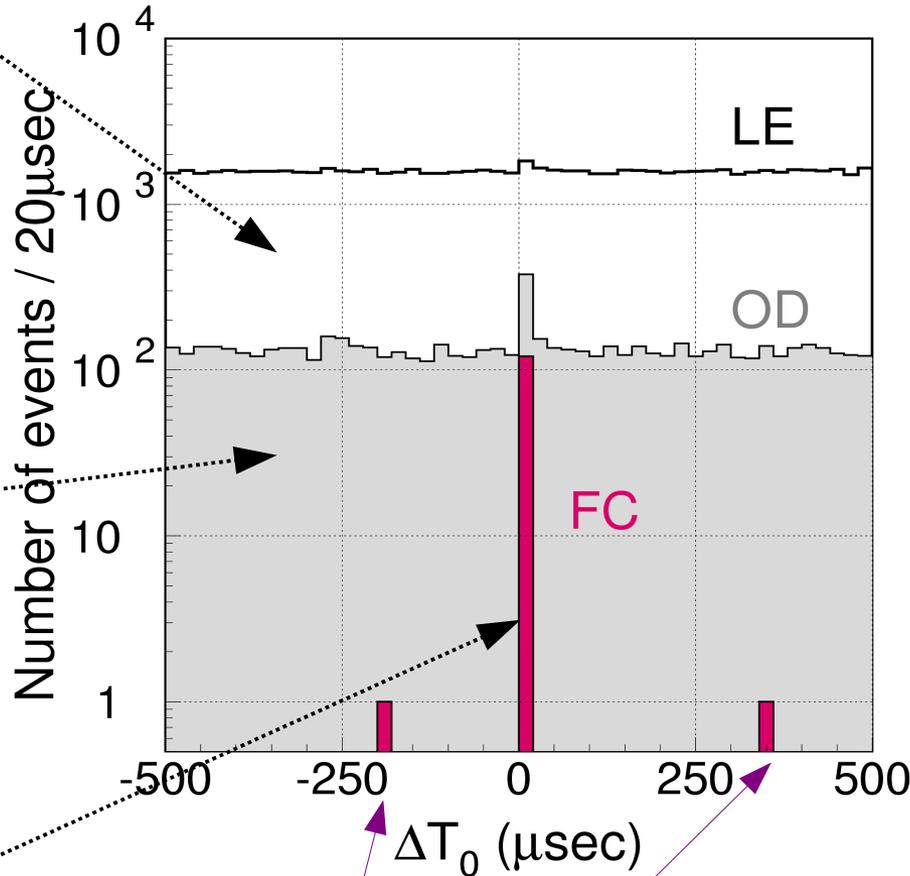
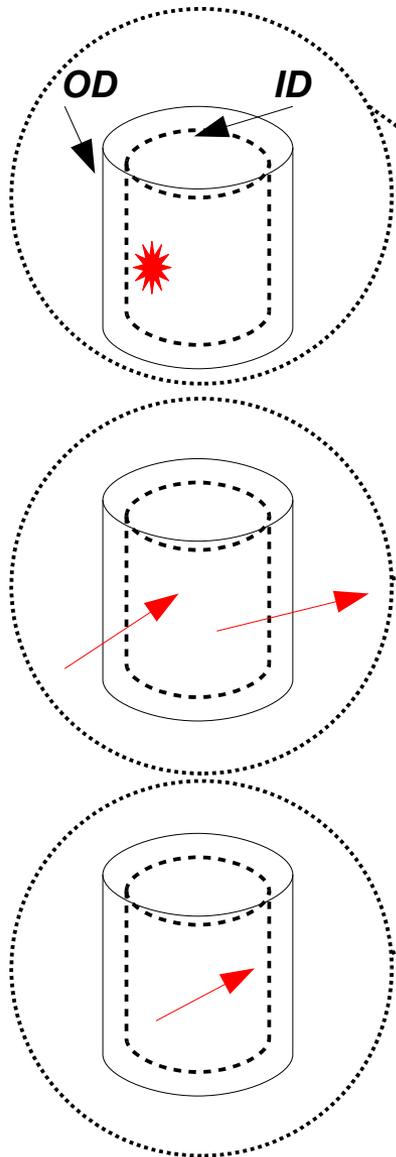
Integrated exposure:

- “Beam” good spills \rightarrow 1.446E+20 POT
- “SK & Beam” good spills \rightarrow 1.431E+20 POT

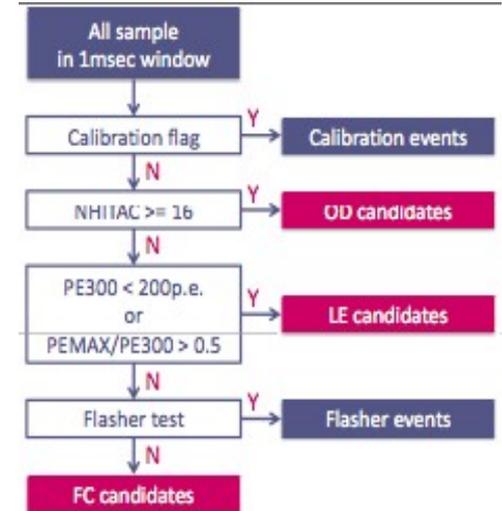
SuperK live fraction (for physics) > 99%



SuperK FC (fully contained) event reduction



2 off-timing FC events.
Expectation: 1.9 events

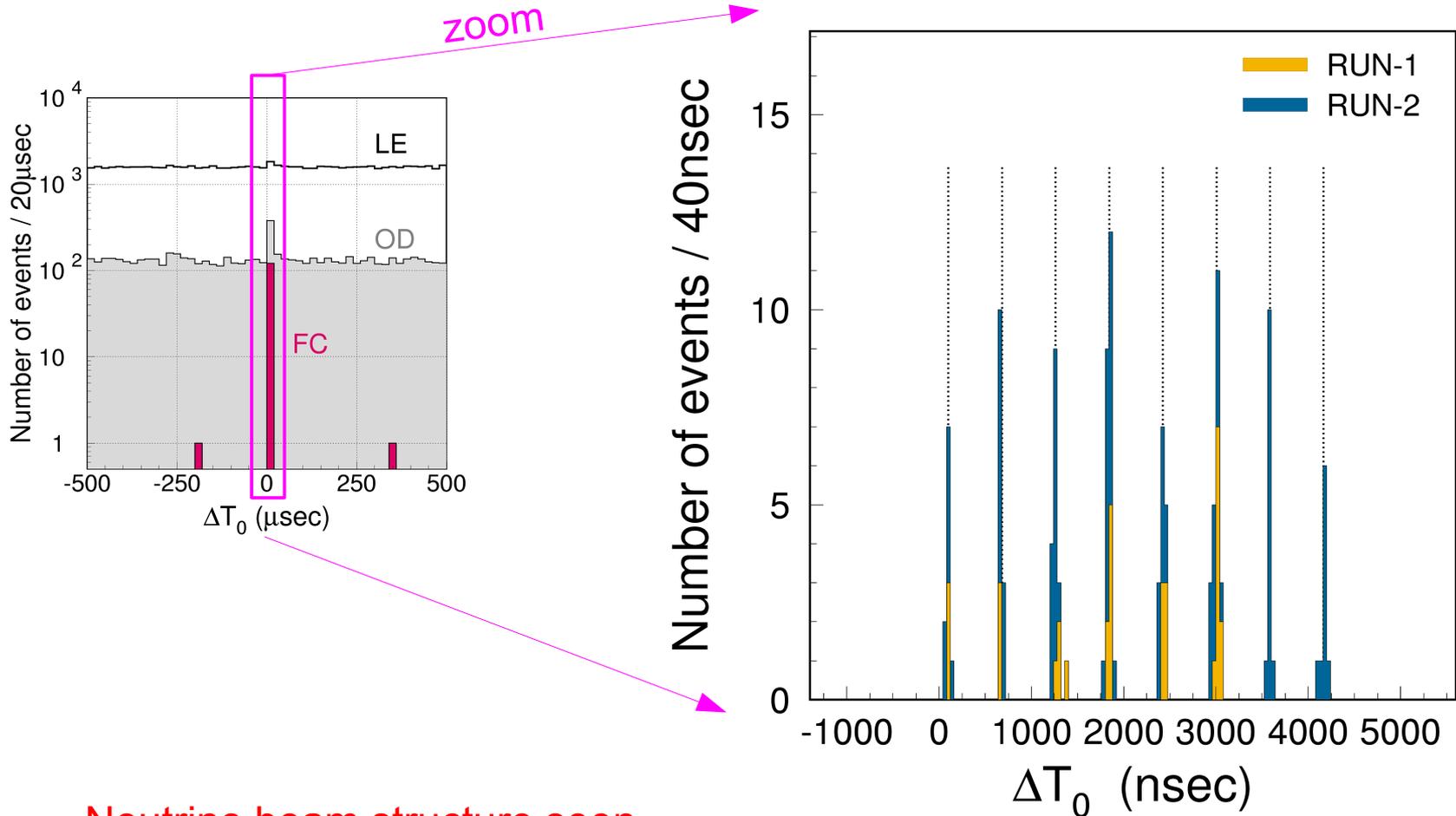


Run-1+2

121 FC neutrino event candidates found

Expected accidental bkg
(from dummy spill data):
0.023 events

SuperK FC neutrino event candidate timing



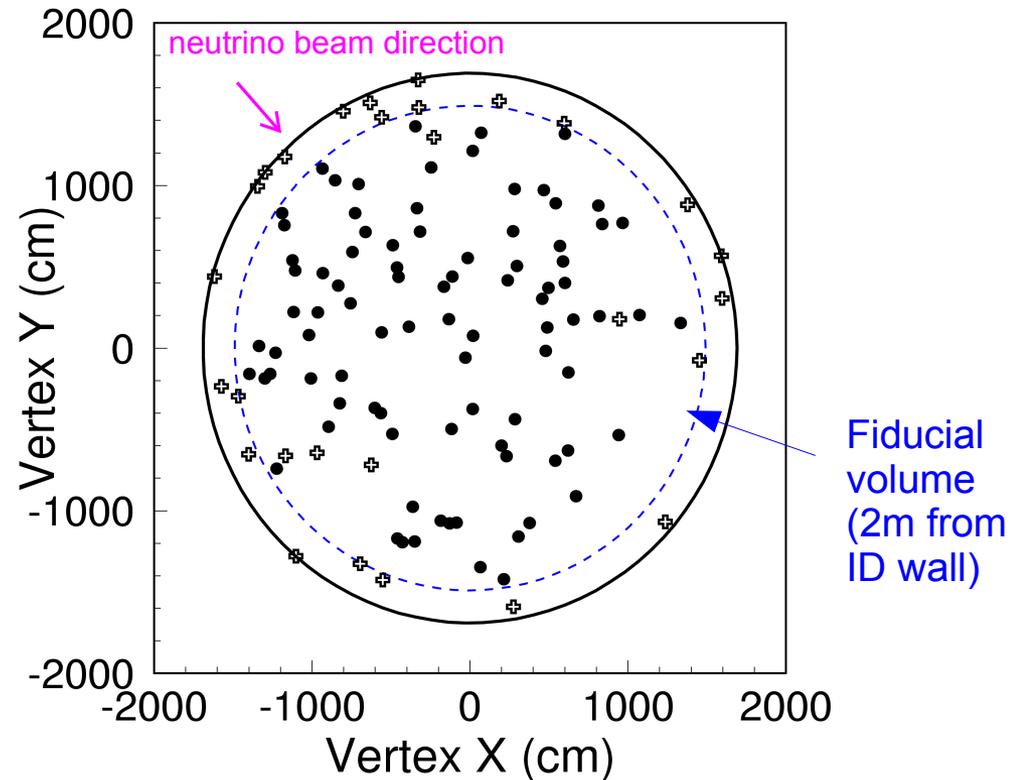
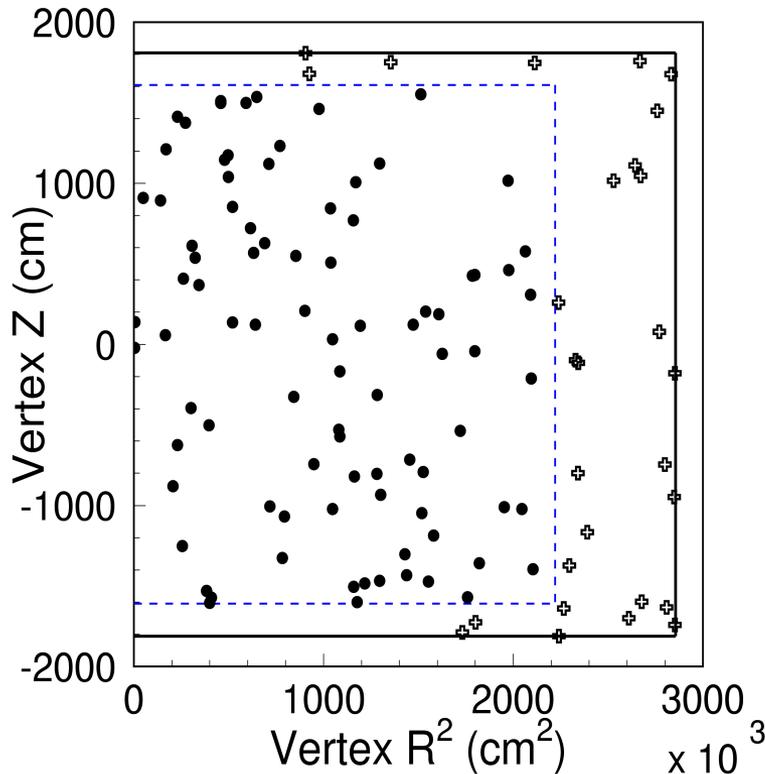
Neutrino beam structure seen
with SuperK event candidates!

SuperK FCFV event reduction

FC event candidates

- * In fiducial volume (more than 2m away from the ID wall)
- * Visible energy > 30 MeV

FC (Fully Contained) FV (Fiducial Volume) event candidates
(events used for physics analysis)

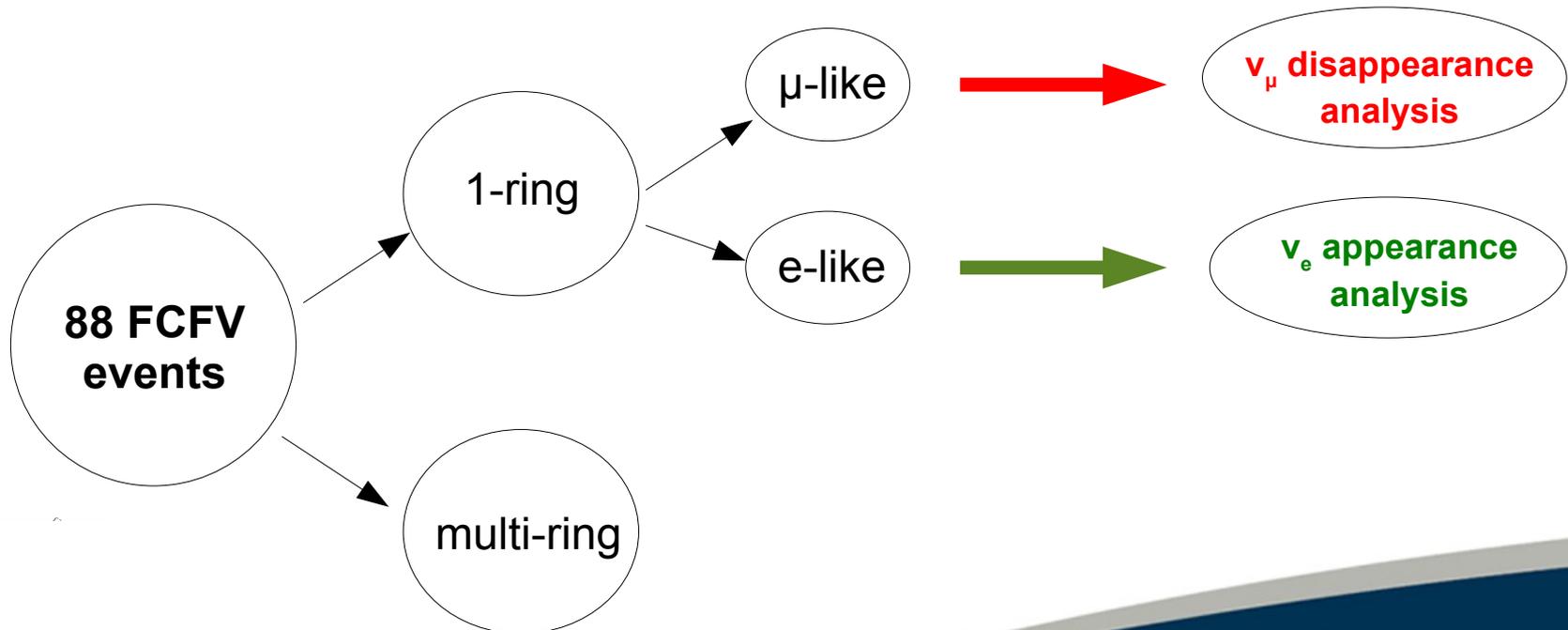


Run-1+2:

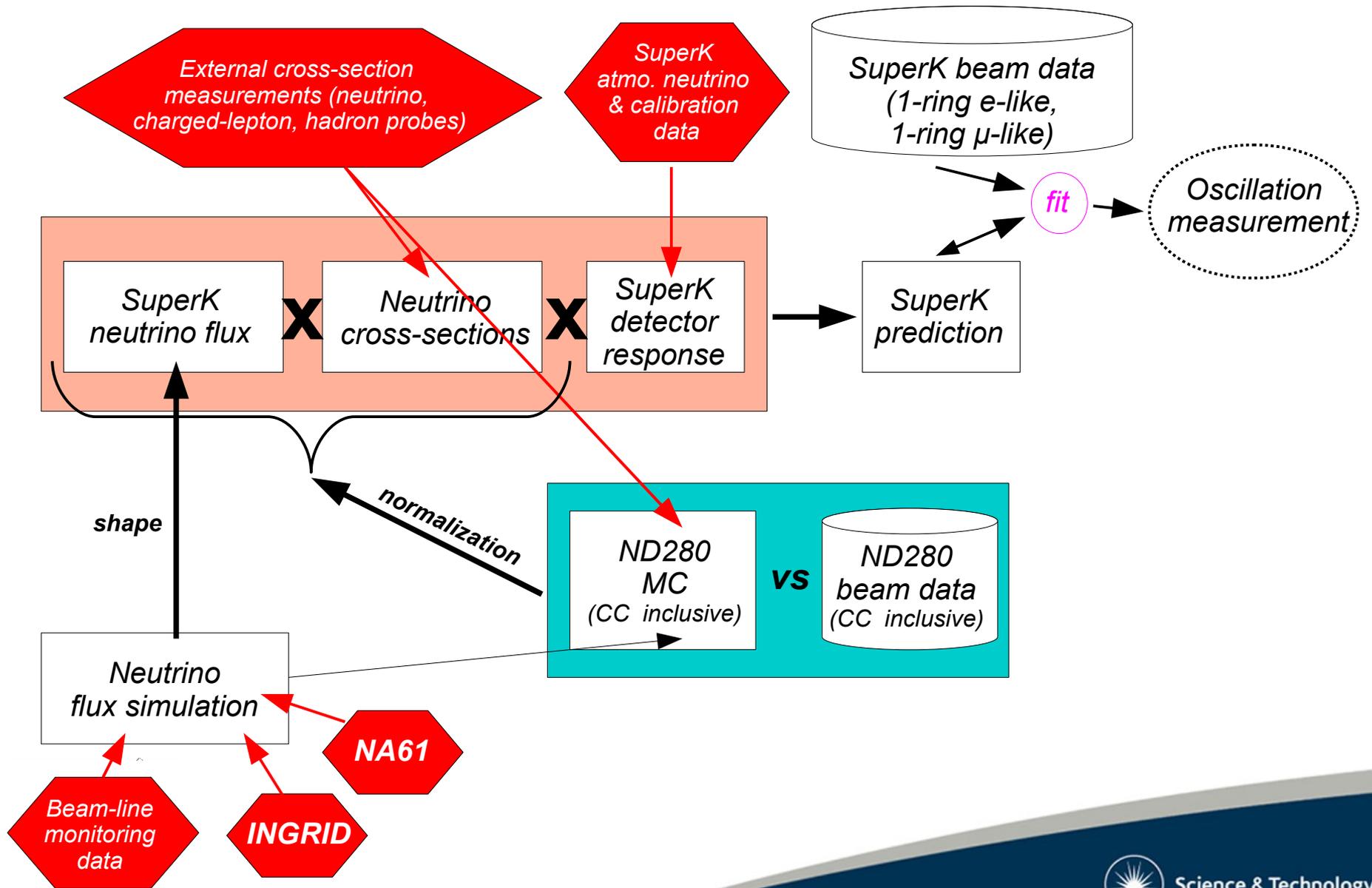
88 FCFV neutrino event candidates found

Estimated (from atmospheric neutrino rate)
accidental background: 0.0028 events

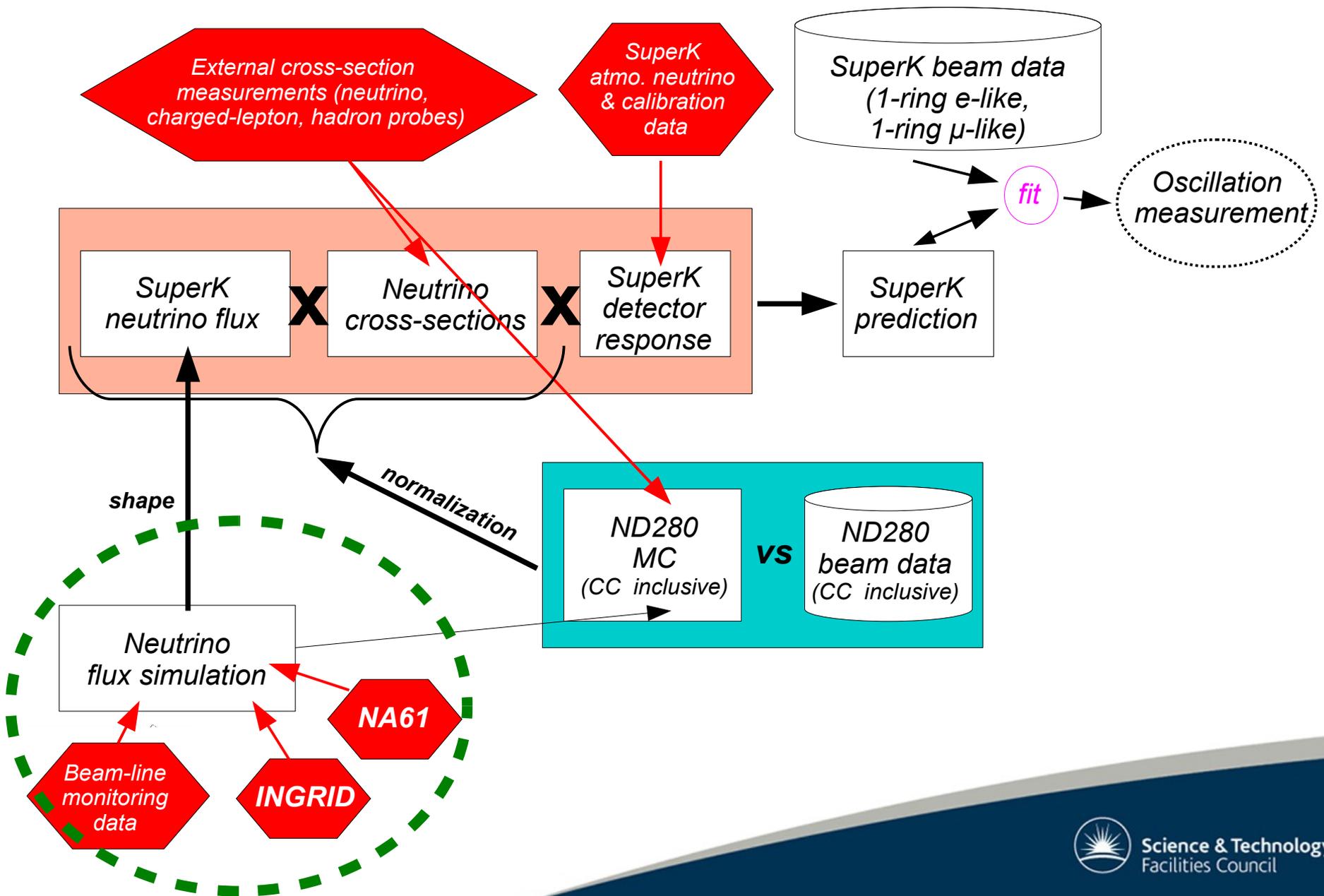
2010 oscillation analysis with Run-1+2 (1.431E+20 POT) data



Oscillation Analysis Strategy (2010)

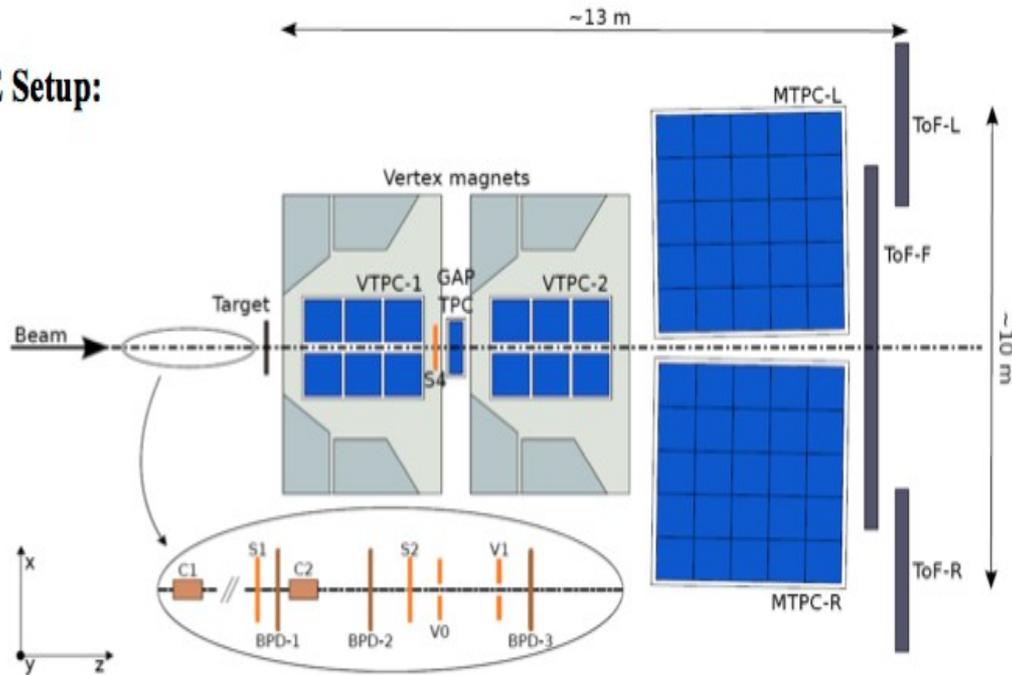


Oscillation Analysis Strategy (2010)



NA61 / SHINE experiment

NA61/SHINE Setup:



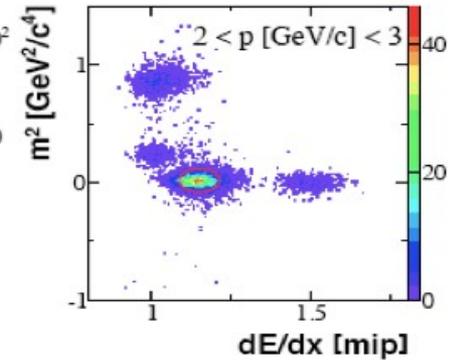
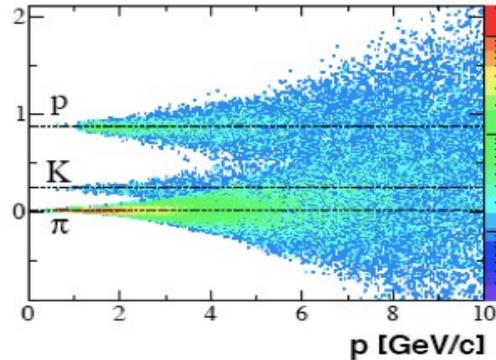
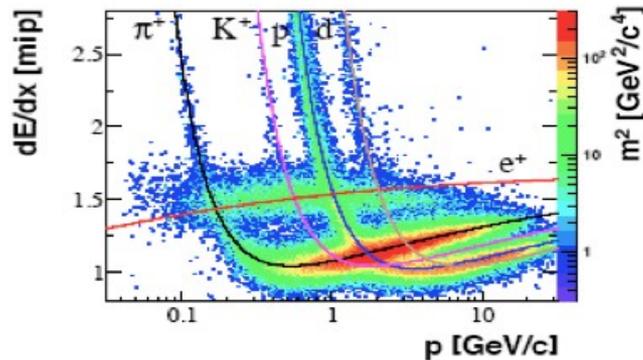
30 GeV p+C particle yields in

- thin target
- T2K replica target

NA61:

- Large acceptance spectrometer
- 5 TPCs
- 2 dipole magnets
- 3 ToFs
- Good PID and momentum resolution

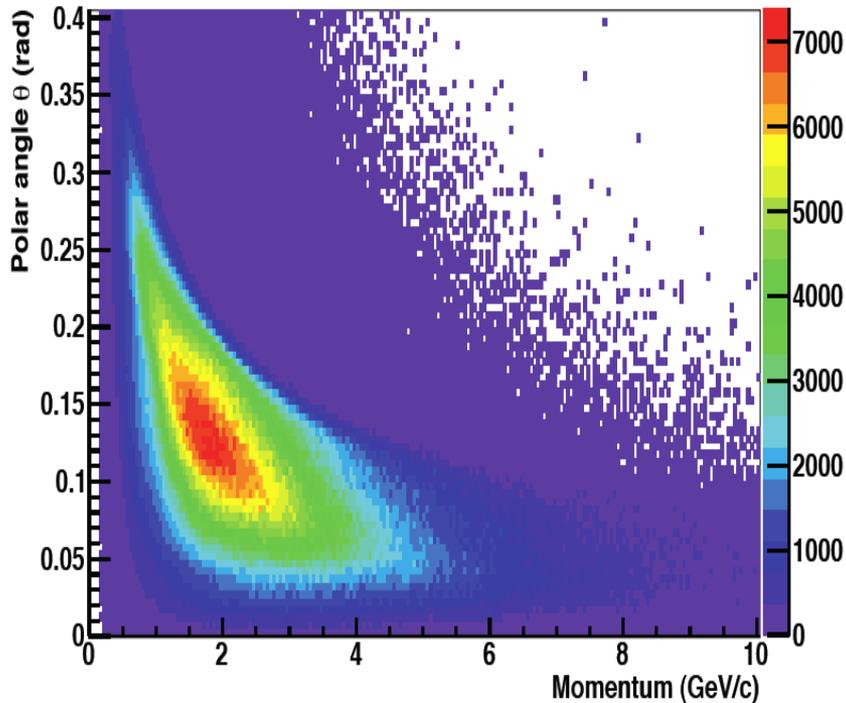
PID methods



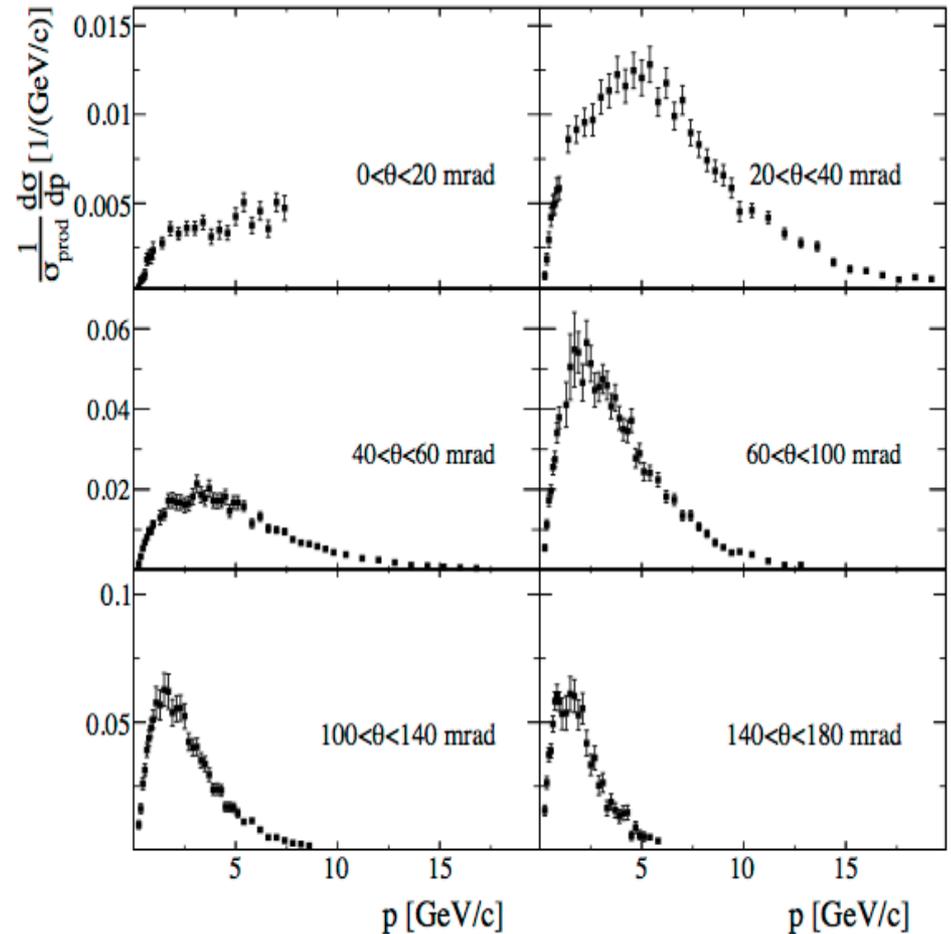
NA61 / SHINE measurements

Full coverage of T2K phase space

θ - p at production point of π^+ producing ν_μ @ SK

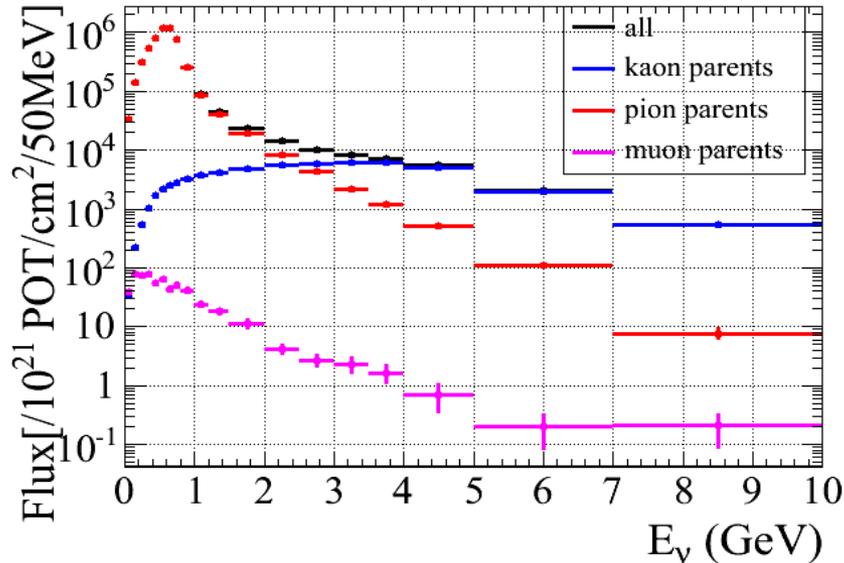


N.Abgral et al., arXiv:1102.0983, submitted to Phys.Rev.C
(~5-10% systematic error and similar statistical error)

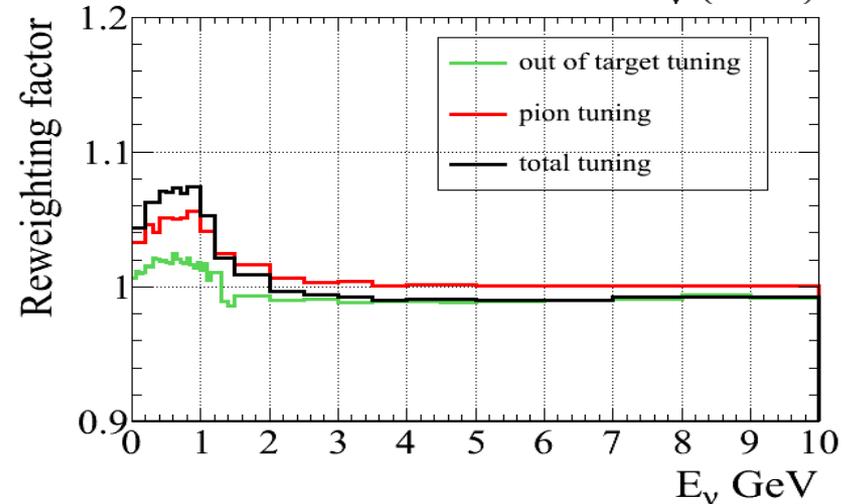
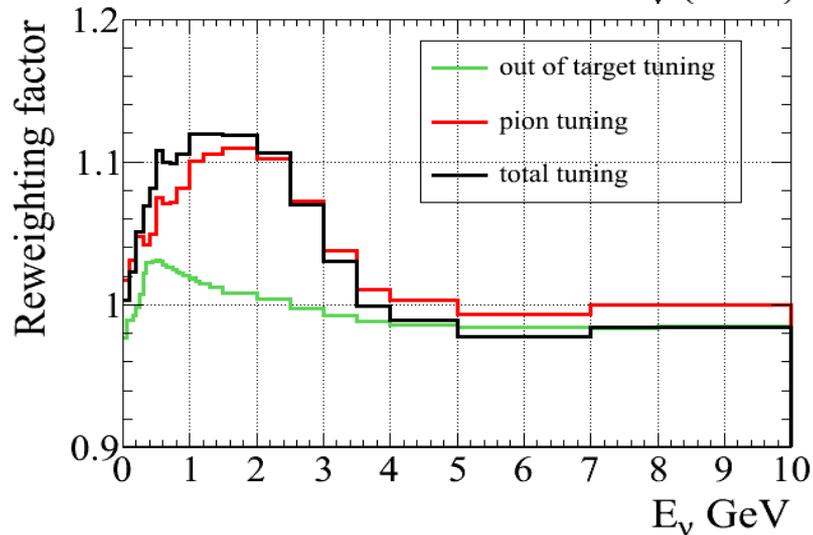
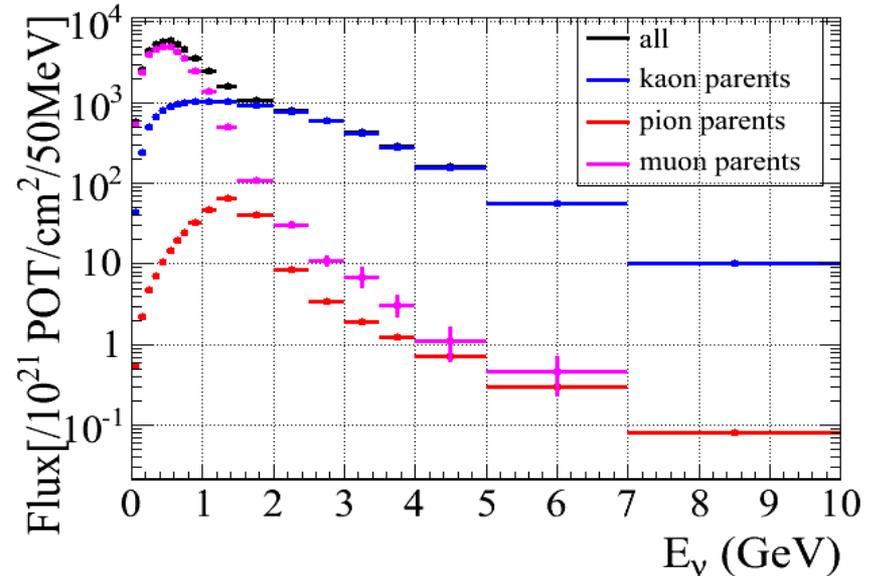


Neutrino flux tuning

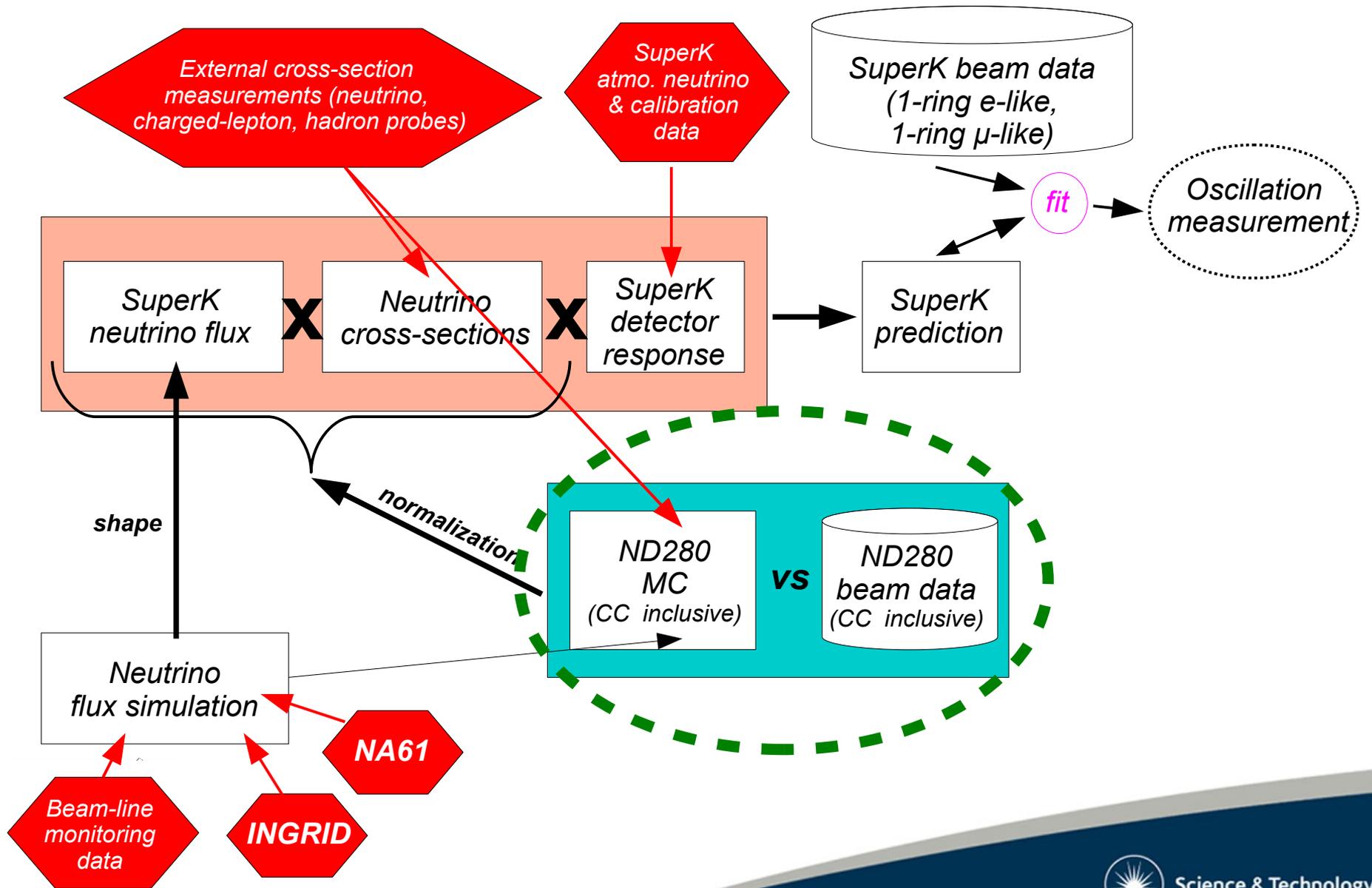
ν_μ at SuperK



ν_e at SuperK

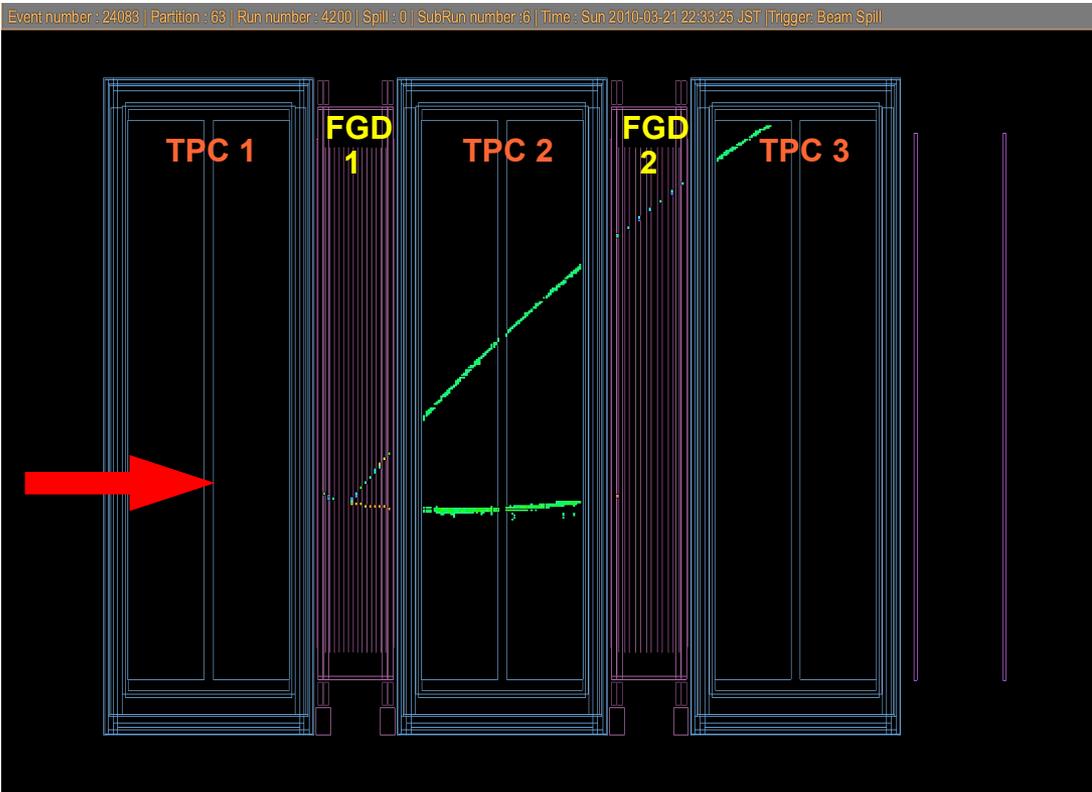


Oscillation Analysis Strategy (2010)



ND280: Inclusive muon neutrino CC analysis

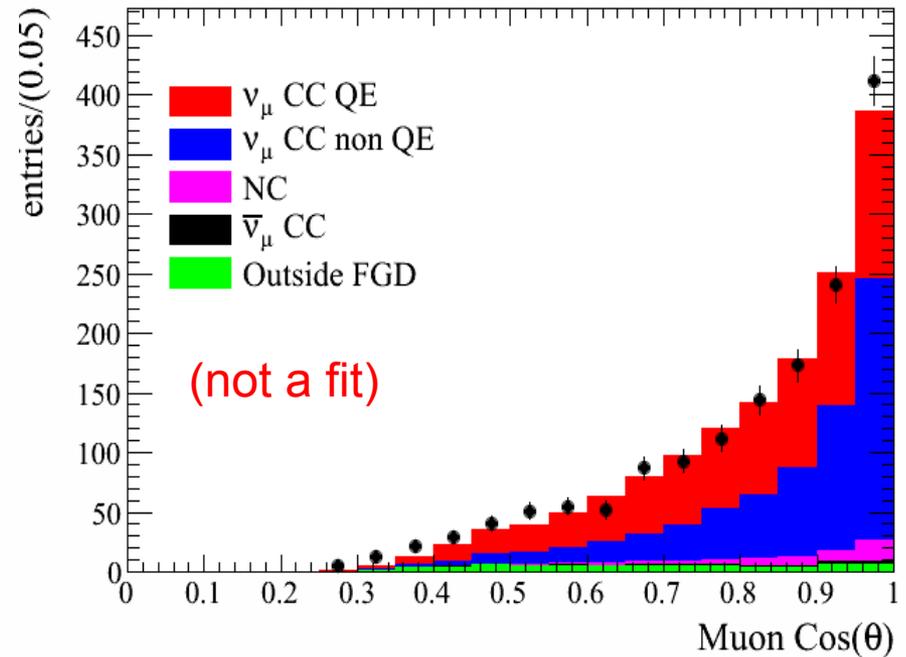
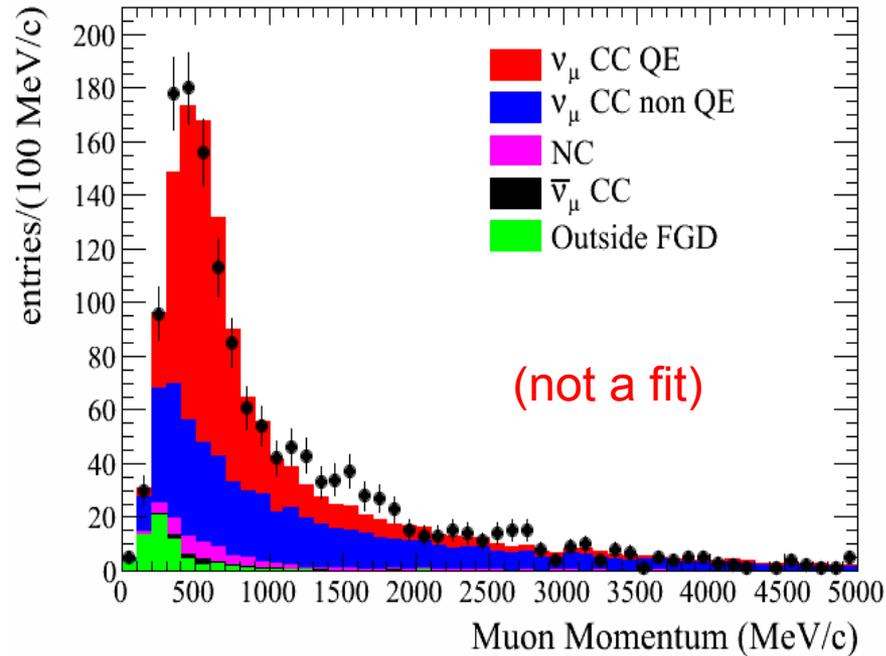
Robust analysis using low-level reconstructed objects (FGD hits and tracks in single TPC)



- No tracks in TPC-1
- ≥ 1 track in TPC-2 with vertex in FGD-1
- No tracks in TPC-2?
Repeat with TPC-3 and FGD-2
- Select track with highest momentum
- TPC dE/dx cuts to select muon candidates

High purity: $\sim 90\%$ ν_μ CC
($\sim 50\%$ CCQE)

ND280: Inclusive muon-neutrino CC



$$\frac{N_{ND}^{\nu_{\mu}, \text{DATA}}}{N_{ND}^{\nu_{\mu}, \text{MC}}} = 1.036 \pm 0.028 \text{ (stat.)} \begin{matrix} +0.044 \\ -0.037 \end{matrix} \text{ (det. syst.)} \pm 0.038 \text{ (phys. syst.)}$$



Electron-neutrino appearance results



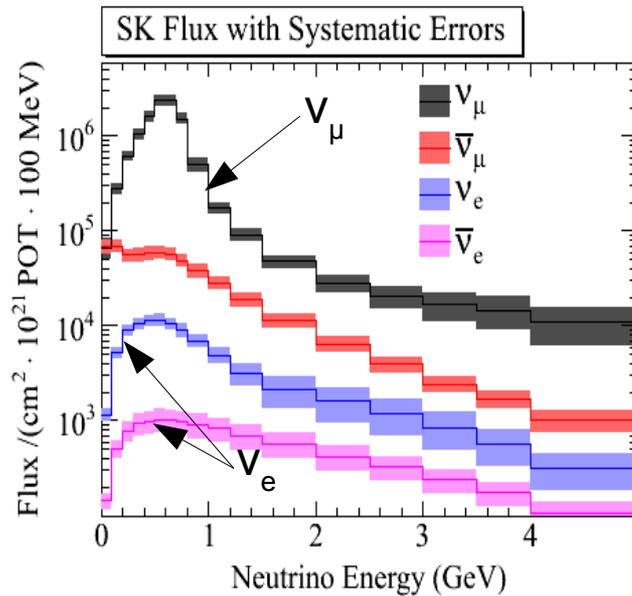
SuperK ν_e event selection: Strategy

Selecting ν_e CCQE events. A water-Cherenkov detector sees a single e-like (fuzzy) ring

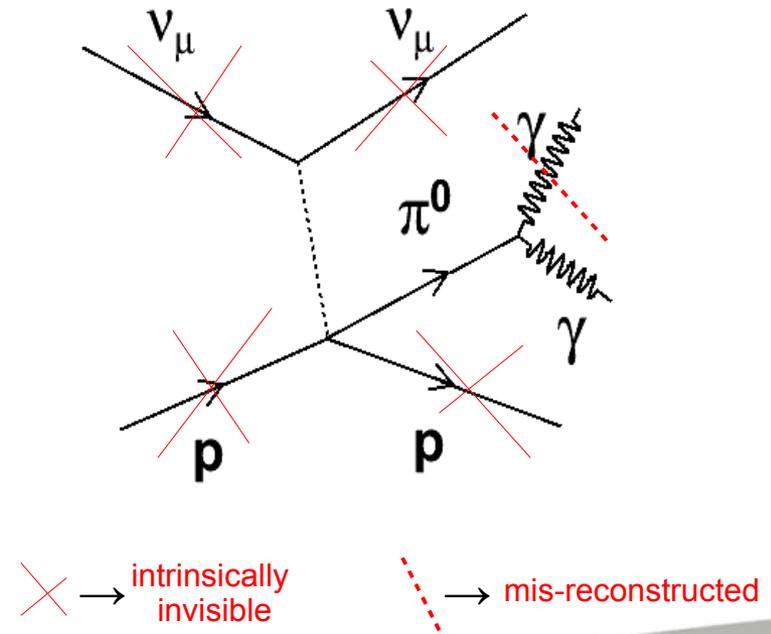
Main backgrounds

Intrinsic ν_e component in beam

- $K_L^0 \rightarrow \nu_e + \pi^- + e^+$
- $K_L^0 \rightarrow \bar{\nu}_e + \pi^+ + e^-$
- $K_L^0 \rightarrow \nu_\mu + \pi^- + \mu^+$
- $K_L^0 \rightarrow \bar{\nu}_\mu + \pi^+ + \mu^-$
- $K^+ \rightarrow \nu_\mu + \mu^+$
- $K^+ \rightarrow \nu_e + \pi^0 + e^+$
- $K^+ \rightarrow \nu_\mu + \pi^0 + \mu^+$
- $K^- \rightarrow \bar{\nu}_\mu + \mu^-$
- $K^- \rightarrow \bar{\nu}_e + \pi^0 + e^-$
- $K^- \rightarrow \bar{\nu}_\mu + \pi^0 + \mu^-$
- $\mu^+ \rightarrow \bar{\nu}_\mu + \nu_e + e^+$
- $\mu^- \rightarrow \bar{\nu}_e + \nu_\mu + e^-$
- $\pi^+ \rightarrow \nu_\mu + \mu^+$
- $\pi^- \rightarrow \bar{\nu}_\mu + \mu^-$

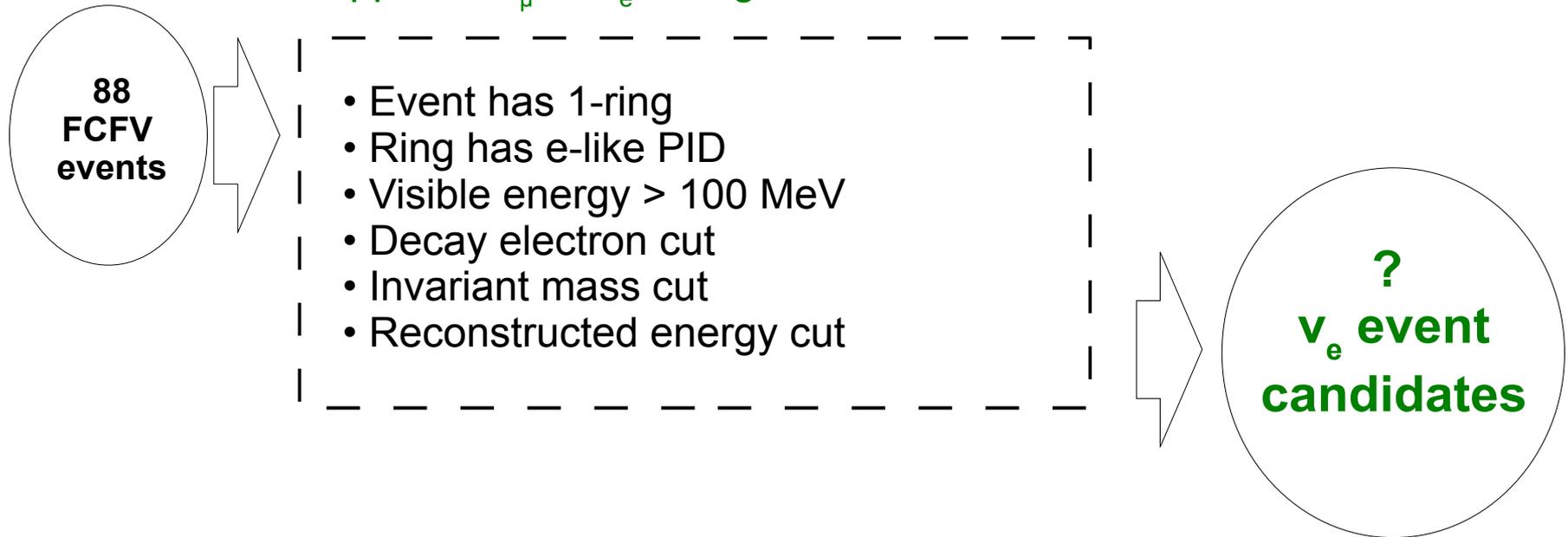


ν_μ NC π^0 with a missed γ



SuperK ν_e event selection: Cut overview

Cuts to isolate 1-ring e-like event sample & suppress $\nu_\mu \rightarrow \nu_e$ backgrounds

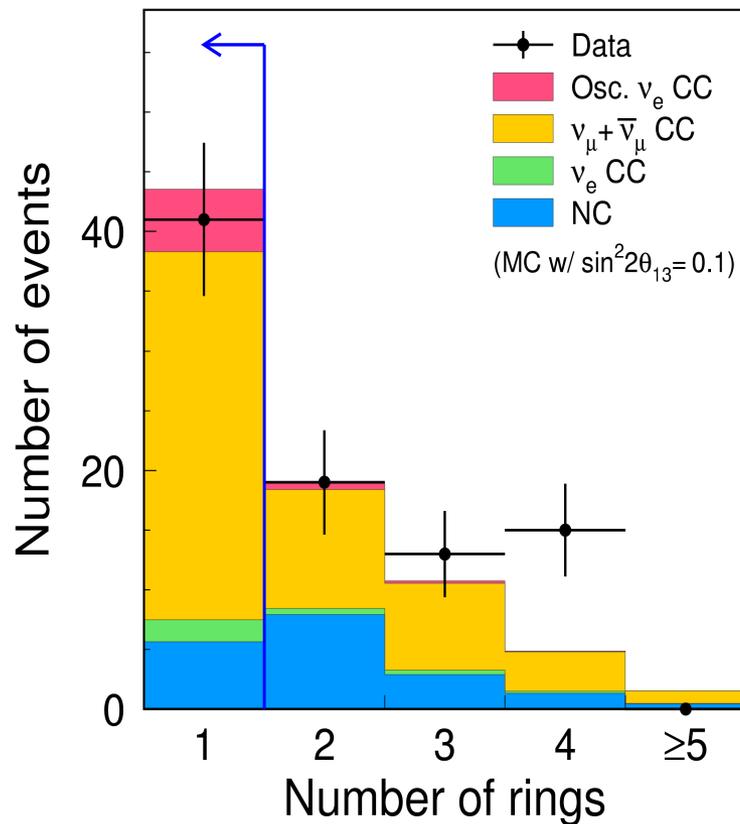


All cuts were defined before the data-taking operations

SuperK ν_e event selection

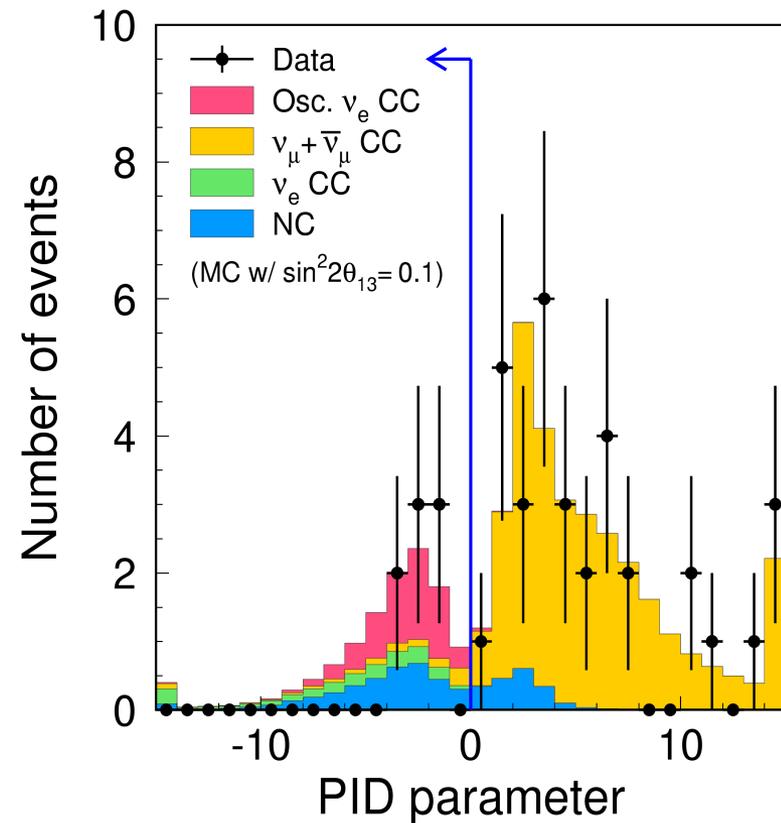
(1) Event has 1-ring

(41 events left after cut)



(2) Ring has e-like PID

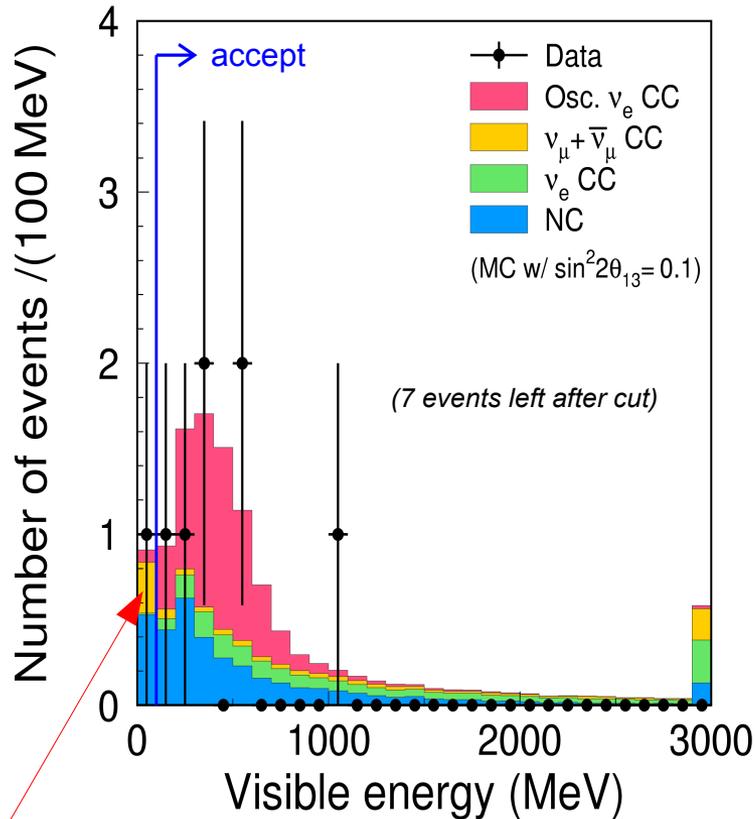
(8 events left after cut)



SuperK ν_e event selection

(3) Event has visible energy > 100 MeV

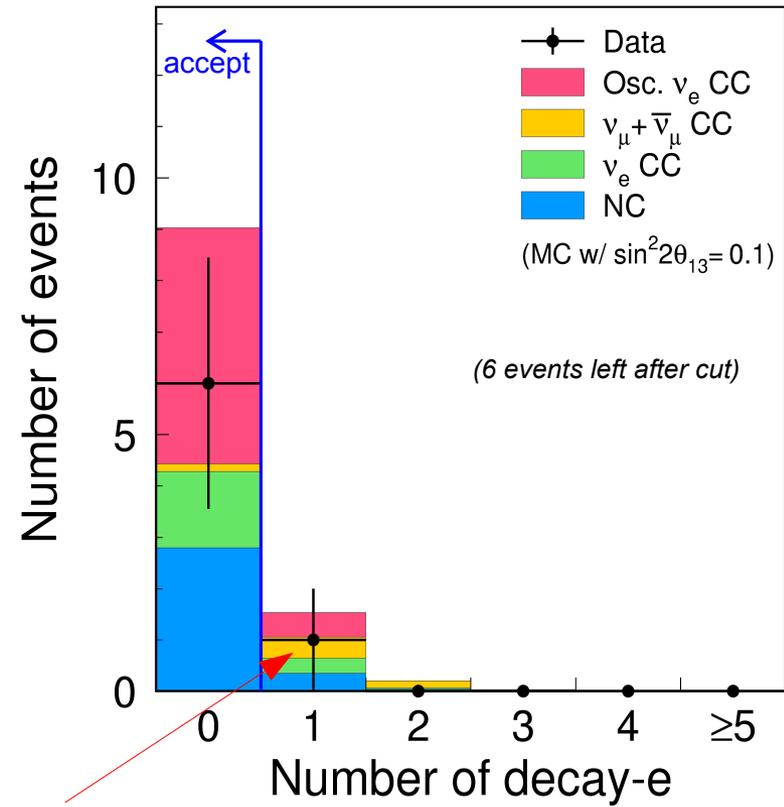
- Cut removes 14% of NC, 30% of ν_μ CC bkg
- 98% signal efficiency



1 candidate rejected

(4) No delayed decay-electron signal

- Rejects events with invisible or mid-ID'ed μ or π
- Cut removes 85% of ν_μ CC bkg
- 90% signal efficiency

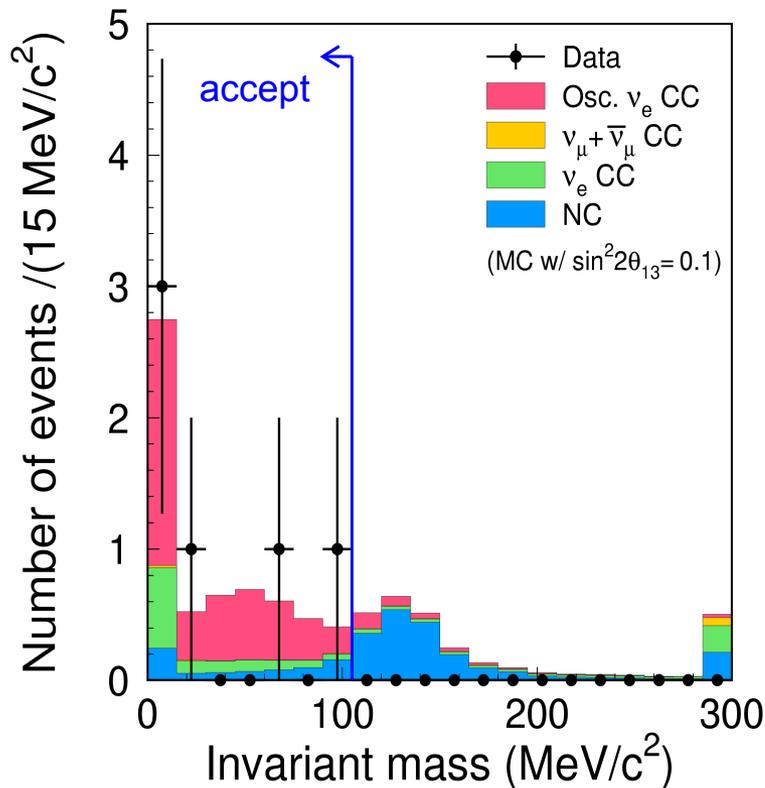


1 candidate rejected

SuperK ν_e event selection

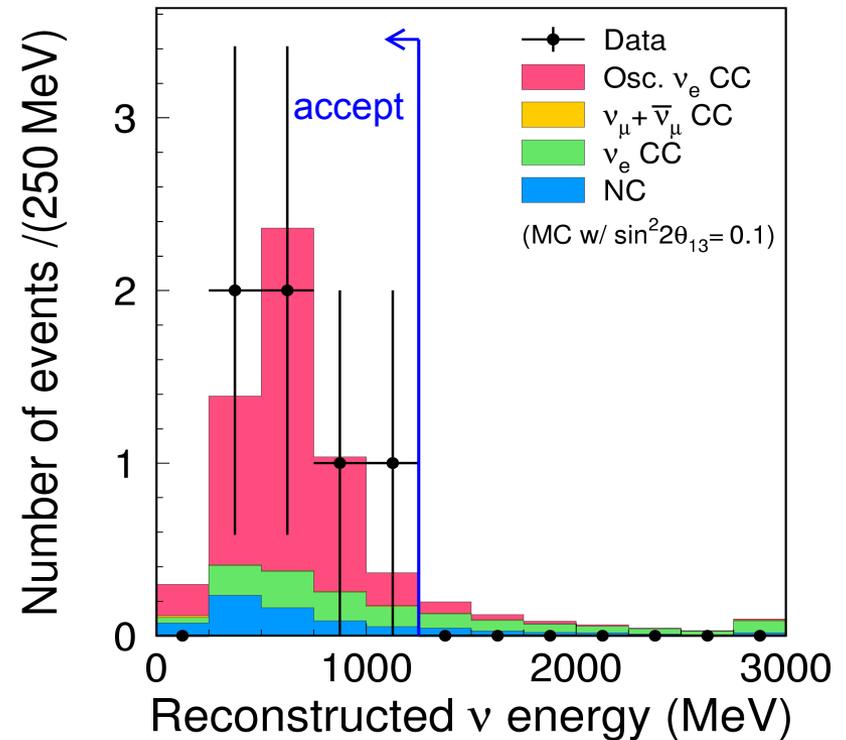
(5) Invariant mass cut ($< 105 \text{ MeV}/c^2$) [2-ring assumption, forced 2nd ring]

- Suppresses NC π^0 background.
- Cut removes 71% of NC background
- 91% signal efficiency



(6) Reconstructed energy cut ($< 1250 \text{ MeV}$)

- Reduces intrinsic beam contamination from K decays (signal: $\nu_\mu \rightarrow \nu_e$ and ν_μ flux peaks at 600 MeV)
- Cut removes 36% of intrinsic beam ν_e
- 98% signal efficiency



SuperK ν_e event selection

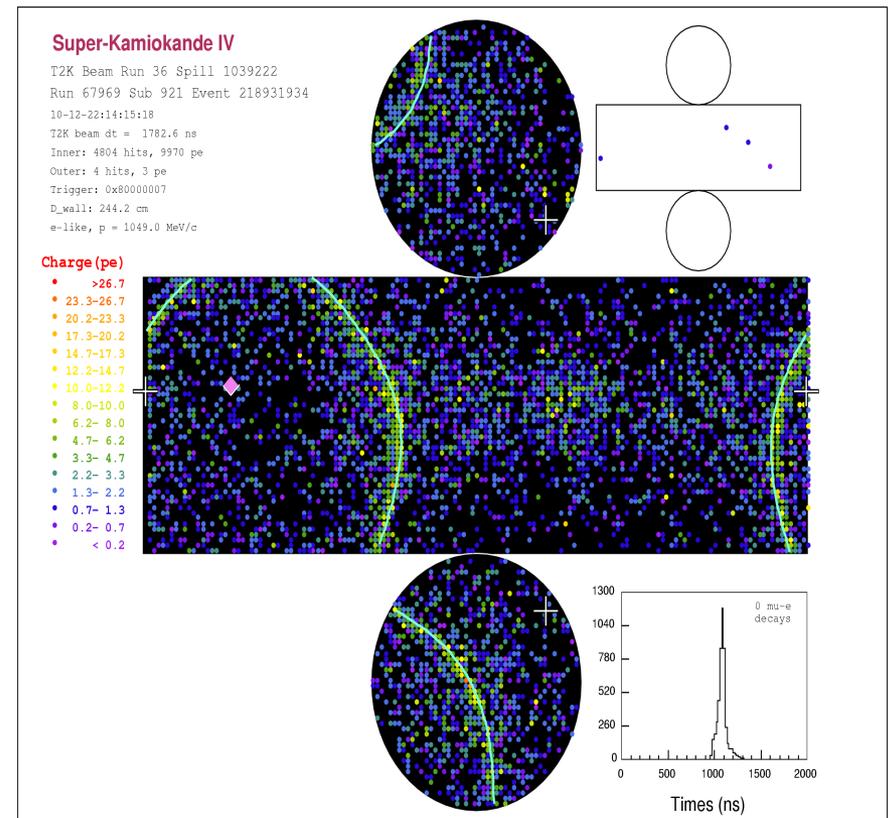
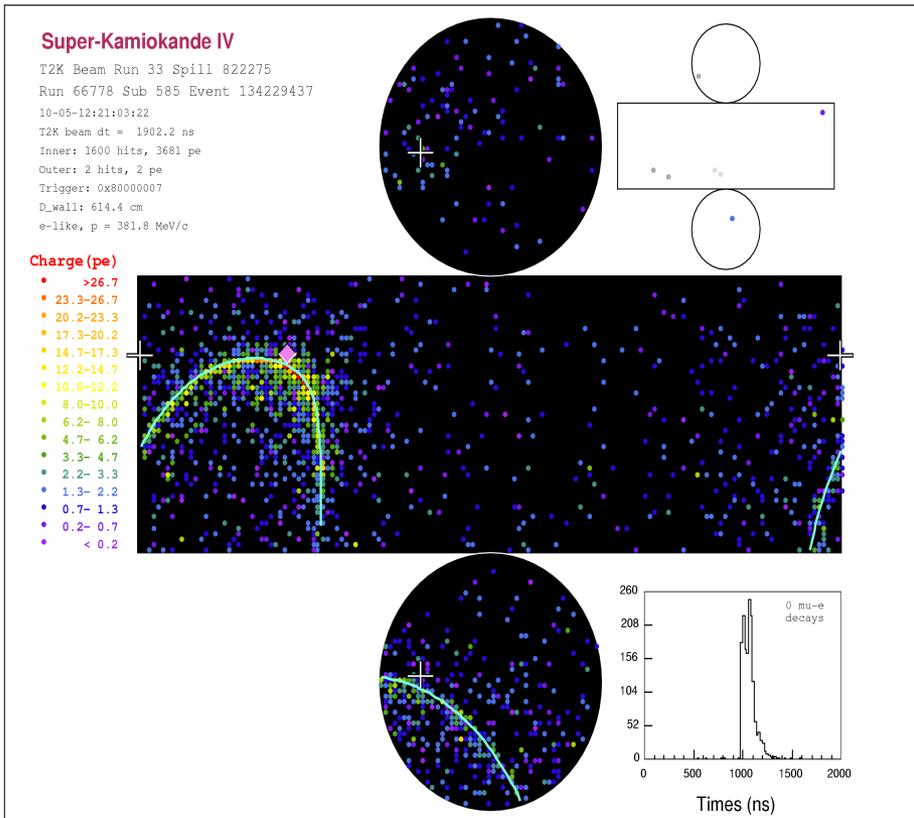
6 ν_e event candidates were found after all cuts!

Signal efficiency: ~66%

Background rejection:

- ~77% for intrinsic beam ν_e
- ~99% for ν_μ NC

MC predicts 1.5 background events



Background-only hypothesis: Systematic study

		$\sin^2 2\theta_{13}=0$		
Error source		N_{ND}	N_{SK}	N_{SK}/N_{ND}
SK Norm.	f^{SKnorm}	± 0.0	± 1.4	± 1.4
SK Energy Scale	f^{Energy}	± 0.0	± 1.1	± 1.1
SK Ring Counting	f^{Nring}	± 0.0	± 8.1	± 8.1
SK PID Muon	$f^{PID\mu}$	± 0.0	± 0.9	± 0.9
SK PID Electron	f^{PIDe}	± 0.0	± 7.8	± 7.8
SK POLfit Mass	f^{POLfit}	± 0.0	± 8.5	± 8.5
SK Decay Electron	f^{Ndecy}	± 0.0	± 0.3	± 0.3
SK π^0 Efficiency	f^{π^0eff}	± 0.0	± 3.4	± 3.4
CC QE shape	$f^{CCQEshape}$	± 0.0	± 3.1	± 3.1
CC 1π	$f^{CC1\pi}$	± 5.9	± 3.7	± 2.2
CC Coherent π	f^{CCcoh}	± 3.3	± 0.2	± 3.1
CC Other	$f^{CCother}$	± 4.7	± 0.3	± 4.4
NC $1\pi^0$	$f^{NC1\pi^0}$	± 0.1	± 5.4	± 5.3
NC Coherent π	f^{NCcoh}	< 0.1	± 2.3	± 2.3
NC Other	$f^{NCother}$	± 1.1	± 3.5	± 2.3
$\sigma(\nu_e)$	$f^{\sigma(\nu_e)}$	< 0.1	± 3.4	± 3.4
FSI	f^{FSI}	± 0.0	± 10.1	± 10.1
Beam Norm.	$f_{SK/ND}^\phi$	± 15.4	± 16.1	± 8.5
ND Efficiency	f^{END}	$+5.6$ -5.2	± 0.0	$+5.6$ -5.2
Overall Norm.	f^{norm}	± 0.0	± 0.0	± 2.7
Total		± 18.4	± 25.6	$+22.8$ -22.7

Uncertainty on background: ~23%

Expect: **1.5 ± 0.3 (syst) events**

SuperK detector systematics

(dominated by uncertainties in ring-counting, e-like PID and π^0 mass cut efficiency)

Cross-section systematics

(dominated by NC π^0 production uncertainties and FSI effects)

Flux systematic

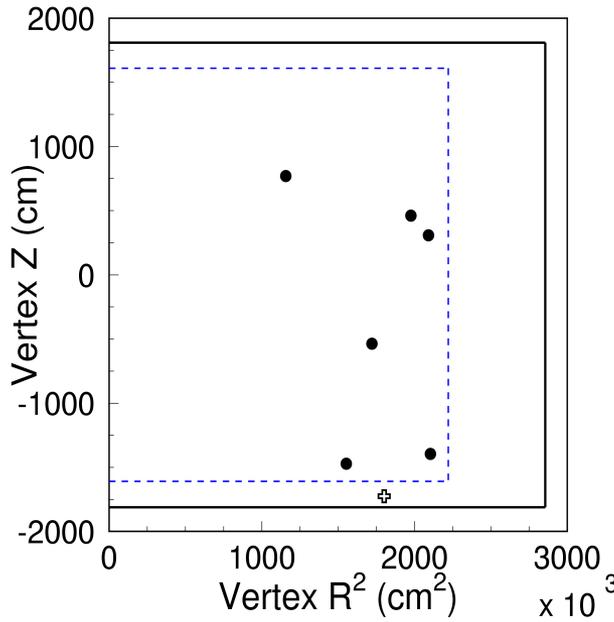
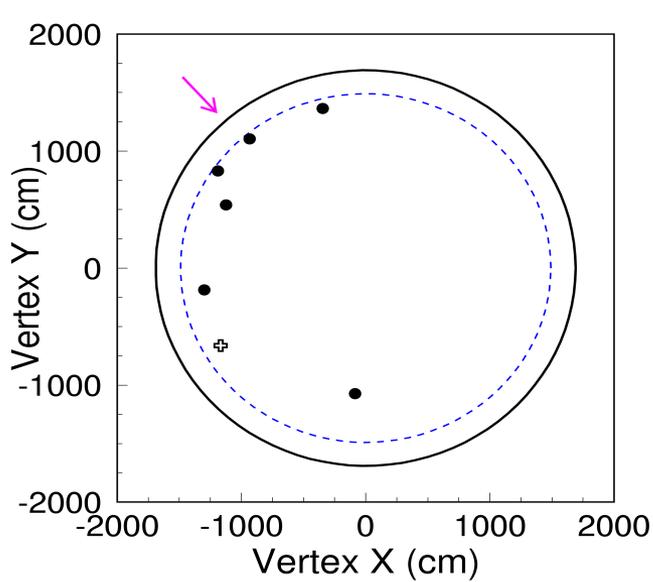
(dominated by hadron-production uncertainties)

$$\Delta m_{23}^2 = 2.4 \cdot 10^{-3} \text{eV}^2$$

$$\sin^2 2\theta_{23} = 1.0$$



Further ν_e candidate event checks



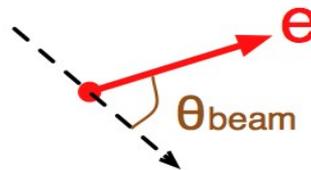
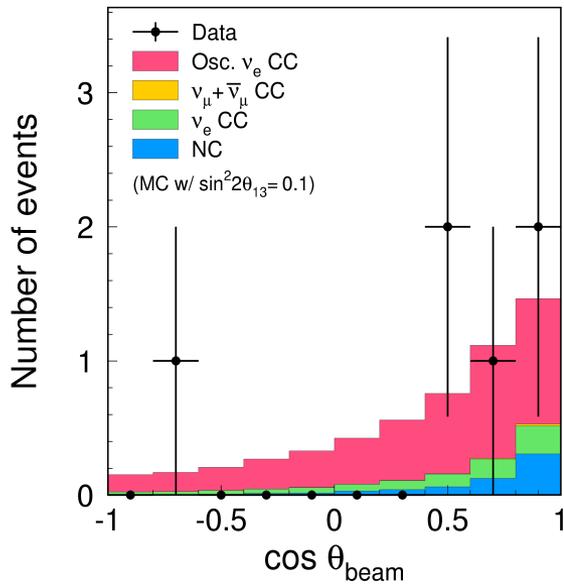
Large R clustering?

Checked events outside the ID fiducial volume and in OD

→ No indication of unmodelled background

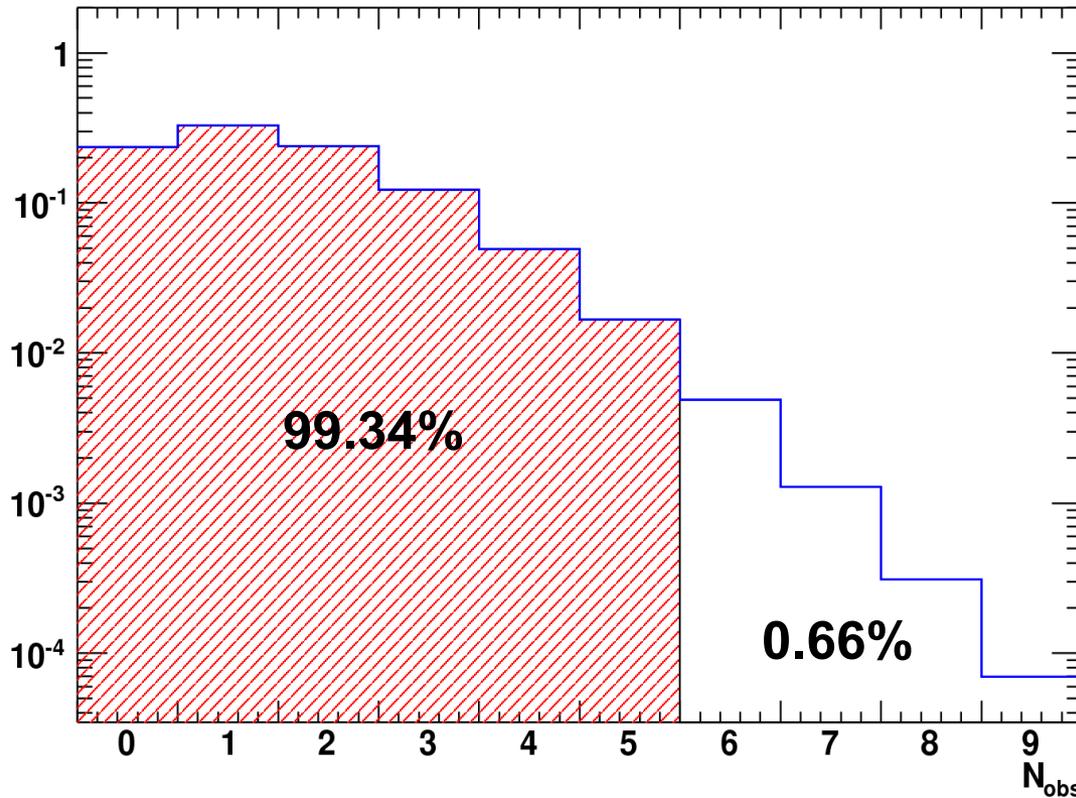
Checked events outside the K.S. test on R^2

→ p-value 0.03



Background fluctuation?

Distribution of observed number of events
Background-only hypothesis ($\sin^2 2\theta_{13} = 0$)



$\sin^2 2\theta_{13} = 0$ excluded to
99.34% level (2.48σ)

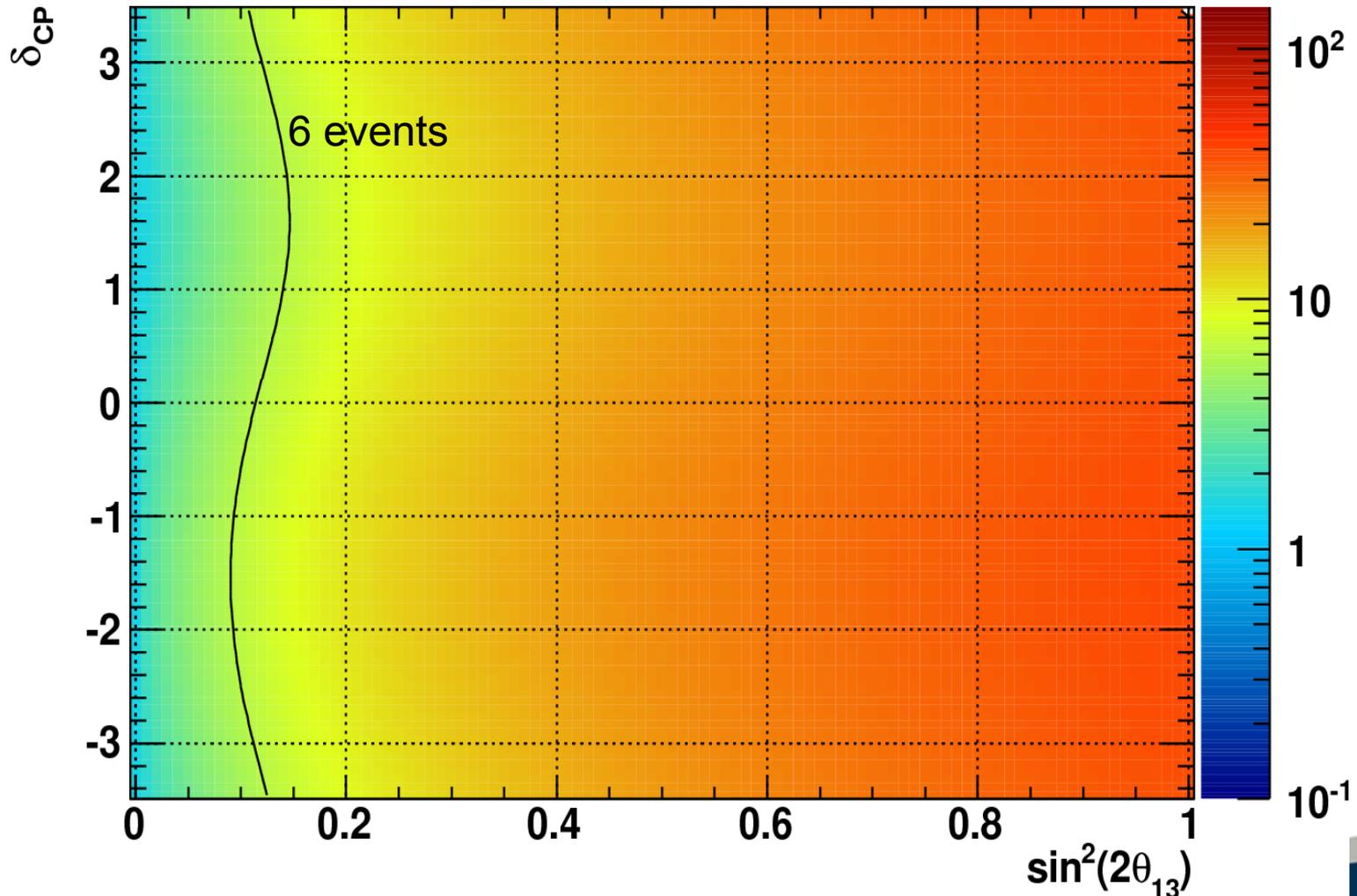
(Normal hierarchy)

$$\Delta m_{23}^2 = 2.4 \cdot 10^{-3} \text{eV}^2$$

$$\sin^2 2\theta_{23} = 1.0$$



Number of ν_e events allowing for $\nu_\mu \rightarrow \nu_e$

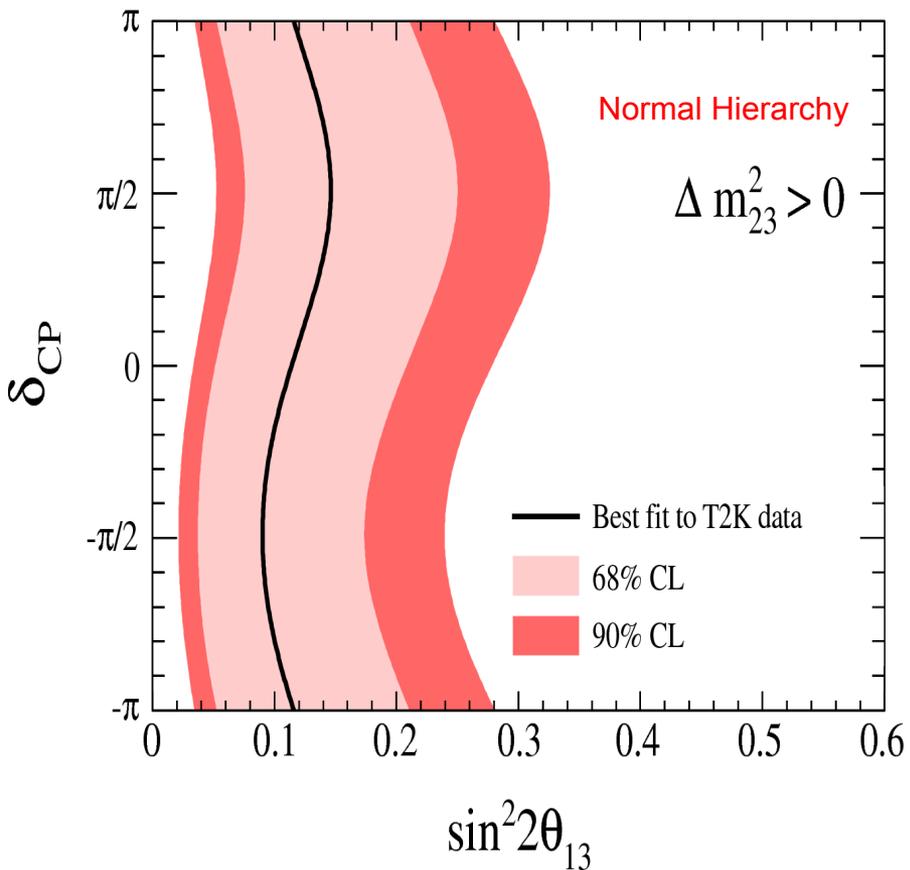


$$\Delta m_{23}^2 = 2.4 \cdot 10^{-3} \text{eV}^2$$

$$\sin^2 2\theta_{23} = 1.0$$

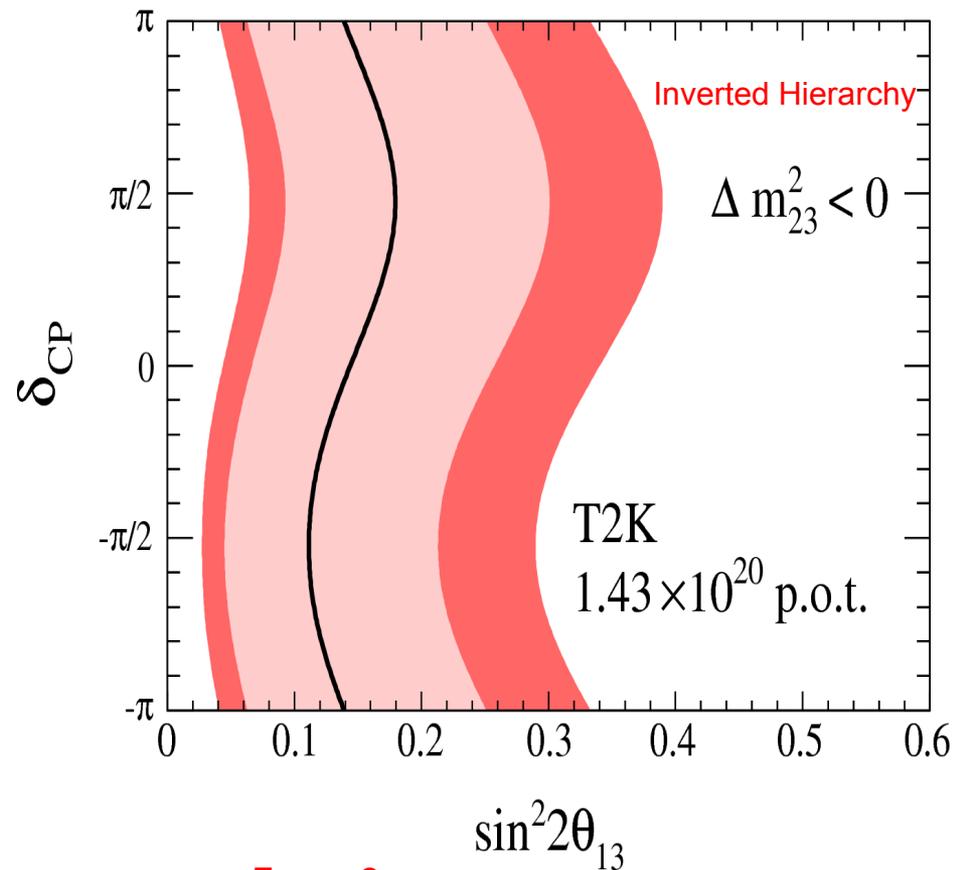


Allowed regions of $\sin^2 2\theta_{13}$ as function of δ_{CP}



$\delta_{CP} = 0$:

- best-fit $\sin^2 2\theta_{13} \sim 0.11$
- $\sin^2 2\theta_{13}$ 90% CL $\sim [0.03 - 0.28]$



$\delta_{CP} = 0$:

- best-fit $\sin^2 2\theta_{13} \sim 0.14$
- $\sin^2 2\theta_{13}$ 90% CL $\sim [0.04 - 0.34]$

$\Delta m_{23}^2 = 2.4 \cdot 10^{-3} \text{eV}^2$
 $\sin^2 2\theta_{23} = 1.0$

Muon neutrino disappearance results

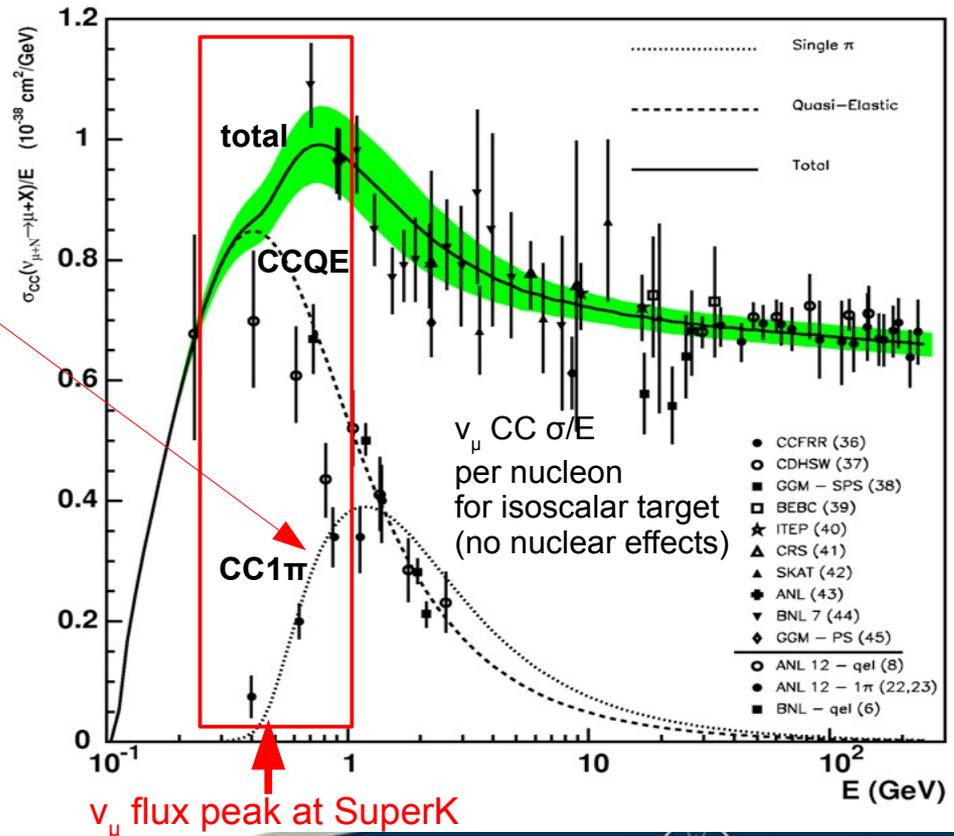
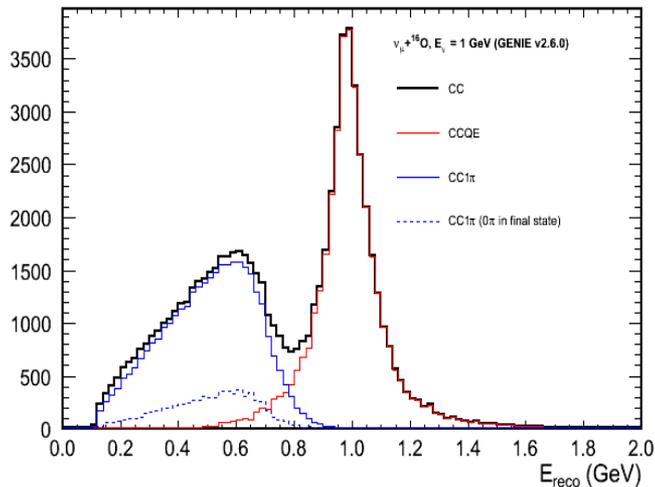
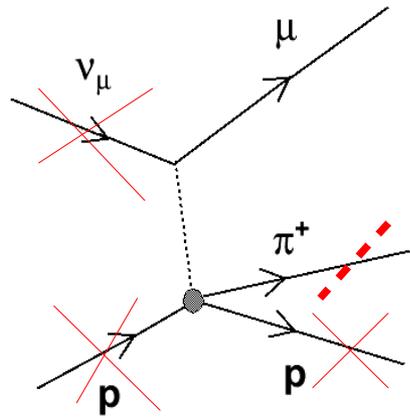


SuperK ν_μ event selection: Strategy

Selecting ν_μ CCQE events. A water-Cherenkov detector sees a single μ -like (crisp) ring

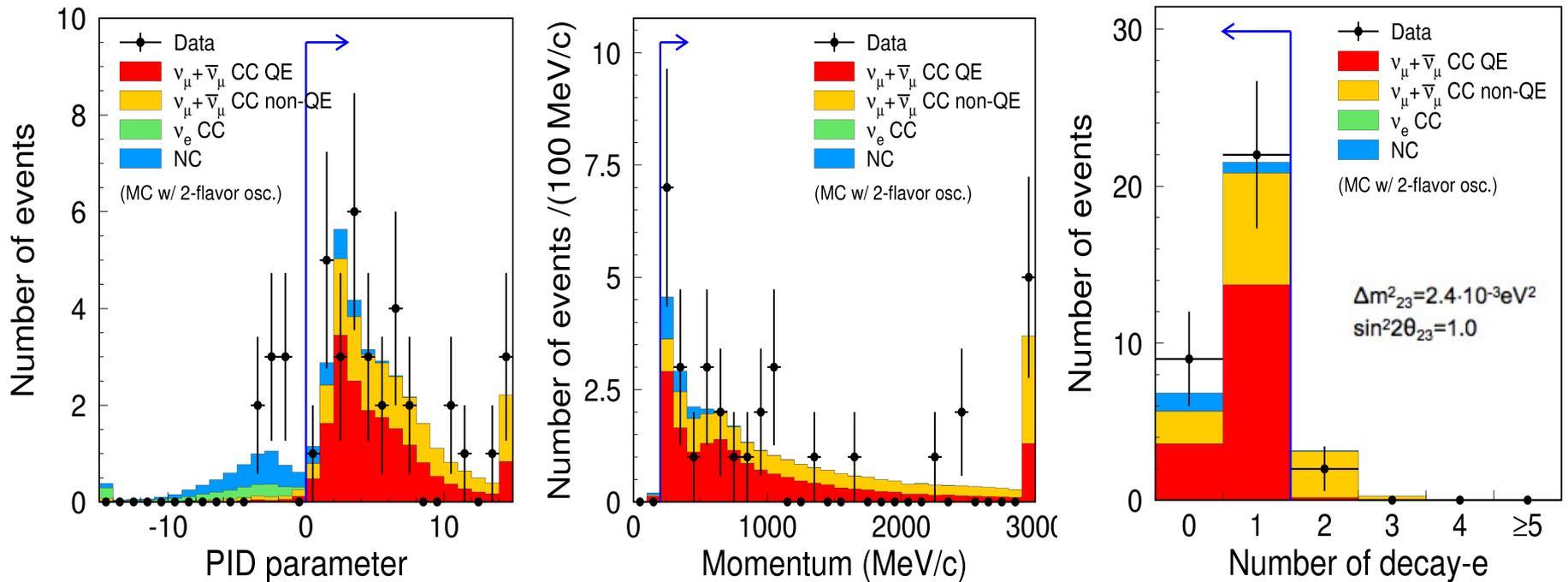
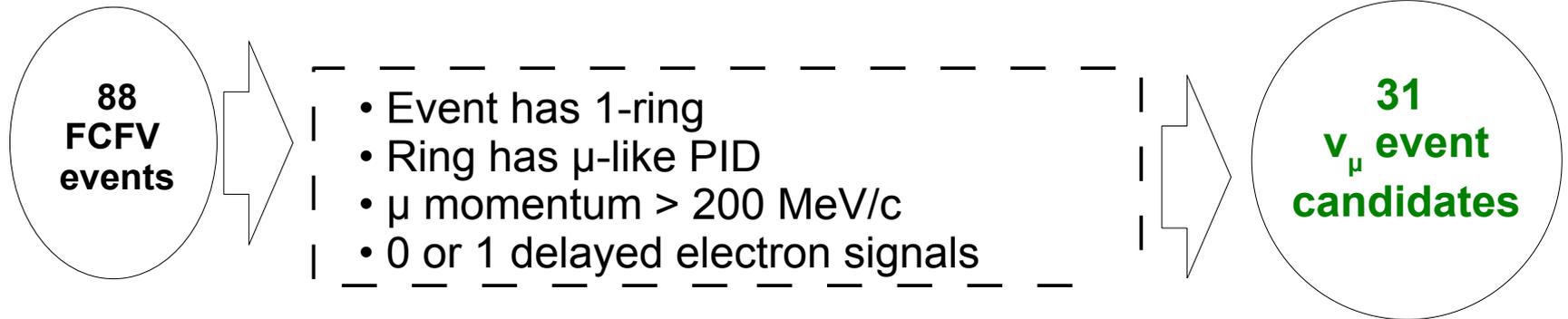
Main background: ν_μ CC π with unidentified π

(Background oscillates too, but energy reconstruction is systematically off due to unaccounted π)



SuperK ν_μ event selection: Cut overview

All cuts were defined before the data-taking operations



Expected sample composition: CCQE(61%) CCnQE (32%), NC(6%), ν_e (<1%)

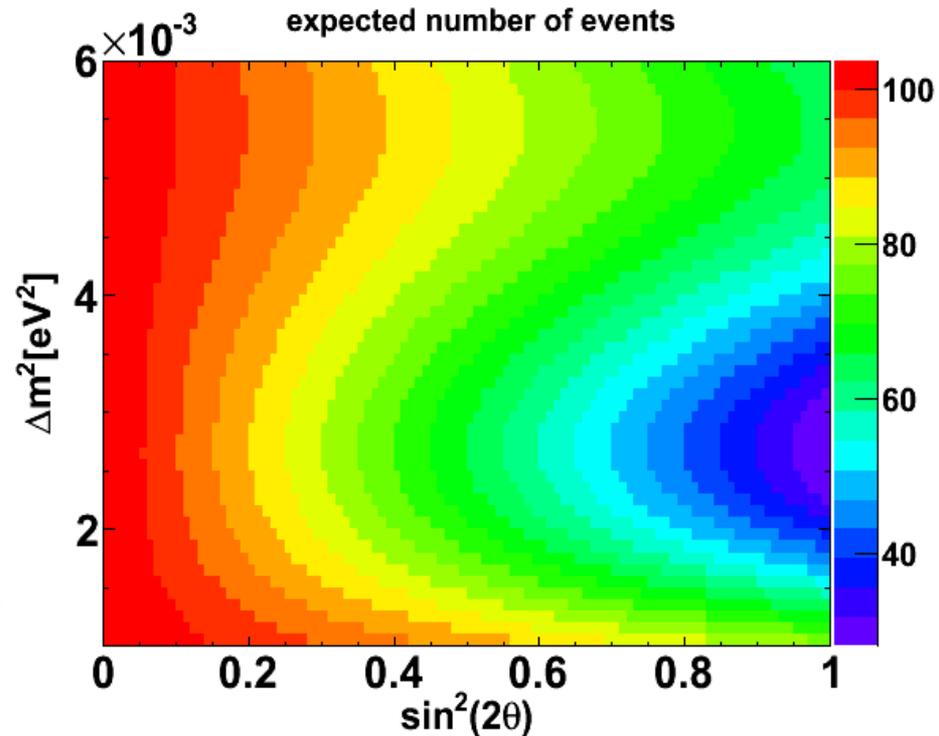
ν_μ -disappearance: MC expectation

In absence of oscillations, expect: 103.6 ± 10.2 (stat) $+ 13.8$ (syst) 1-ring μ -like events
 $- 13.4$

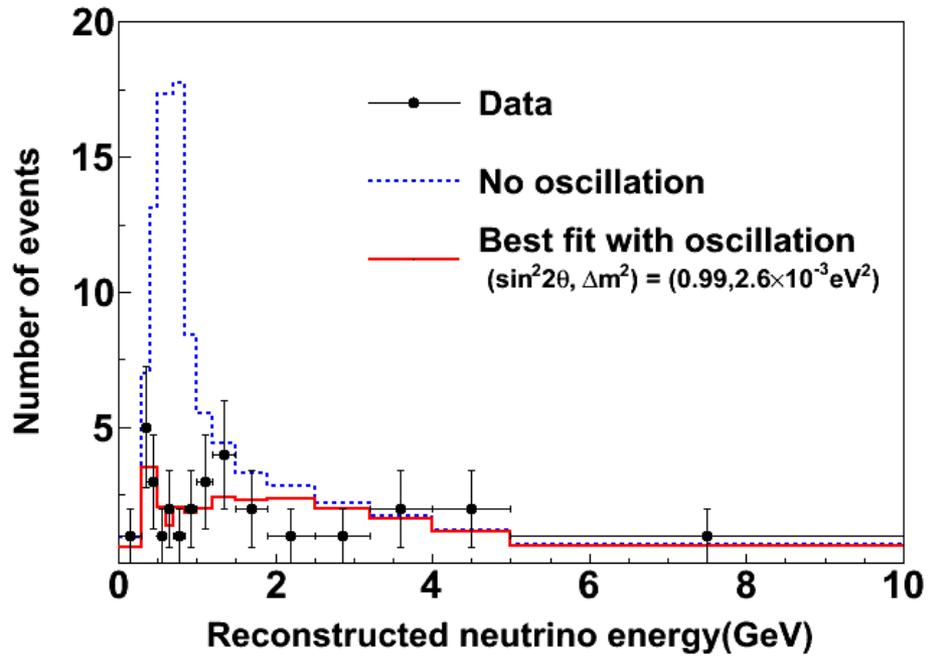
Uncertainty on expected number of events

N_{exp}^{SK} error table

Error source	$\sin^2 2\theta = 1.0, \Delta m^2 = 2.4$	Null Oscillation
SK Efficiency	+10.3% 10.3%	+5.1% -5.1%
Cross section and FSI	+8.3% -8.1%	+7.8% -7.3%
Beam Flux	+4.8% -4.8%	+6.9% -5.9%
ND Efficiency and Overall Norm.	+6.2% -5.9%	+6.2% -5.9%
Total	+15.4% -15.1%	+13.2% -12.7%



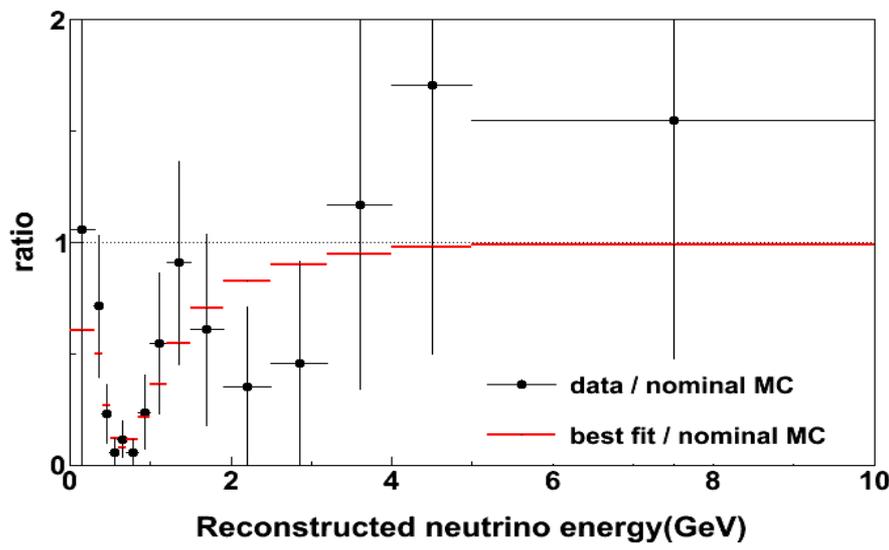
ν_μ -disappearance: Best-fit spectrum



2 independent fitting methods

- Likelihood ratio, w/o systematic param fitting
 $\sin^2(2\theta_{23})=0.98, |\Delta m^2_{23}|=2.6 \times 10^{-3} \text{eV}^2/c^4$
- Ext. max. likelihood ratio, w systematic param fitting
 $\sin^2(2\theta_{23})=0.99, |\Delta m^2_{23}|=2.6 \times 10^{-3} \text{eV}^2/c^4$

Repeated the analysis with 2 different neutrino MC generators (GENIE and NEUT):
Very different cross-section model

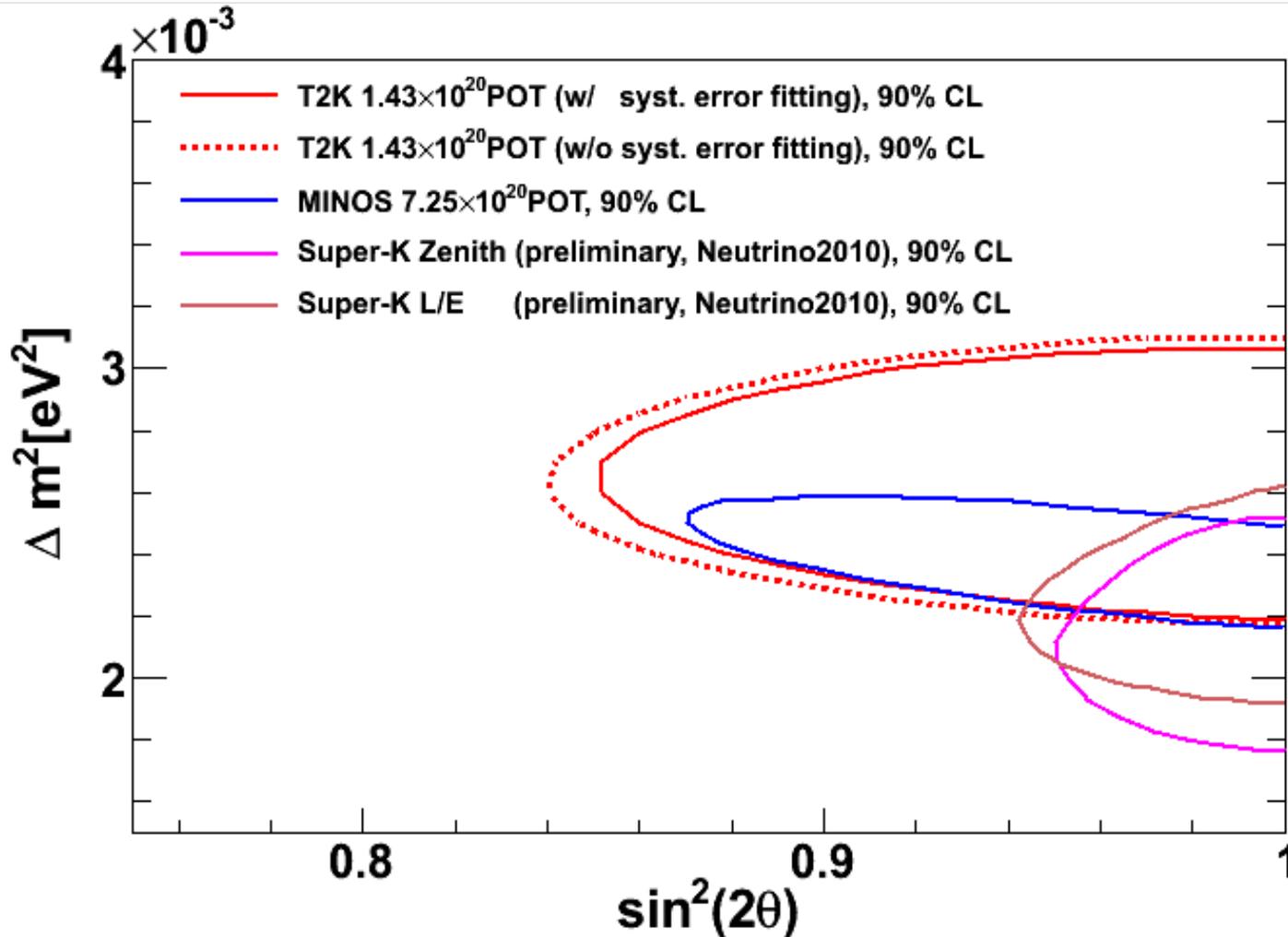


Very good consistency between all fits.

A very robust oscillation result!

ν_μ -disappearance: Confidence regions

(and comparison with latest MINOS and SuperK results)



Both T2K analyses used the Feldman-Cousins method to construct confidence regions.



Conclusions

Reported results from an initial exposure of 1.431E+20 POT (just ~2% of expected final exposure)

- **Electron-neutrino appearance:**

- **Observed 6 single-ring electron-like event**
- **Background ($\theta_{13}=0$) = 1.5 ± 0.3**
- $\theta_{13}=0$ excluded to 2.5σ level
 - *First strong indication for a non-zero θ_{13}*

- **3-flavour fit-results**

For Normal (Inverted) hierarchy, $\delta_{CP} = 0$ and global best-fit values of “23”-sector params:

- **Best-fit value: $\sin^2 2\theta_{13} = 0.11$ (0.14), 90% CL: $0.03 < \sin^2 2\theta_{13} < 0.28$**
- **90% CL: 0.03 (0.04) $< \sin^2 2\theta_{13} < 0.28$ (0.34)**

- **Muon-neutrino disappearance:**

- **Observed 31 single-ring muon-like events.**
- **Without oscillations, expect $\sim 103.6 \pm 17.2$ events (a $\sim 4\sigma$ deficit)**
- **Consistent with MINOS / K2K / SuperK (atmospheric neutrinos).**

- **Effective 2-flavour fit-results:**

- **Best-fit values: $\sin^2 2\theta_{23} = 0.98$, $|\Delta m^2_{23}| = 2.6 \times 10^{-3} \text{ eV}^2/c^4$**
- **90% CL : $\sin^2 2\theta_{23} > 0.84$, $2.1 \times 10^{-3} \text{ eV}^2/c^4 < |\Delta m^2_{23}| < 3.1 \times 10^{-3} \text{ eV}^2/c^4$**



Back-up slides



T2K Collaboration



59 institutions in 12 countries

Canada

TRIUMF
U of Alberta
U of B Columbia
U of Regina
U of Toronto
U of Victoria
York U

France

CEA Saclay
IPN Lyon
LLR E Poly
LPNHE-Paris

Russia

INR

Korea

Chonnam Nat'l U
Dongshin U
Seoul Nat'l U

Spain

IFIC, Valencia
U.A. Barcelona

Poland

A Soltan, Warsaw
HNiewodniczanski
T U Warsaw
U of Silesia
Warsaw U
Wroclaw U

Switzerland

Bern
ETH Zurich
U of Geneva

UK

U of Oxford
Imperial C London
Lancaster U
Queen Mary U of L
Sheffield U
STFC/RAL
STFC/Daresbury
U of Liverpool
U of Warwick

Japan

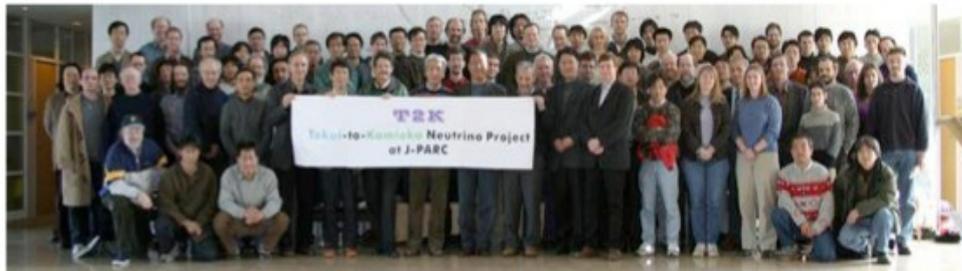
ICRR Kamioka
ICRR RCCN
KEK
Kobe U
Kyoto U
Miyagi U of Ed
Osaka City U
U of Tokyo

Italy

INFN Bari
INFN Roma
Napoli U
Padova U

USA

Boston U
BNL
Colorado State U
Duke U
Louisiana State U
Stony Brook U
U of California, Irvine
U of Colorado
U of Pittsburgh
U of Rochester
U of Washington
Germany
RWTH Aachen U



ν interaction uncertainty



- Estimated from parameter variations in MC models and comparisons with data (K2K, MiniBooNE, SciBooNE, MINOS)

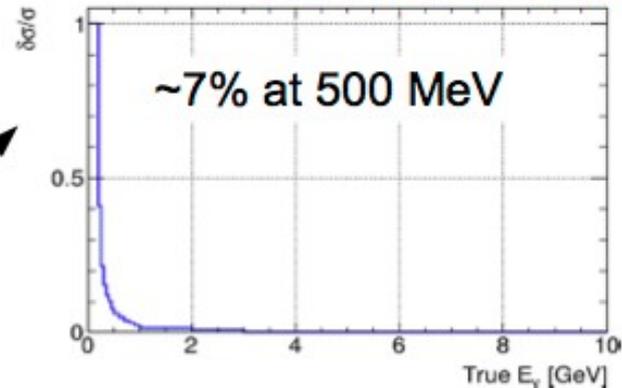
For electron appearance analysis:

Category	Error [%]
CC QE	Depends on true neutrino energy
CC 1π	30 ($E_\nu < 2$ GeV) 20 ($E_\nu > 2$ GeV)
CC coherent π	100
CC other	30 ($E_\nu < 2$ GeV) 25 ($E_\nu > 2$ GeV)
NC $1\pi^0$	30 ($E_\nu < 1$ GeV) 20 ($E_\nu > 1$ GeV)
NC coherent	30
NC other	30
FSI error	Depends on reconst. neutrino energy

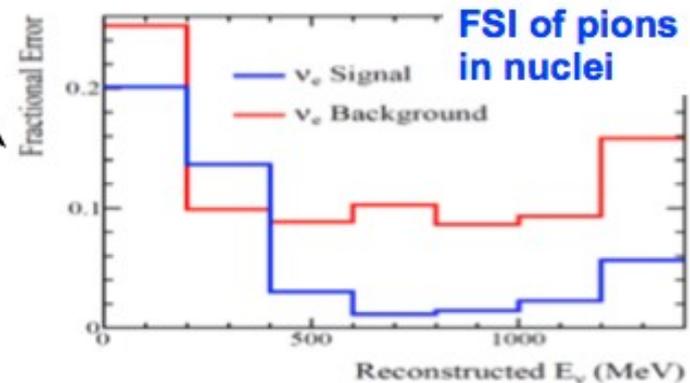
$\sigma(\nu_e)/\sigma(\nu_\mu)$: 6%

Shape Error on CCQE

Due to nuclear target diff. b/w near and far detector



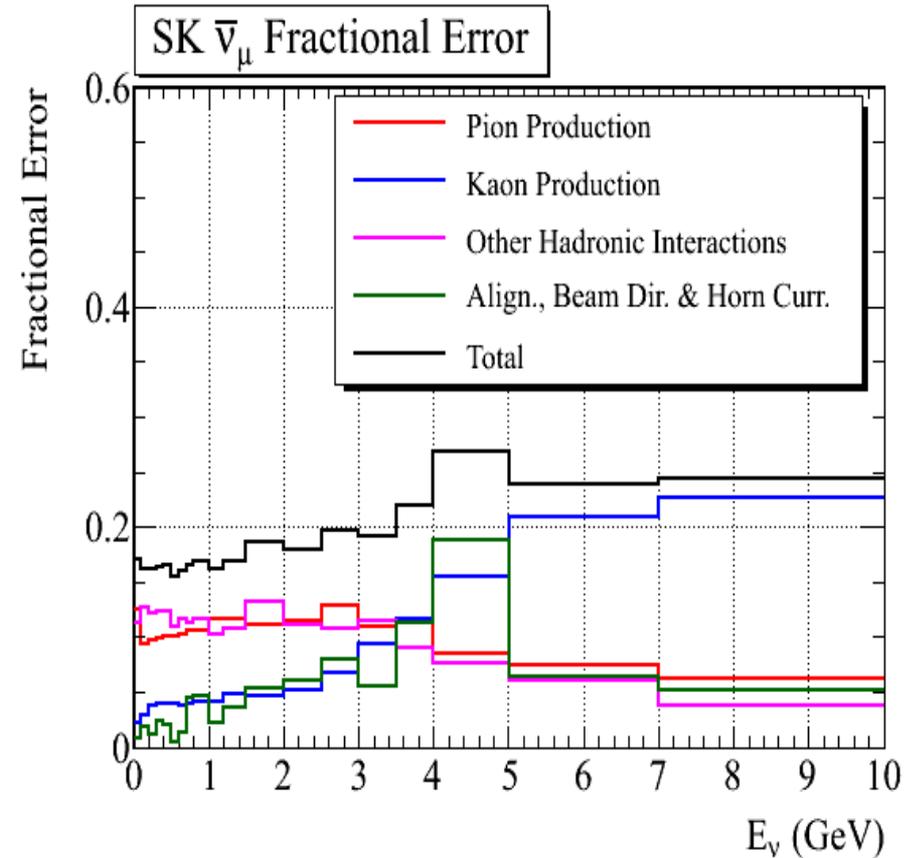
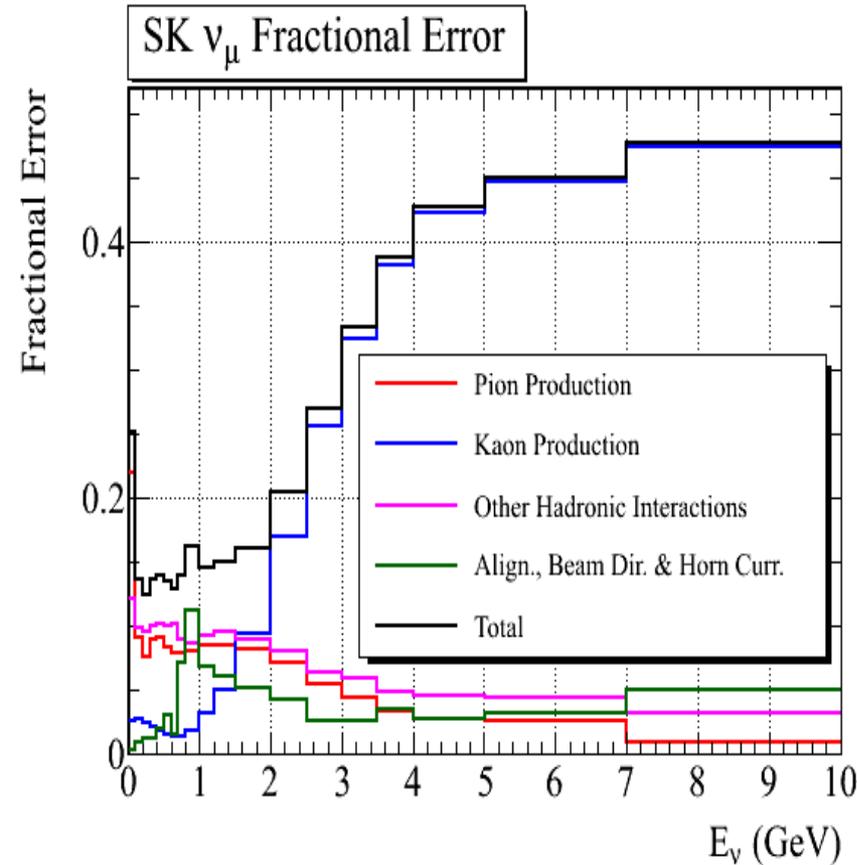
Uncertainties on the ratio relative to CCQE



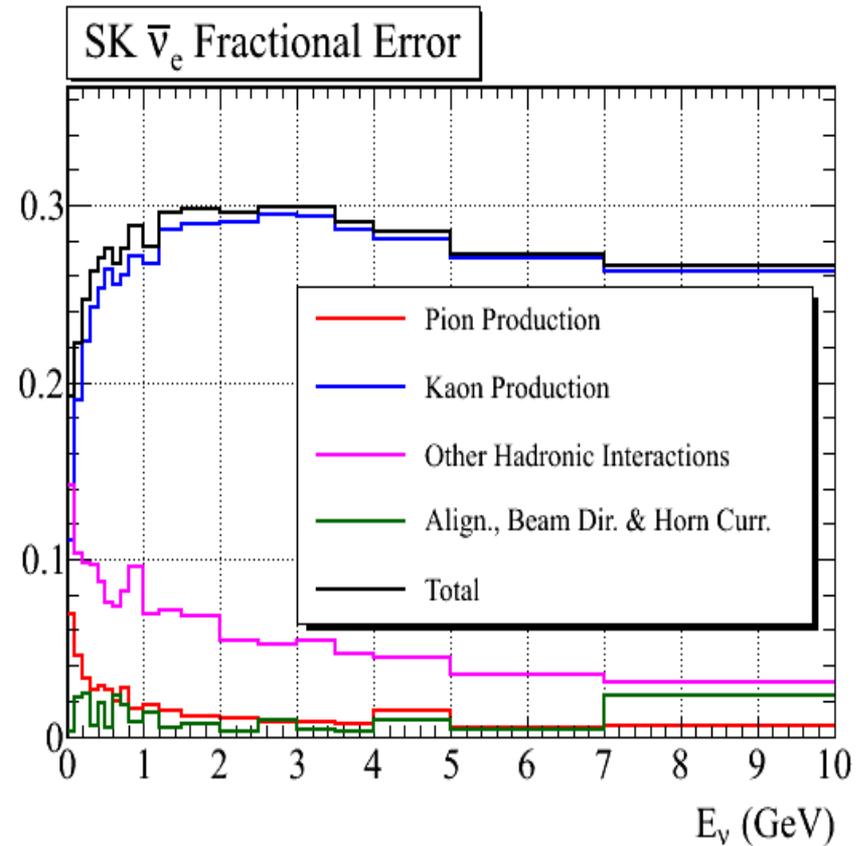
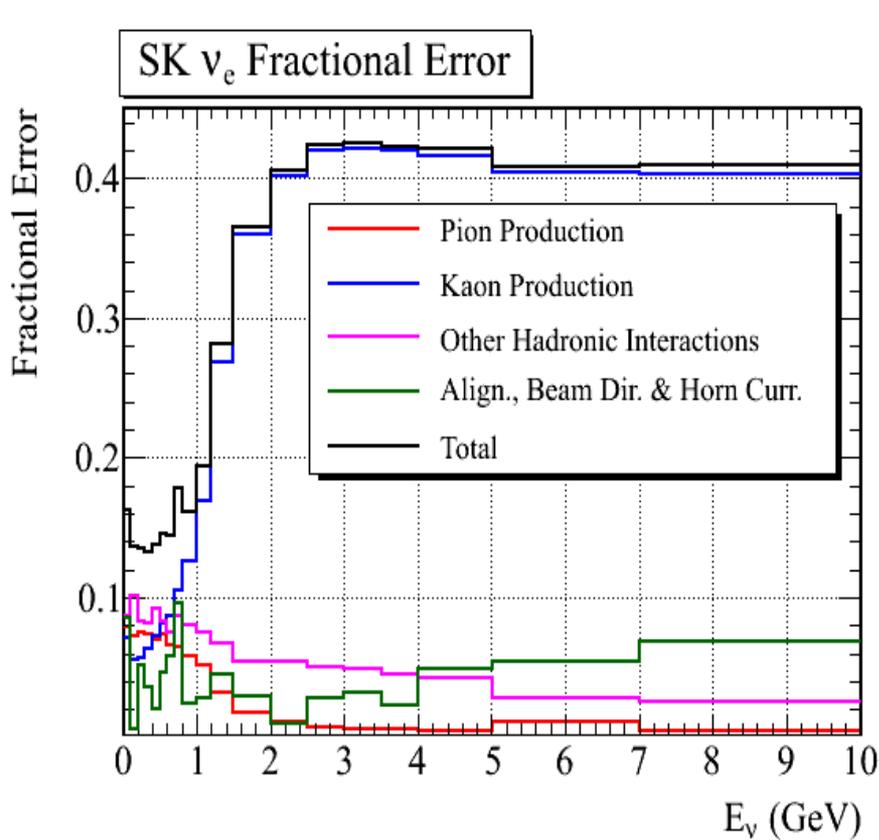
Most errors considered correlated b/w near and far detectors



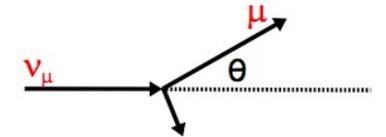
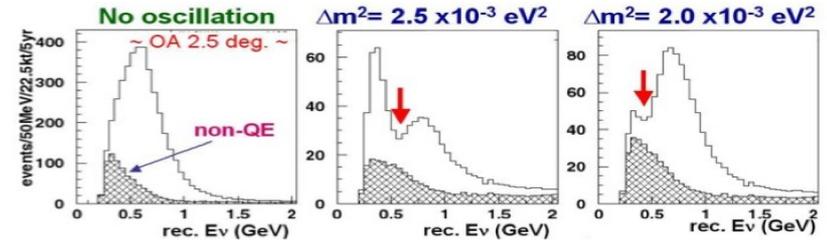
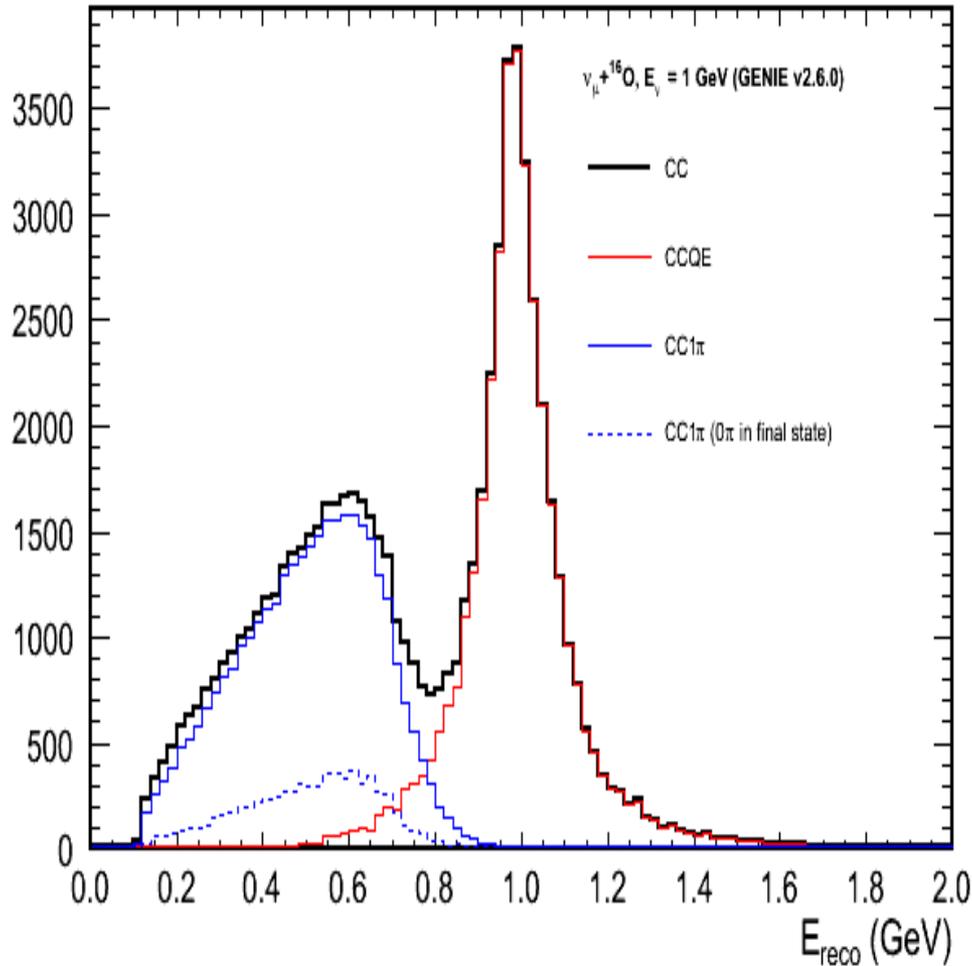
Neutrino flux uncertainties



Neutrino flux uncertainties



Energy reconstruction for CCQE and non-CCQE



$$E_\nu = \frac{m_N E_\mu - m_\mu^2 / 2}{m_N - E_\mu + p_\mu \cos(\Theta_\mu)}$$

$m_N =$ Neutron mass

$E_\mu =$ Muon energy

$m_\mu =$ Muon mass

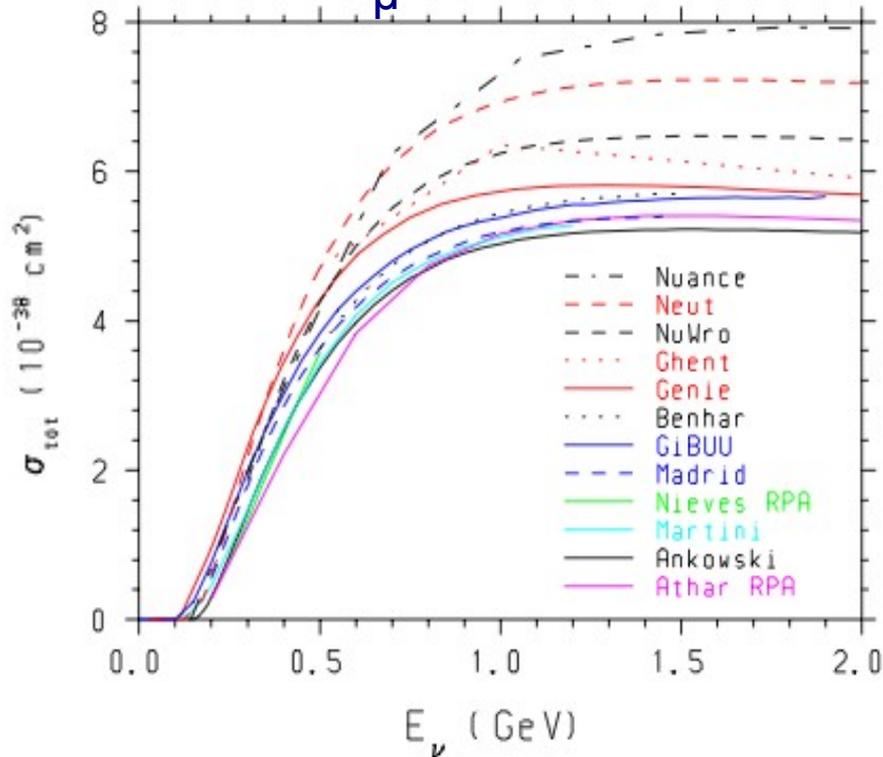
$p_\mu =$ Muon momentum

$\Theta_\mu =$ Muon angle wrt beam

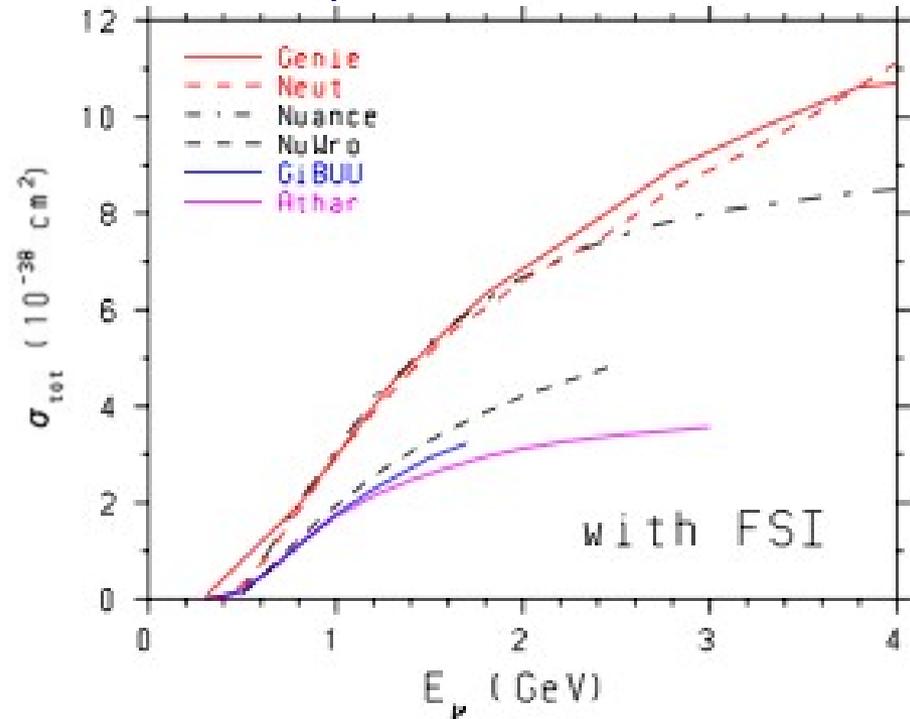


Cross sections – Survey of models

ν_{μ} CCQE



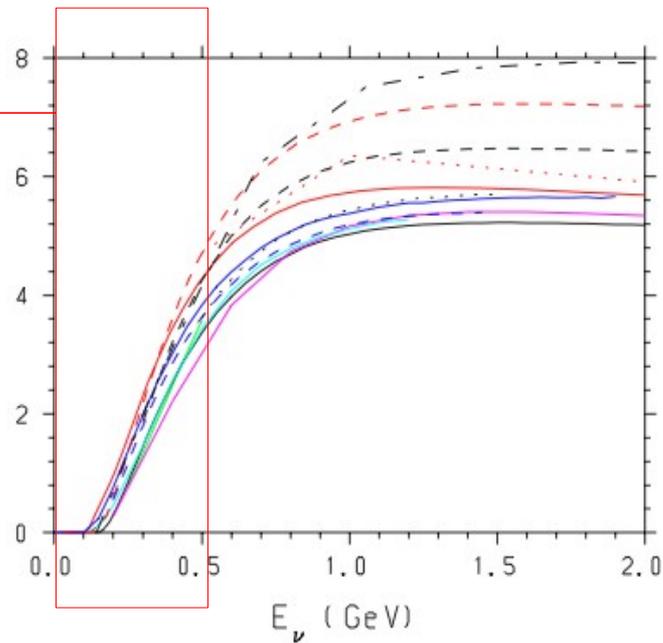
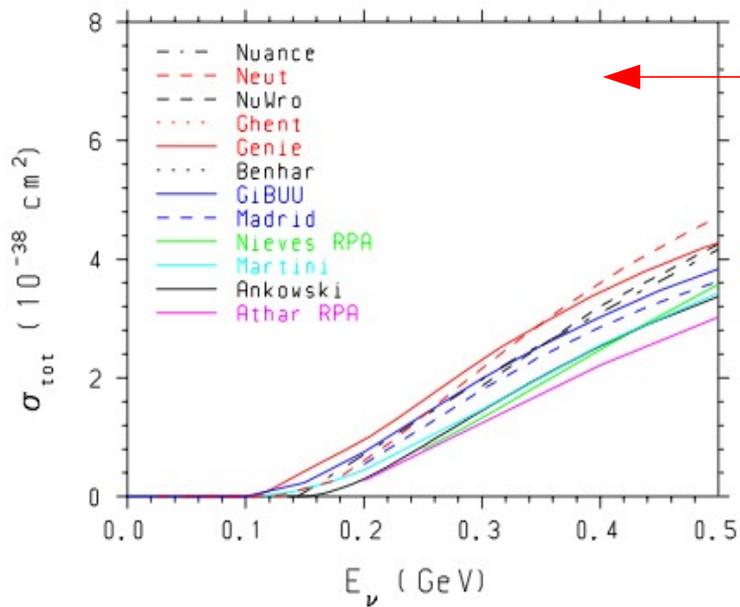
ν_{μ} CC1 π^+



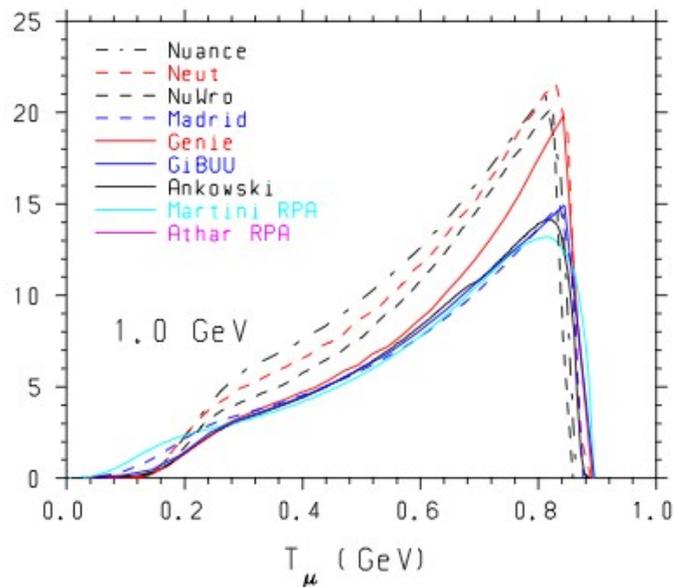
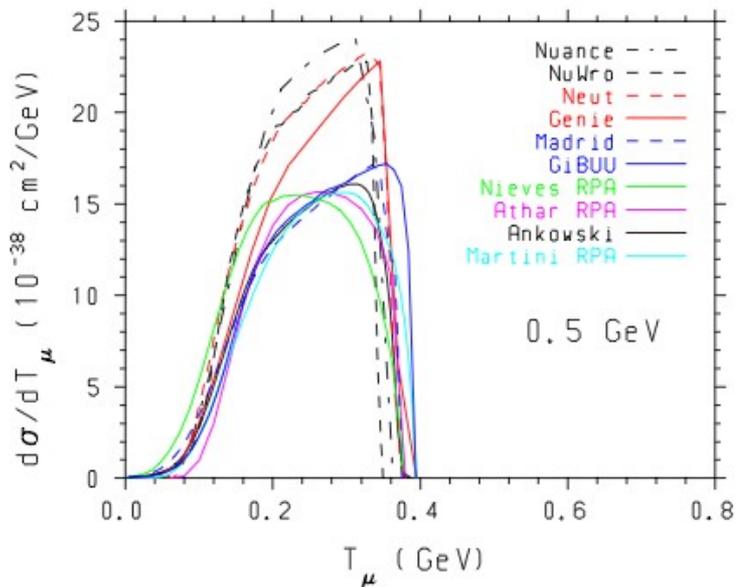
$\nu_{\mu} + \text{C12}$



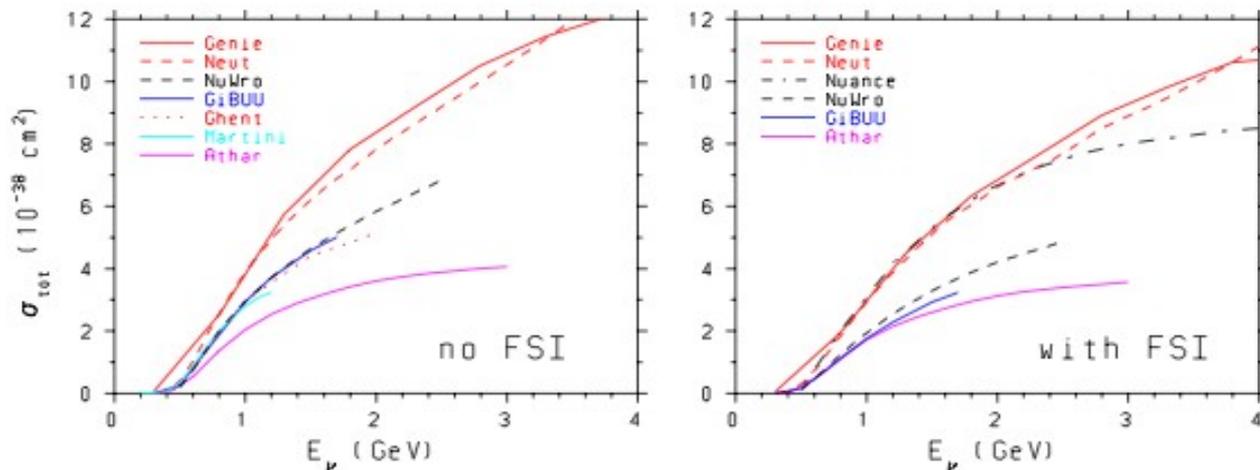
ν_μ CCQE cross section – Survey of models



$\nu_\mu + \text{C12}$

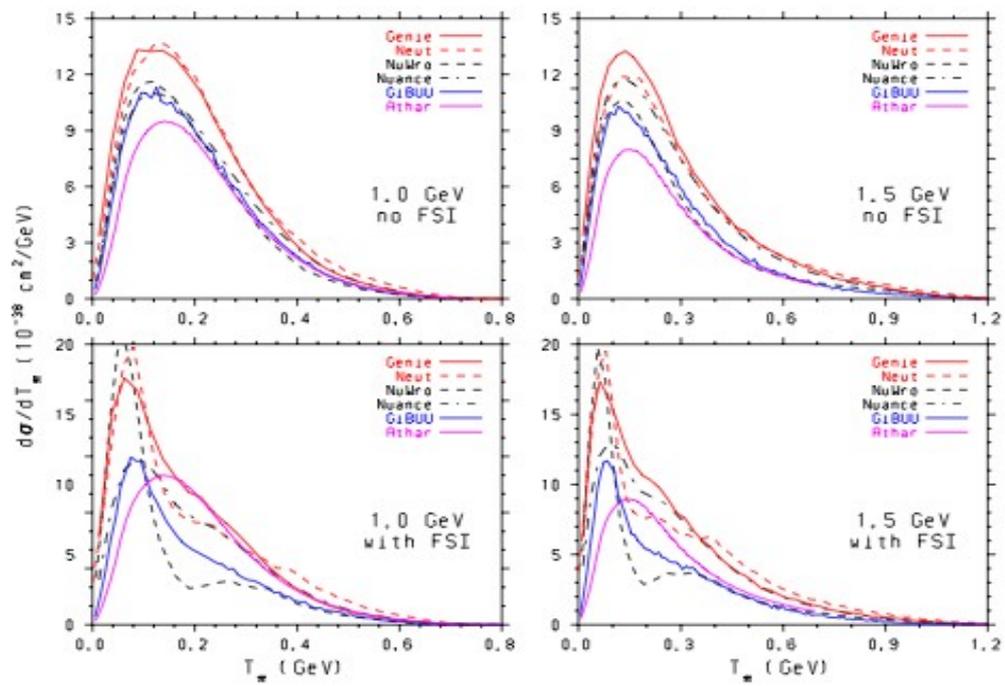


ν_μ CC1 π cross section – Survey of models



$\sigma(E)$

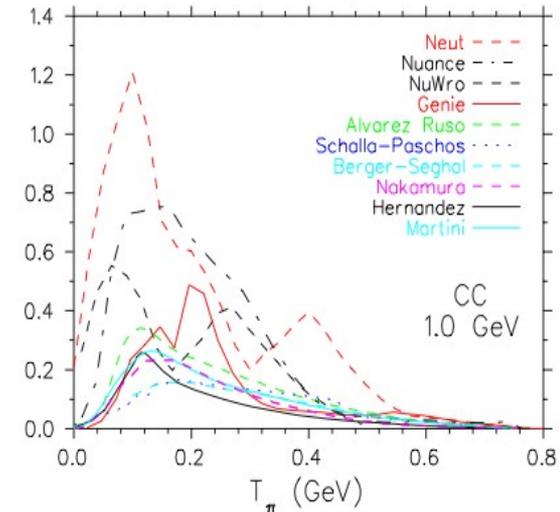
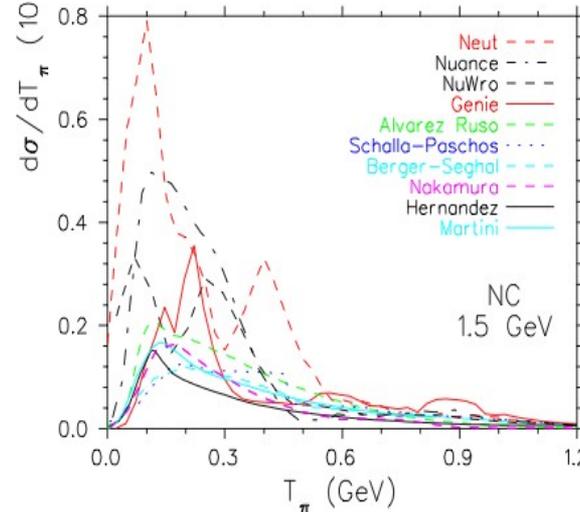
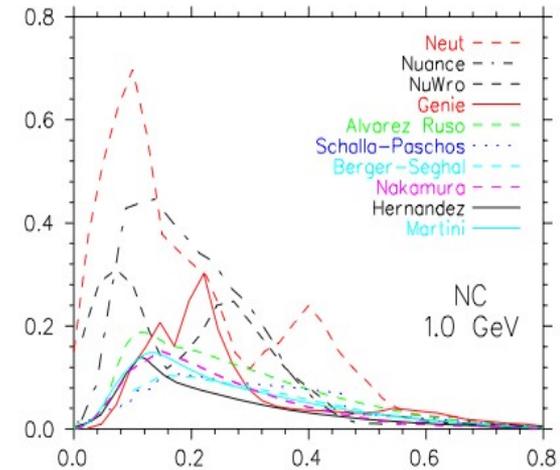
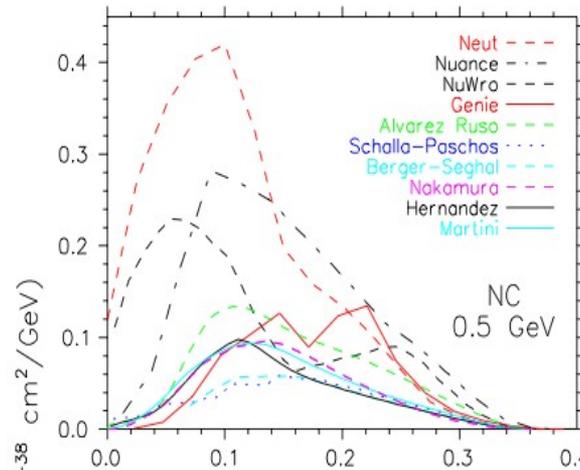
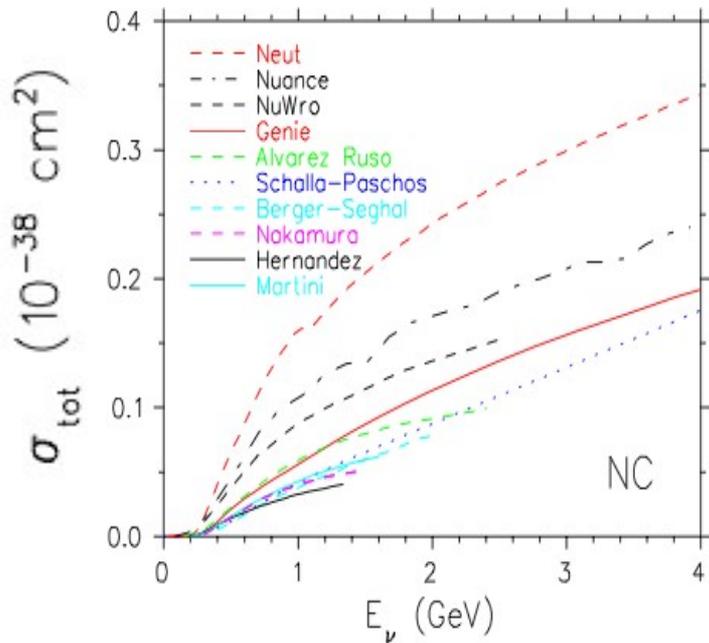
$\nu_\mu + \text{C12}$



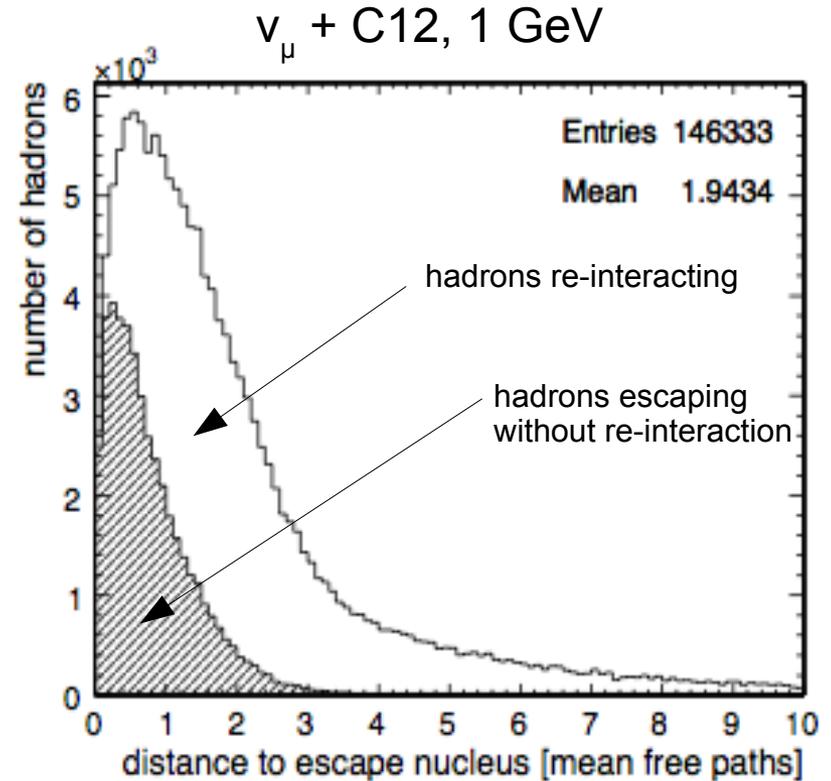
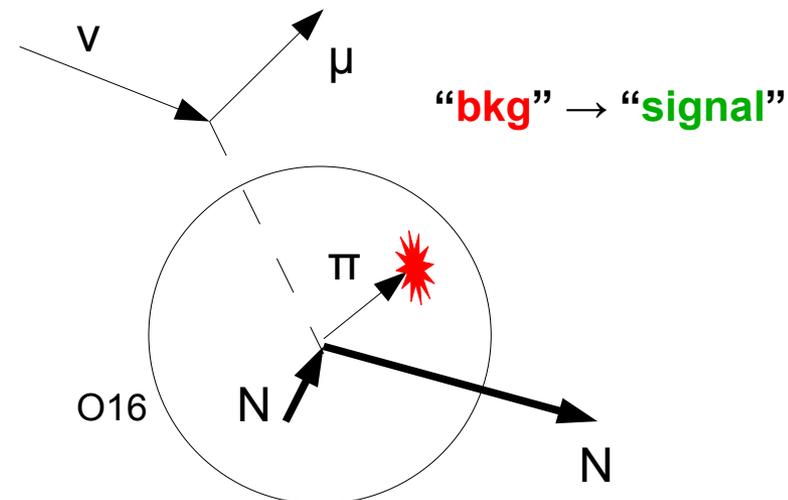
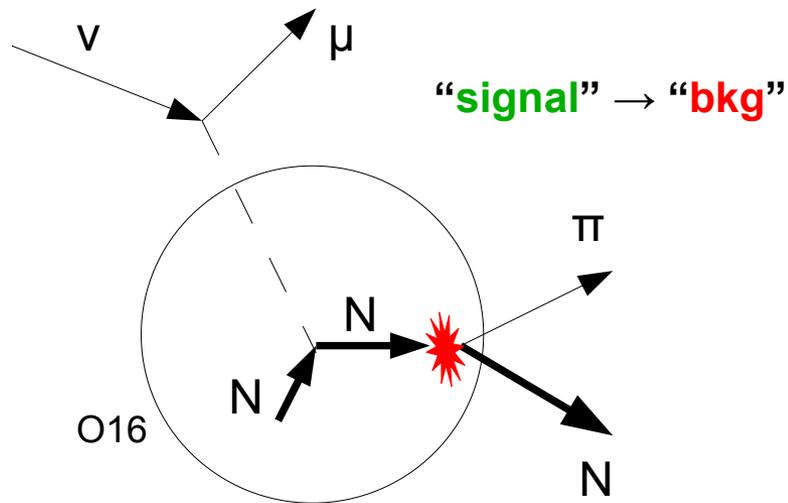
$d\sigma(E, T_\pi)/dT_\pi$

ν_μ NC π^0 (coherent) cross sections – Survey of models

$\nu_\mu + \text{C12}$



Final State Interactions (FSI)



~ 2/3 of hadrons re-interact!

FSI effect on final state topologies

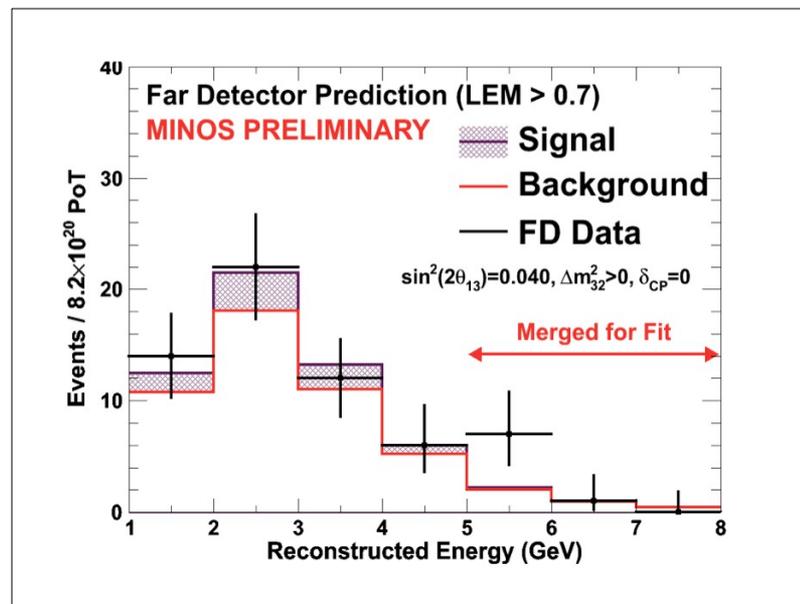
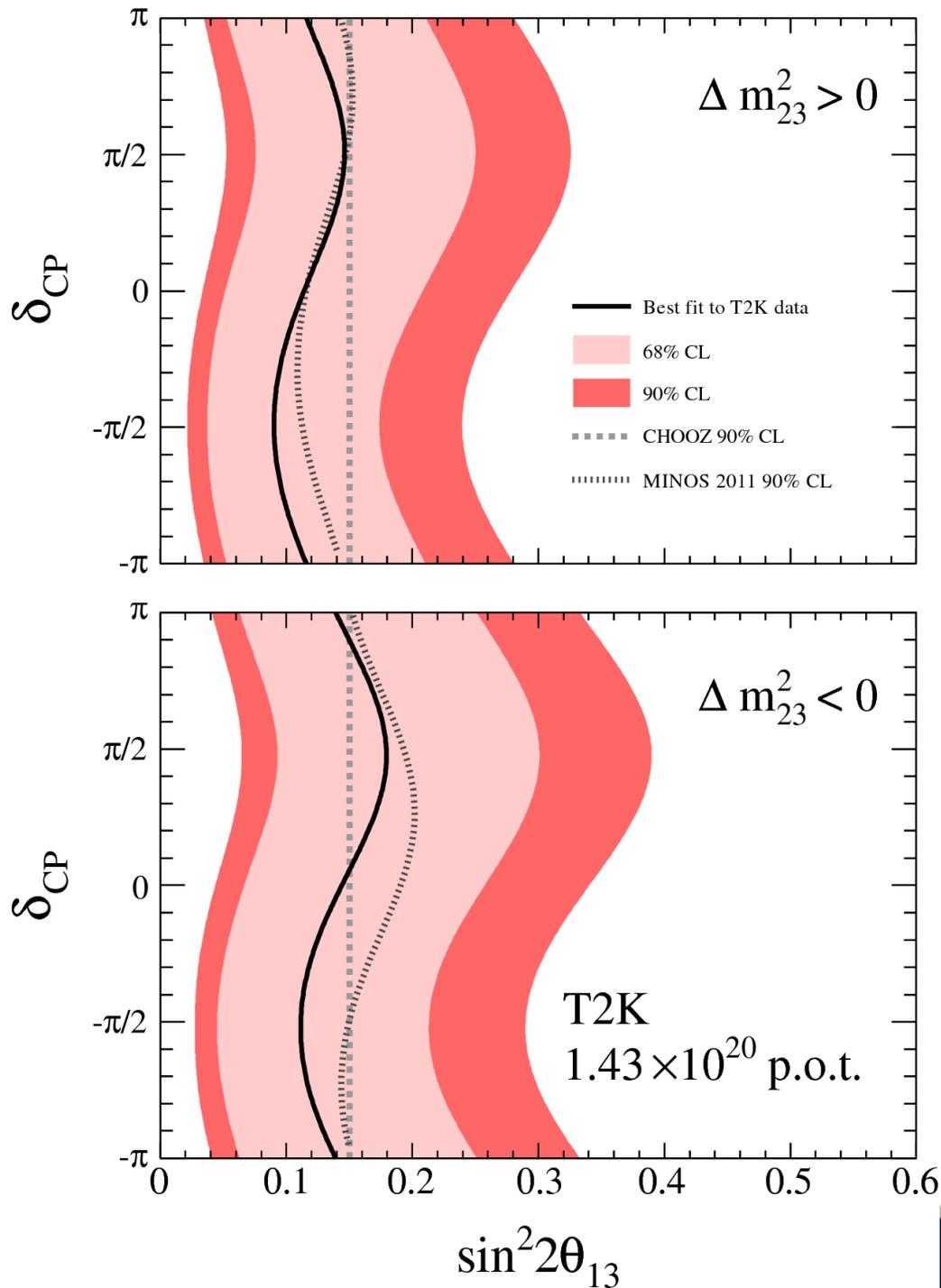
what was generated
inside the nucleus

Final- State	Primary Hadronic System									
	$0\pi X$	$1\pi^0 X$	$1\pi^+ X$	$1\pi^- X$	$2\pi^0 X$	$2\pi^+ X$	$2\pi^- X$	$\pi^0\pi^+ X$	$\pi^0\pi^- X$	$\pi^+\pi^- X$
$0\pi X$	293446	12710	22033	3038	113	51	5	350	57	193
$1\pi^0 X$	1744	44643	3836	491	1002	25	1	1622	307	59
$1\pi^+ X$	2590	1065	82459	23	14	660	0	1746	5	997
$1\pi^- X$	298	1127	1	12090	16	0	46	34	318	1001
$2\pi^0 X$	0	0	0	0	2761	2	0	260	40	7
$2\pi^+ X$	57	5	411	0	1	1999	0	136	0	12
$2\pi^- X$	0	0	0	1	0	0	134	0	31	0
$\pi^0\pi^+ X$	412	869	1128	232	109	106	0	9837	15	183
$\pi^0\pi^- X$	0	0	1	0	73	0	8	5	1808	154
$\pi^+\pi^- X$	799	7	10	65	0	0	0	139	20	5643

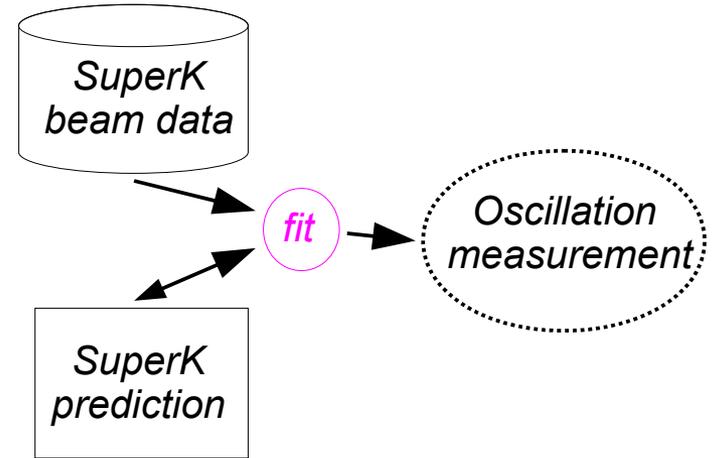
what we could
see in a perfect
detector

T2K allowed regions of $\sin^2 2\theta_{13}$ as function of δ_{CP} :

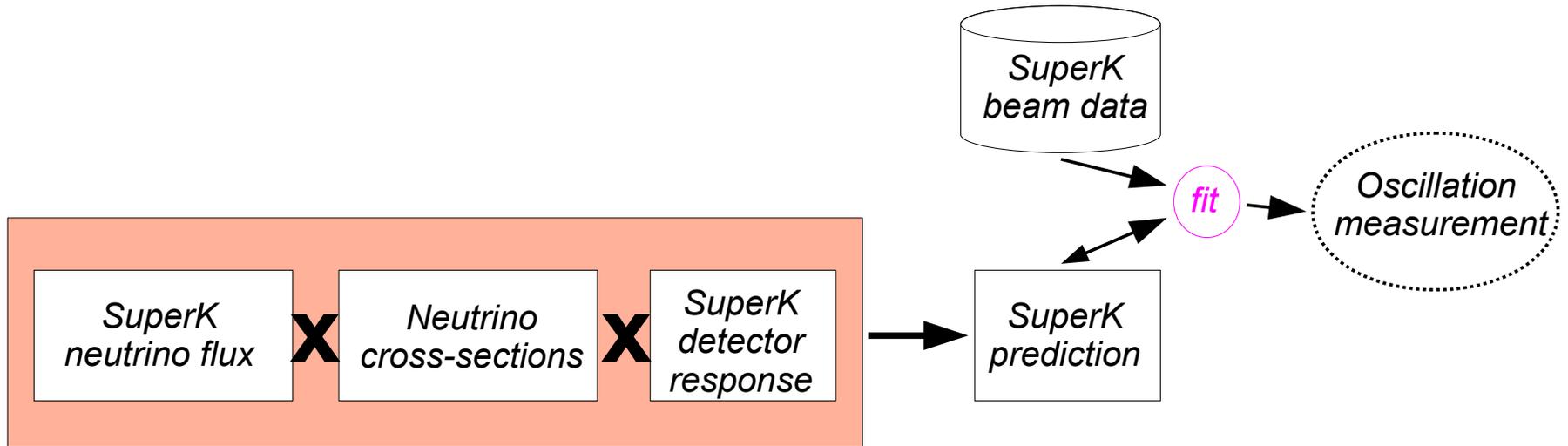
Comparison with upper limits from MINOS and CHOOZ.



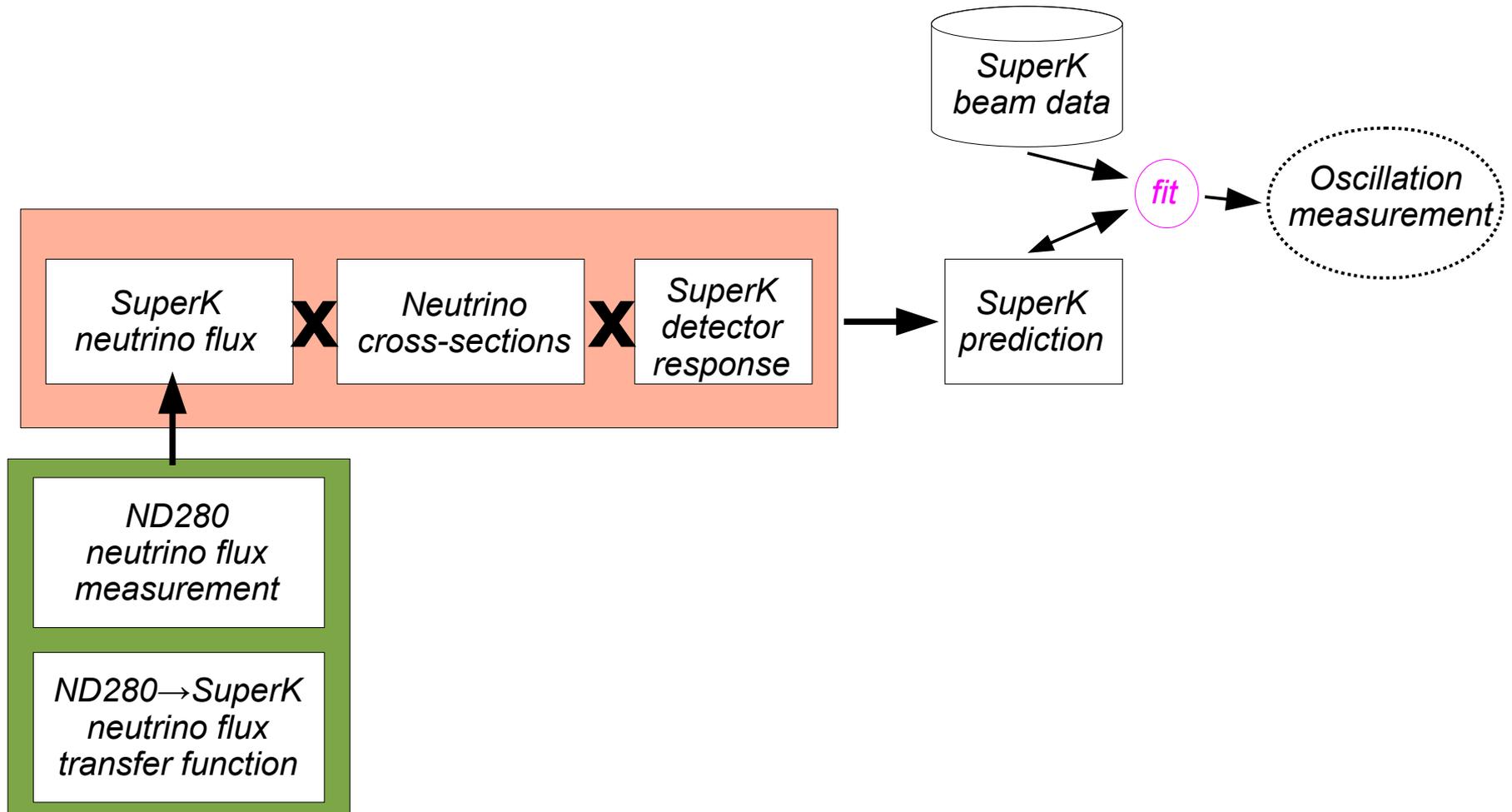
Analysis Flow



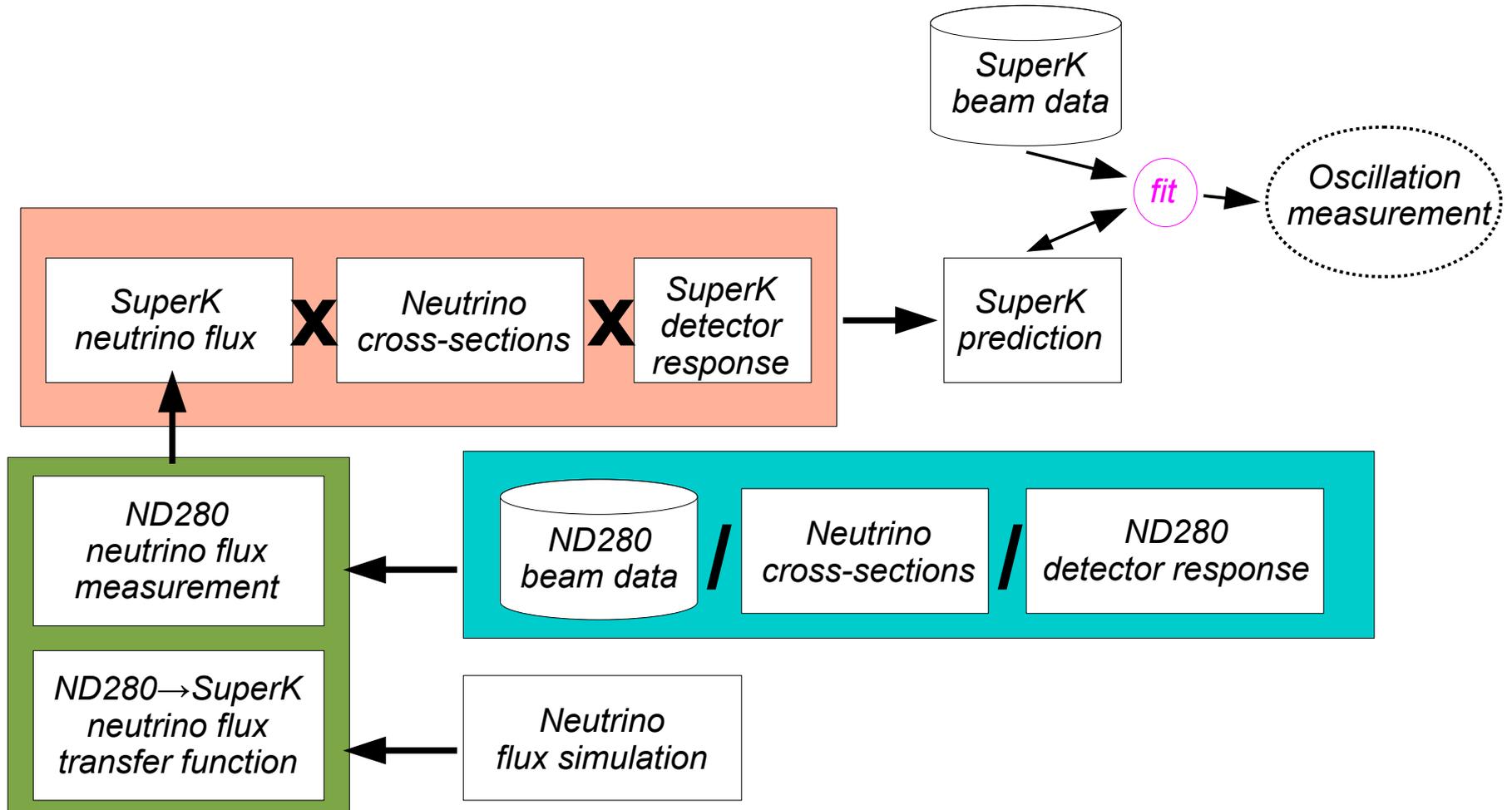
Analysis Flow



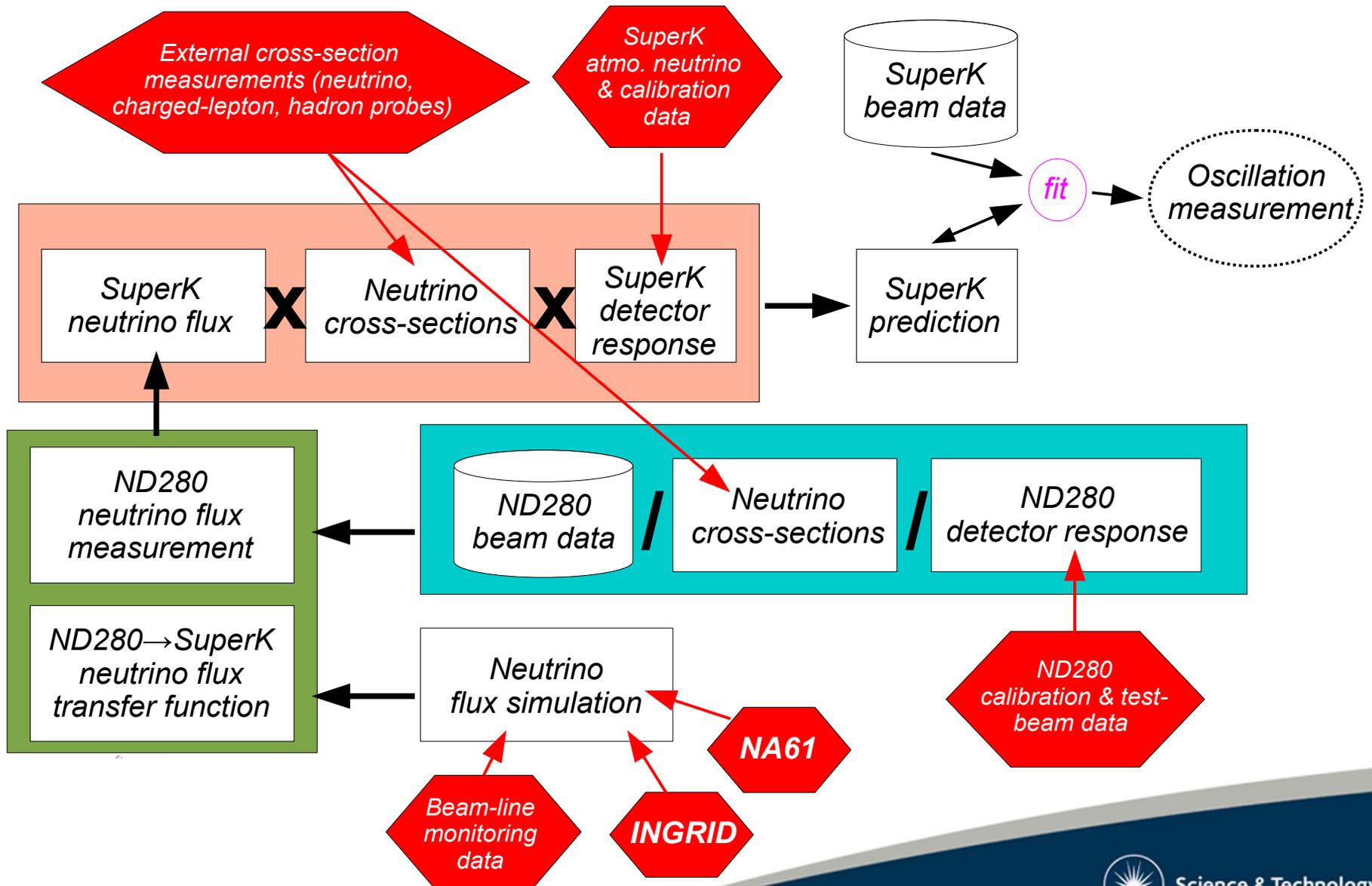
Analysis Flow



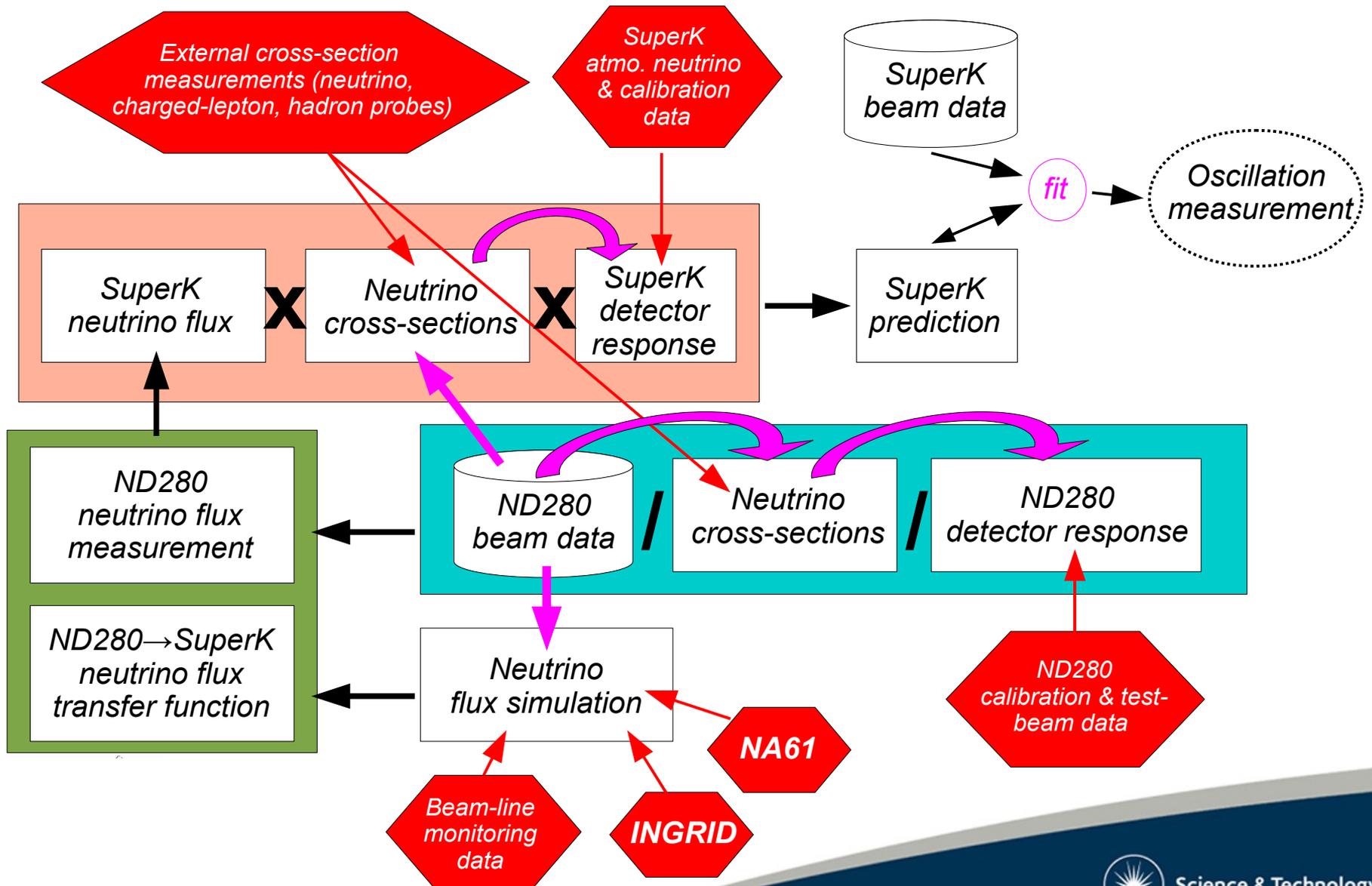
Analysis Flow



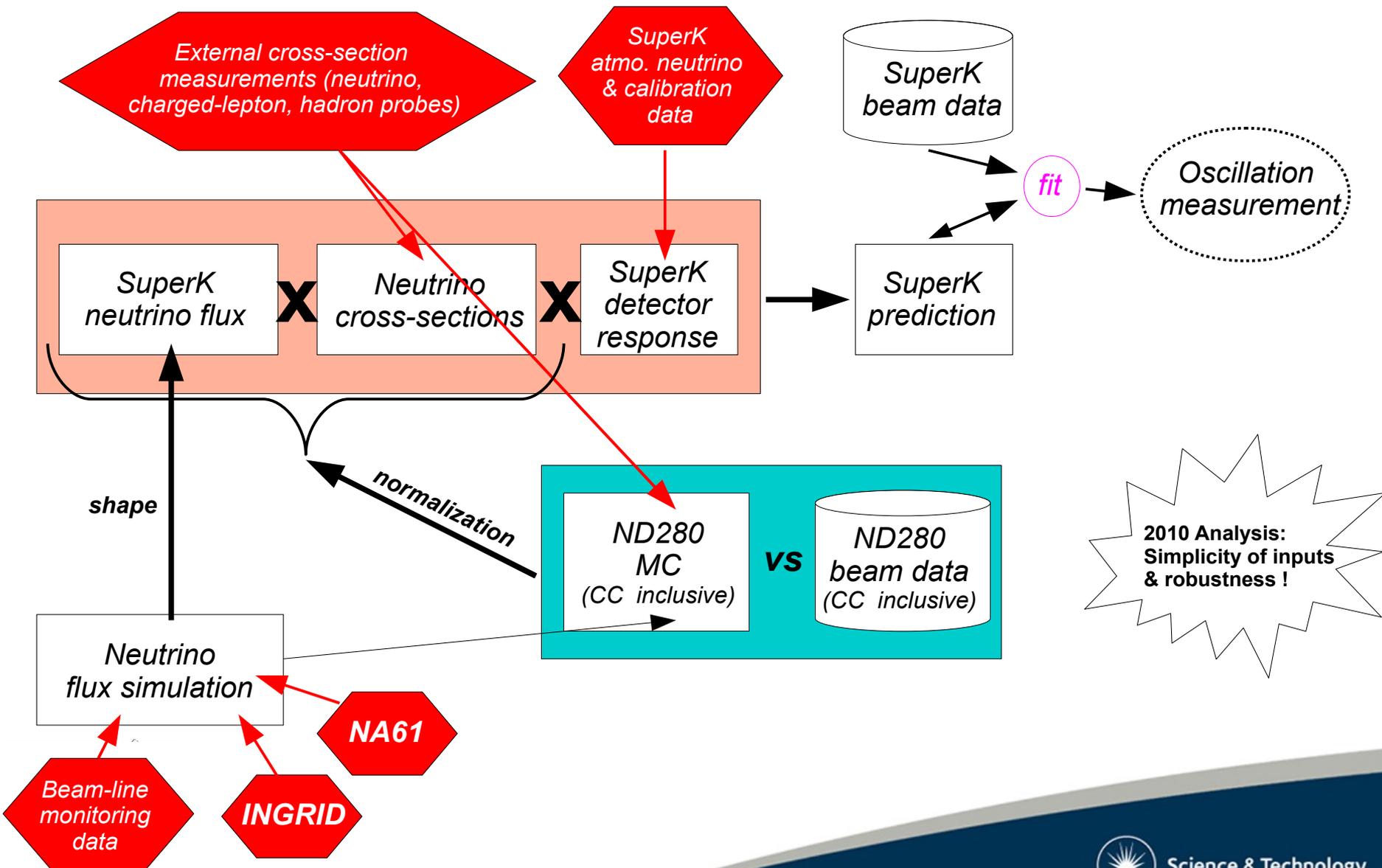
Analysis Flow



Analysis Flow



Analysis Flow (2010)



2010 Analysis:
Simplicity of inputs
& robustness !