

**University of Birmingham, 26 March 2014**

# **Strong thermal Leptogenesis and the absolute neutrino mass scale**

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# The double side of Leptogenesis

Cosmology  
(early Universe)



Neutrino Physics,  
New Physics

- Cosmological Puzzles :

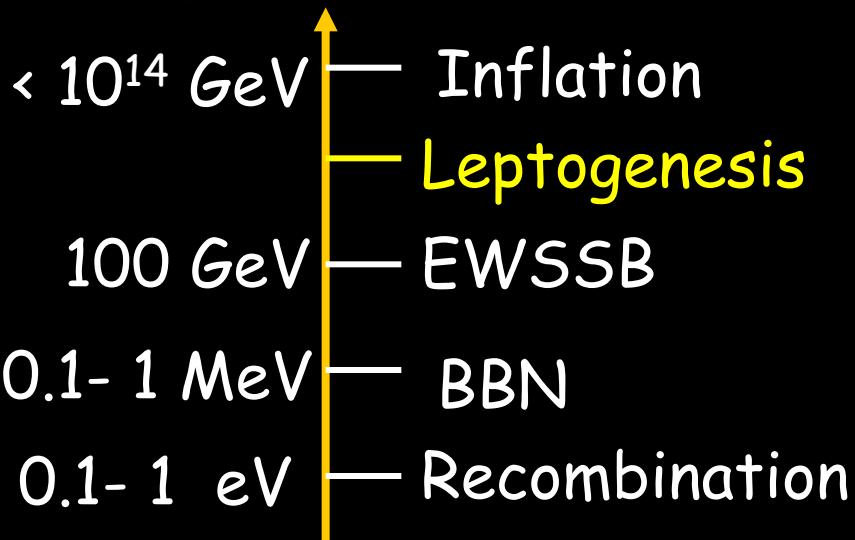
1. Dark matter

2. Matter - antimatter asymmetry

3. Inflation

4. Accelerating Universe

- New stage in early Universe history:



Leptogenesis complements  
low energy neutrino  
experiments  
testing the  
seesaw mechanism  
high energy parameters

In this case one would like to  
answer.....

# ...two important questions:

1. Can we get an insight on neutrino parameters from leptogenesis?

In other words: can leptogenesis provide a way to understand current neutrino parameters measurements and even predict future ones?

2. Vice-versa: can we probe leptogenesis with low energy neutrino data?

A common approach in the LHC era  $\Rightarrow$  "TeV Leptogenesis"

Is there an alternative approach based on usual high energy scale?

After all LHC has so far not found signals of new physics at the TeV scale but on the other hand a progress in our knowledge of the low energy neutrino matrix parameters got strong renewed support plus the recent BICEP2 results support the existence of a new scale  $\sim 10^{16}$  GeV

Plus with the discovery of non-vanishing reactor angle guarantees the measurements of missing information in PMNS matrix in coming years.

# Neutrino mixing parameters („pre-T2K“)

$$|\nu_\alpha\rangle = \sum U_{\alpha i} |\nu_i\rangle$$

$$U_{\alpha i} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

**Maki-Nakagawa-Sakata-Pontecorvo matrix**

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\sigma} \end{pmatrix}$$

Atmospheric

Reactor, Accel., LBL  
CP violating phase

Solar, Reactor

$\beta\beta 0\nu$  decay

$$c_{ij} = \cos \theta_{ij}, \text{ and } s_{ij} = \sin \theta_{ij}$$

- best-fit point and  $1\sigma$  ( $3\sigma$ ) ranges:

$$\theta_{12} = 34.5 \pm 1.4 \begin{pmatrix} +4.8 \\ -4.0 \end{pmatrix}, \quad \Delta m_{21}^2 = 7.67 \begin{pmatrix} +0.22 \\ -0.21 \end{pmatrix} \times 10^{-5} \text{ eV}^2,$$

$$\theta_{23} = 43.1 \begin{pmatrix} +4.4 \\ -3.5 \end{pmatrix} \begin{pmatrix} +10.1 \\ -8.0 \end{pmatrix}, \quad \Delta m_{31}^2 = \begin{cases} -2.39 \pm 0.12 \begin{pmatrix} +0.37 \\ -0.40 \end{pmatrix} \times 10^{-3} \text{ eV}^2, \\ +2.49 \pm 0.12 \begin{pmatrix} +0.39 \\ -0.36 \end{pmatrix} \times 10^{-3} \text{ eV}^2, \end{cases}$$

$$\theta_{13} = 3.2 \begin{pmatrix} +4.5 \\ +9.6 \end{pmatrix}, \quad \delta_{\text{CP}} \in [0, 360];$$

# Neutrino mixing parameters

Non-vanishing  
 $\Theta_{13}$

recent  
global  
analyses

- T2K :  $\sin^2 2\theta_{13} = 0.03 - 0.28$  (90% CL NO)
- DAYA BAY:  $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$
- RENO, MINOS, DOUBLE CHOOZ, new T2K data,

$$\Theta_{13} = 7.7^\circ \div 10.2^\circ \text{ (95% CL)}$$

$$\Theta_{23} = 36.3^\circ \div 40.9^\circ \text{ (95% CL)}$$

$$\delta_{\text{best fit}} \sim -\pi/2$$

(Normal  
Ordering )

(Fogli, Lisi, Marrone  
Montanino, Palazzo,  
Rotunno 2013)

Analogous results by Ufit collaboration but  $\delta_{\text{best fit}} \sim -\pi/4$  for NO

# Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: **normal** or **inverted**

$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \quad \text{or} \quad \Delta m_{\text{sol}}^2 \quad m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \quad \text{or} \quad \Delta m_{\text{atm}}^2 \quad m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

**Tritium  $\beta$  decay :  $m_e < 2 \text{ eV}$**   
 (Mainz + Troitzk 95% CL)

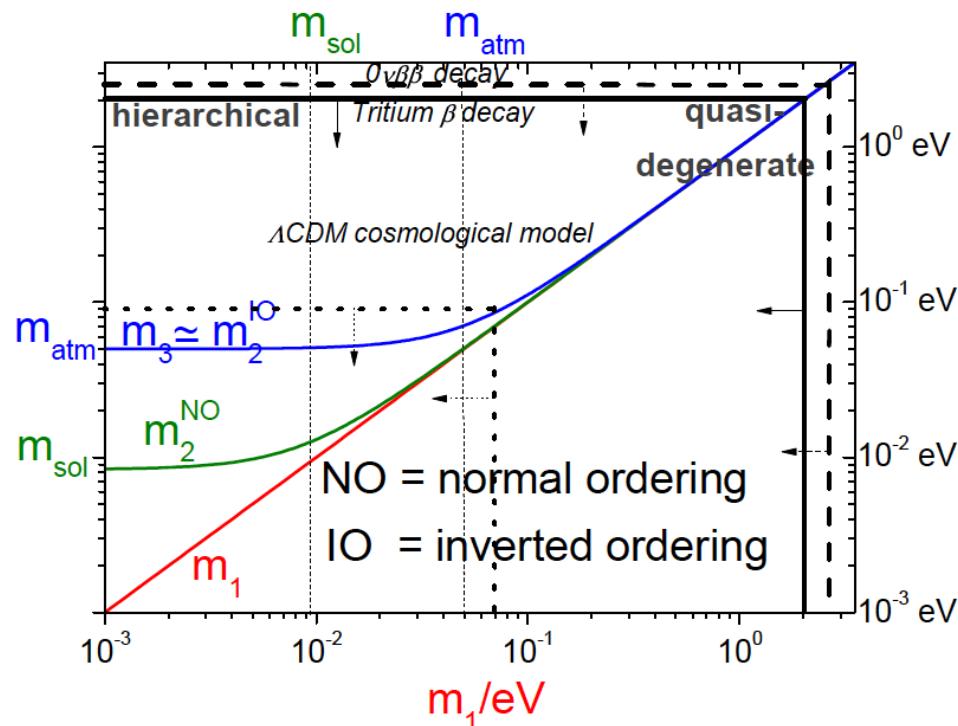
**$\beta\beta 0\nu$ :  $m_{\beta\beta} < 0.34 - 0.78 \text{ eV}$**   
 (CUORICINO 95% CL, similar  
 bound from Heidelberg-Moscow)

**$m_{\beta\beta} < 0.14 - 0.38 \text{ eV}$**   
 (EXO-200 90% CL)

**$m_{\beta\beta} < 0.2 - 0.4 \text{ eV}$**   
 (GERDA 90% CL)

**CMB+BAO+H0 :  $\sum m_i < 0.23 \text{ eV}$**   
 (Planck+high l+WMAPpol+BAO 95%CL)  
 $\Rightarrow m_1 < 0.07 \text{ eV}$

**NEW BOSS RESULTS:  $m_1 \sim 0.1 \text{ eV} !!$**



# Minimal scenario of Leptogenesis

(Fukugita, Yanagida '86)

- Type I seesaw

$$\mathcal{L}_{\text{mass}}^{\nu} = -\frac{1}{2} \left[ (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & m_D^T \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \right] + h.c.$$

In the **see-saw limit** ( $M \gg m_D$ ) the spectrum of mass eigenstates splits in 2 sets:

- 3 light neutrinos  $\nu_1, \nu_2, \nu_3$  with masses

$$\text{diag}(m_1, m_2, m_3) = -U^\dagger m_D \frac{1}{M} m_D^T U^*$$

- 3 new **heavy RH neutrinos**  $N_1, N_2, N_3$  with masses  $M_3 > M_2 > M_1 \gg m_D$

On average one  $N_i$  decay produces a B-L asymmetry given by the

**total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

- Thermal production of the RH neutrinos  $\Rightarrow T_{\text{RH}} \gtrsim M_i / (2 \div 10)$

# Seesaw parameter space

Imposing  $\eta_B = \eta_B^{CMB}$  one would like to get information on  $U$  and  $m_i$

Problem: too many parameters

$$(\text{Casas, Ibarra'01}) \quad m_\nu = -m_D \frac{1}{M} m_D^T \Leftrightarrow \Omega^T \Omega = I$$

Orthogonal parameterisation

$$m_D = U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix} \begin{pmatrix} U^\dagger U & = & I \\ U^\dagger m_\nu U^* & = & -D_m \end{pmatrix}$$

(in a basis where charged lepton and Majorana mass matrices are diagonal)

The **6 parameters in the orthogonal matrix  $\Omega$**  encode the **3 life times** and the **3 total CP asymmetries** of the RH neutrinos and is an invariant

A parameter reduction would help and can occur if:

- Iso-asymmetry surfaces  $\eta_B(U, m_i; \lambda_1, \dots, \lambda_9) = \eta_B^{CMB}$  (if they "close up" the leptogenesis bound can remove more than one parameter in this case)
  - In the asymmetry calculation  $\eta_B = \eta_B(U, m_i; \lambda_1, \dots, \lambda_{M \leq 9})$
  - By imposing some (model dependent) conditions on  $m_D$ , one can reduce the number of parameters and arrive to a new parameterisation where

$$\Omega = \Omega(U, m_i; \lambda'_1, \dots, \lambda'_{N \leq M}) \quad \text{and} \quad M_i = M_i(U, m_i; \lambda'_1, \dots, \lambda'_{N \leq M})$$

# Vanilla leptogenesis

1) Flavor composition of final leptons is neglected

$$N_i \xrightarrow{\Gamma} l_i H^\dagger$$

Total CP  
asymmetries

$$N_i \xrightarrow{\bar{\Gamma}} \bar{l}_i H$$

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

$$N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_\gamma^{\text{rec}}} \quad \begin{matrix} \text{baryon-to} \\ \text{-photon} \\ \text{number ratio} \end{matrix}$$

Successful leptogenesis bound :  $\eta_B = \eta_B^{\text{CMB}} = (6.1 \pm 0.1) \times 10^{-10}$

2) Hierarchical heavy RH neutrino spectrum:  $M_2 \gtrsim 3 M_1$

3)  $N_3$  does not interfere with  $N_2$ -decays:  $(m_D^\dagger m_D)_{23} = 0$

From the last  
two assumptions

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

## 4) Barring fine-tuned mass cancellations in the seesaw

$$\varepsilon_1 \leq \varepsilon_1^{\max} \simeq 10^{-6} \left( \frac{M_1}{10^{10} \text{ GeV}} \right) \frac{m_{\text{atm}}}{m_1 + m_3}$$

(Davidson,  
Ibarra '02)

## 5) Efficiency factor from simple Boltzmann equations

The diagram shows two coupled Boltzmann equations. The top equation is  $\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$ , where  $N_{N_1}$  is circled in red and  $N_{N_1}^{\text{eq}}$  is circled in green. The bottom equation is  $\frac{dN_{B-L}}{dz} = -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L}$ , where  $W_1 N_{B-L}$  is circled in blue. Red arrows point from the red circles to the text "decays". A green arrow points from the green circle to the text "inverse decays". A blue arrow points from the blue circle to the text "wash-out".

$$\begin{aligned} \frac{dN_{N_1}}{dz} &= -D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) \\ \frac{dN_{B-L}}{dz} &= -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L} \end{aligned}$$

decays                          inverse decays  
wash-out

decay parameter

$$K_1 \equiv \frac{\Gamma_{N_1}(T=0)}{H(T=M_1)}$$

$$\kappa_1(z; K_1, z_{\text{in}}) = - \int_{z_{\text{in}}}^z dz' \left[ \frac{dN_{N_1}}{dz'} \right] e^{- \int_{z'}^z dz'' W_1(z'')}$$

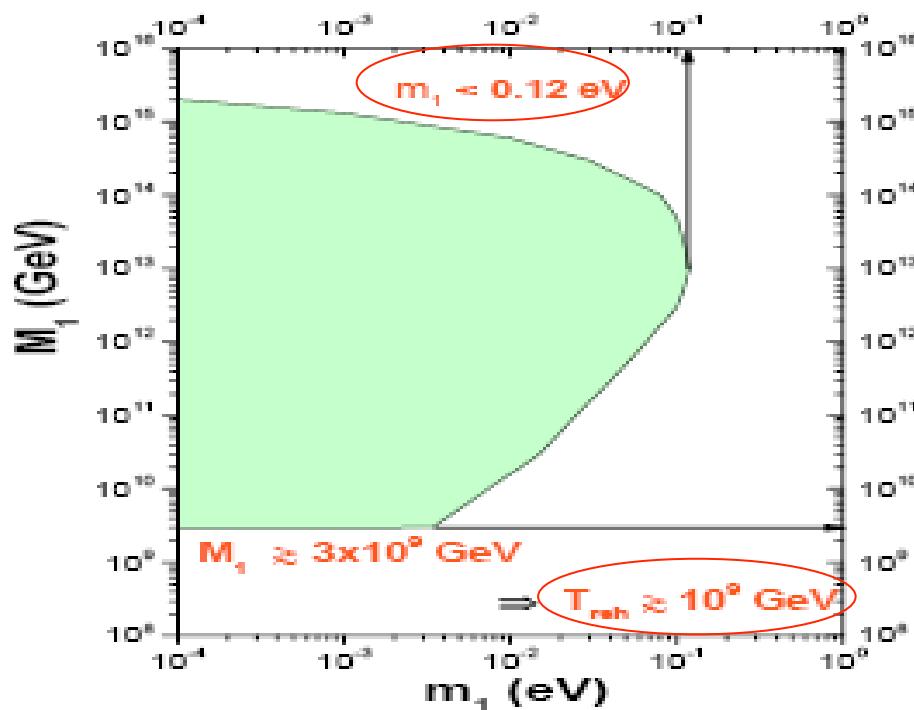
# Neutrino mass bounds in vanilla leptog.

(Davidson, Ibarra '02; Buchmüller, PDB, Plümacher '02, '03, '04; Giudice et al. '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1) \leq \eta_B^{\max} = 0.01 \varepsilon_1^{\max}(m_1, M_1) \kappa_1^{\text{fin}}(K_1^{\max})$$

Imposing:

$$\eta_B^{\max}(m_1, M_1) \geq \eta_B^{CMB}$$



No dependence on the leptonic mixing matrix  $U$

# Strong thermal leptogenesis

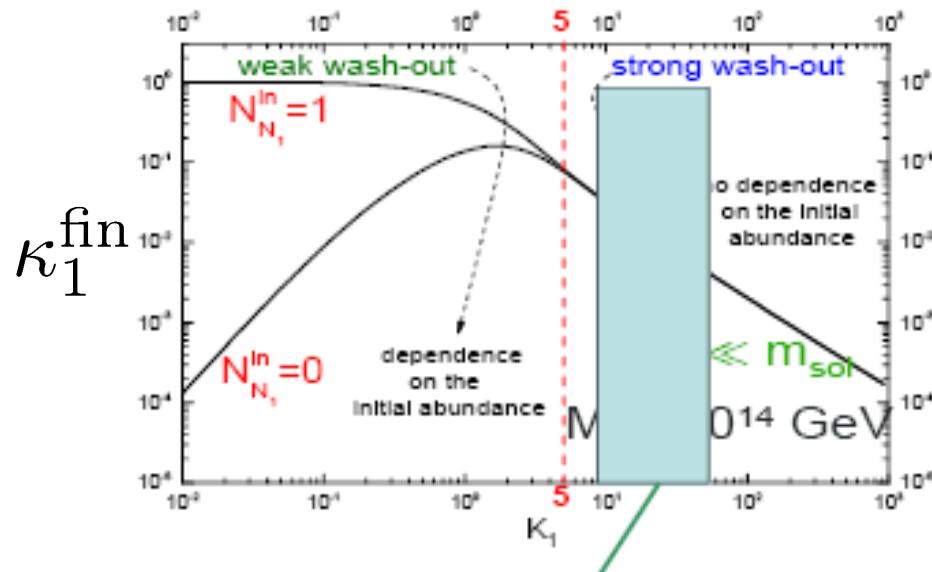
The early Universe „knows“ the neutrino masses ...

(Buchmüller,PDB,Plümacher '04)

decay parameter

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

$$K_1 \equiv \frac{\Gamma_{N_1}}{H(T = M_1)} \sim \frac{m_{\text{sol,atm}}}{m_* \sim 10^{-3} \text{ eV}} \sim 10 \div 50$$



$$K_{\text{sol}} \simeq 9 \lesssim K_1 \lesssim 50 \simeq K_{\text{atm}}$$

$$N_{B-L}^{\text{p,final}} = N_{B-L}^{\text{p,initial}} e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{\text{f},N_1}$$

wash-out of  
a pre-existing  
asymmetry

# Beyond vanilla Leptogenesis

Degenerate limit  
and resonant  
leptogenesis

Non minimal Leptogenesis  
(in type II seesaw,  
non thermal,...)

Vanilla  
Leptogenesis

Improved  
Kinetic description  
(momentum dependence,  
quantum kinetic effects, finite  
temperature effects,.....,  
density matrix formalism)

Flavour Effects  
(heavy neutrino flavour  
effects, lepton  
flavour effects and their  
interplay)

# Lepton flavour effects

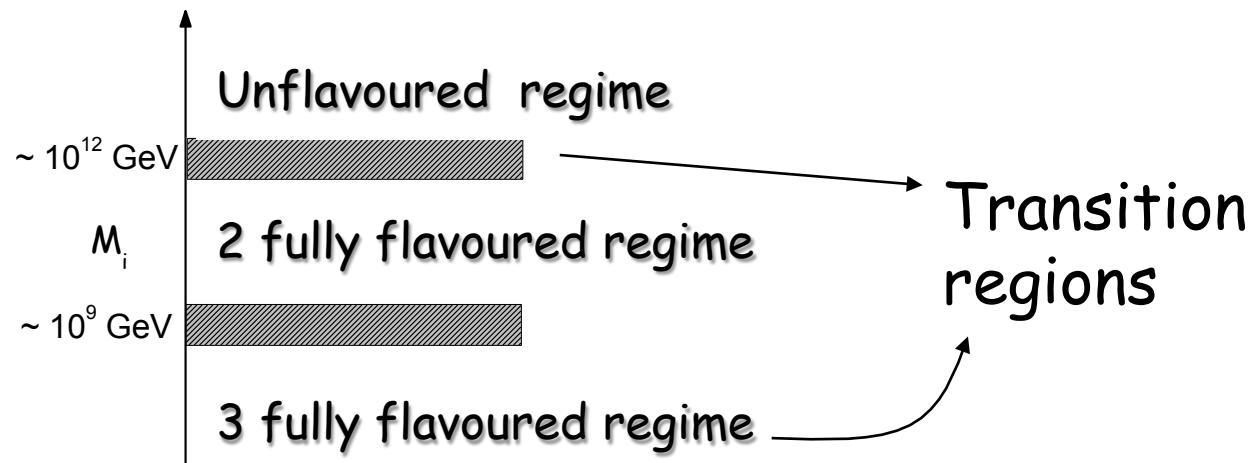
(Abada, Davidson, Losada, Josse-Michaux, Riotto '06; Nardi, Nir, Roulet, Racker '06;  
Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

## Flavor composition of lepton quantum states:

$$|l_1\rangle = \sum_{\alpha} \langle l_{\alpha}|l_1\rangle |l_{\alpha}\rangle \quad (\alpha = e, \mu, \tau) \quad P_{1\alpha} \equiv |\langle l_1|\alpha\rangle|^2$$

$$|\bar{l}'_1\rangle = \sum_{\alpha} \langle \bar{l}_{\alpha}|\bar{l}'_1\rangle |\bar{l}_{\alpha}\rangle \quad \bar{P}_{1\alpha} \equiv |\langle \bar{l}'_1|\bar{\alpha}\rangle|^2$$

For  $T \gtrsim 10^{12} \text{ GeV}$   $\Rightarrow \tau$ -Yukawa interactions  $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$   
are fast enough to break the coherent evolution of  $|l_1\rangle$  and  $|\bar{l}'_1\rangle$   
 $\Rightarrow$  they become an incoherent mixture of a  $\tau$  and of a  $\mu+e$  component  
At  $T \gtrsim 10^9 \text{ GeV}$  then also  $\mu$ - Yukawas in equilibrium  $\Rightarrow$  3-flavor regime



# Two fully flavoured regime

$$( \alpha = \tau, e+\mu ) \quad \begin{aligned} P_{1\alpha} &\equiv |\langle l_\alpha | l_1 \rangle|^2 = P_{1\alpha}^0 + \Delta P_{1\alpha}/2 & (\sum_\alpha P_{1\alpha}^0 = 1) \\ \bar{P}_{1\alpha} &\equiv |\langle \bar{l}_\alpha | \bar{l}'_1 \rangle|^2 = P_{1\alpha}^0 - \Delta P_{1\alpha}/2 & (\sum_\alpha \Delta P_{1\alpha} = 0) \end{aligned}$$

$$\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha} \Gamma_1 - \bar{P}_{1\alpha} \bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U)/2$$

- Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

$$\frac{dN_{\Delta_\alpha}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_\alpha}$$

$$\Rightarrow N_{B-L} = \sum_{\alpha} N_{\Delta_\alpha} \quad (\Delta_\alpha \equiv B/3 - L_\alpha)$$

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{\alpha} \varepsilon_{1\alpha} \kappa_{1\alpha}^{\text{fin}} \simeq 2 \varepsilon_1 \kappa_1^{\text{fin}} + \boxed{\frac{\Delta P_{1\alpha}}{2} [\kappa_{1\alpha}^{\text{fin}} - \kappa_{1\beta}^{\text{fin}}]}$$

## Additional contribution to CP violation:

(Nardi, Racker, Roulet '06)

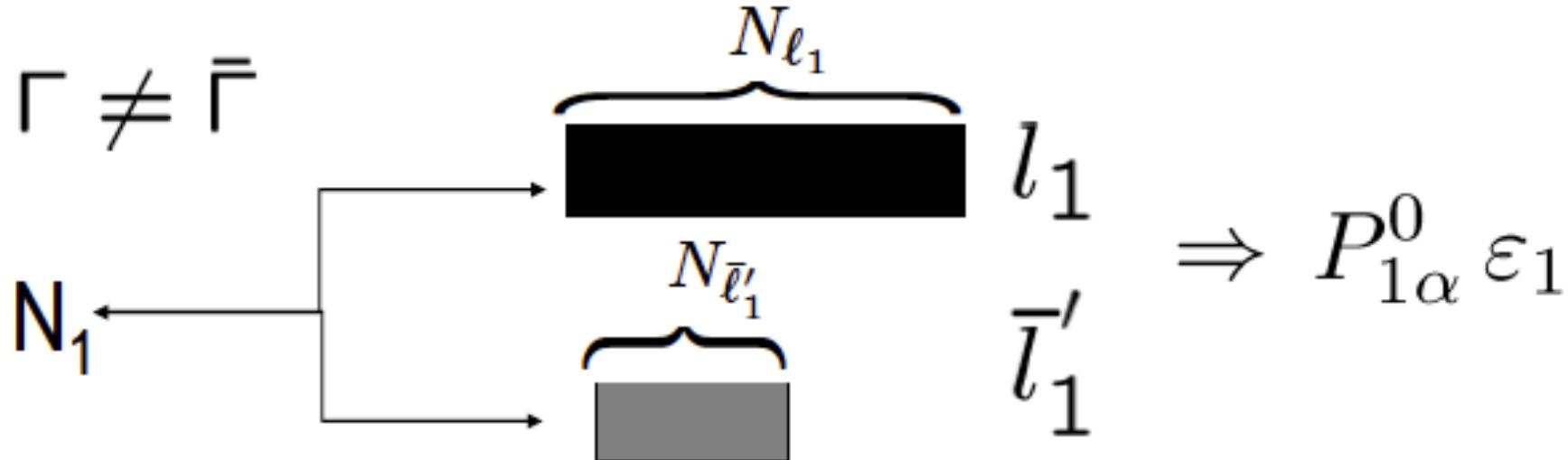
( $\alpha = \tau, e+\mu$ )

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

depends on U!

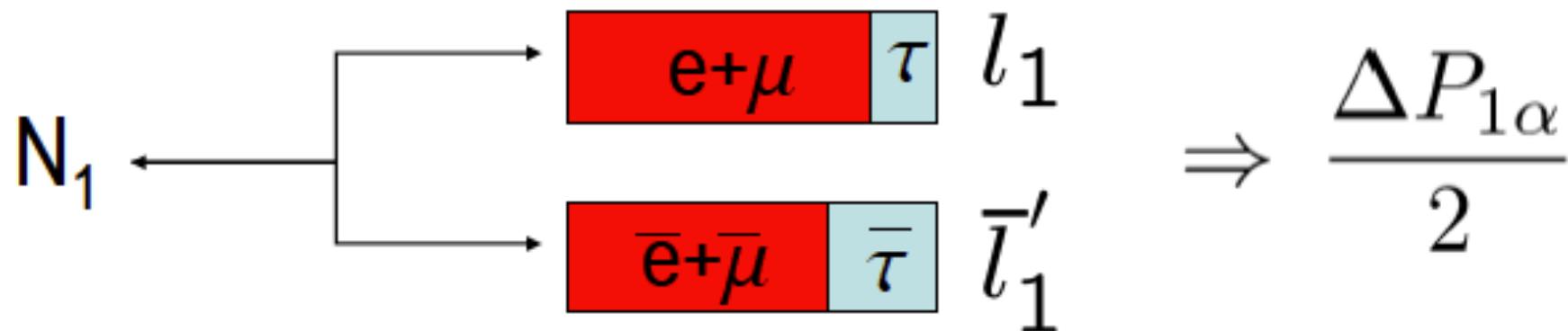
1)

$$\Gamma \neq \bar{\Gamma}$$



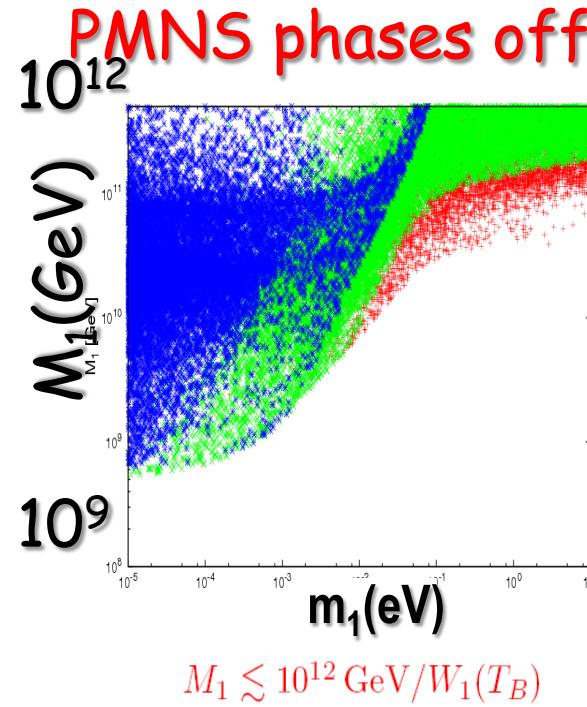
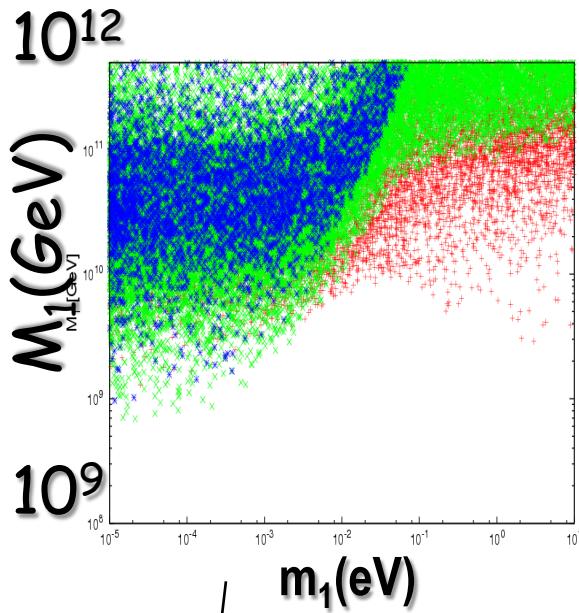
2)

$$|\bar{l}'_1\rangle \neq CP|l_1\rangle \quad +$$

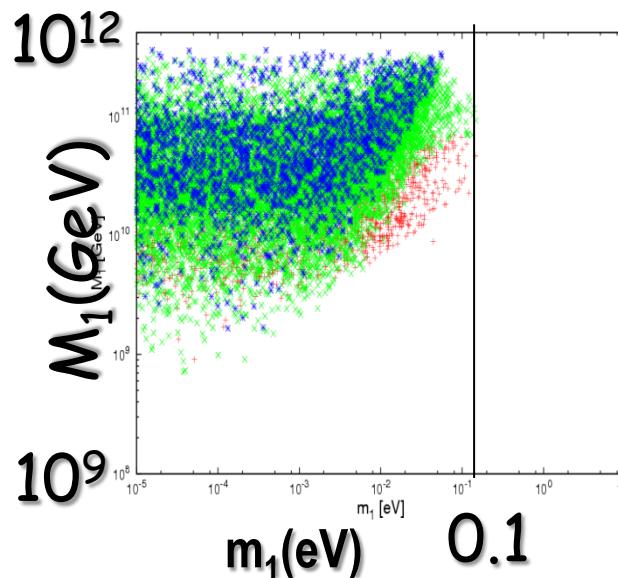


# Upper bound on $m_1$

(Abada et al.' 07; Blanchet,PDB,Raffelt;Blanchet,PDB '08)



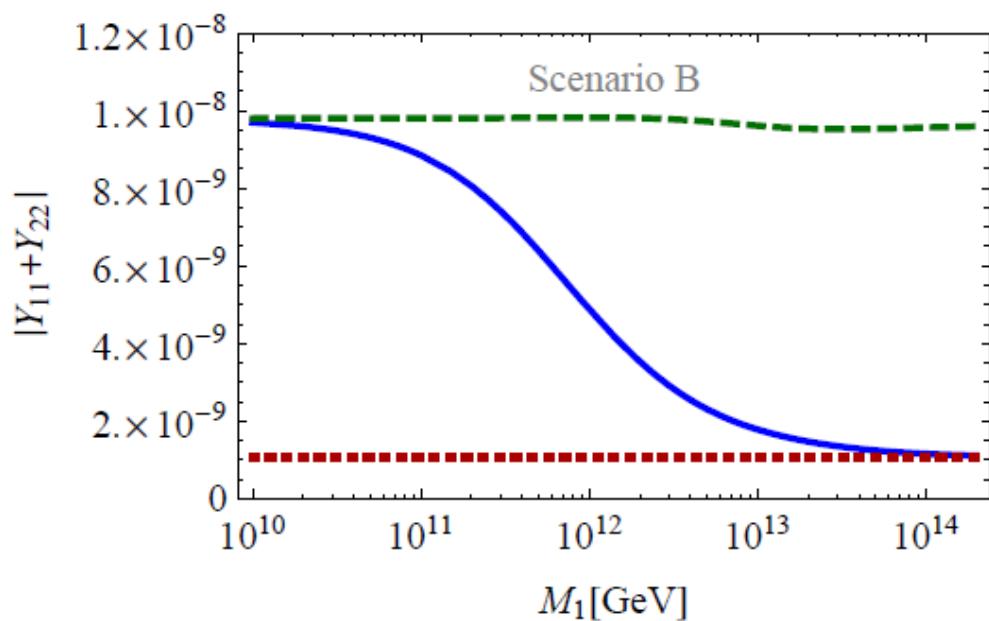
imposing a condition of  
validity of Boltzmann  
equations



# Density matrix and CTP formalism to describe the transition regimes

(De Simone, Riotto '06; Beneke, Gabrecht, Fidler, Herranen, Schwaller '10)

$$\frac{dY_{\alpha\beta}}{dz} = \frac{1}{szH(z)} \left[ (\gamma_D + \gamma_{\Delta L=1}) \left( \frac{Y_{N_1}}{Y_{N_1}^{\text{eq}}} - 1 \right) \epsilon_{\alpha\beta} - \frac{1}{2Y_\ell^{\text{eq}}} \left\{ \gamma_D + \gamma_{\Delta L=1}, Y \right\}_{\alpha\beta} \right] - \left[ \sigma_2 \text{Re}(\Lambda) + \sigma_1 |\text{Im}(\Lambda)| \right] Y_{\alpha\beta}$$



Fully two-flavoured  
regime limit

Unflavoured regime limit

# Heavy neutrino flavours:

## the $N_2$ -dominated scenario

(PDB '05)

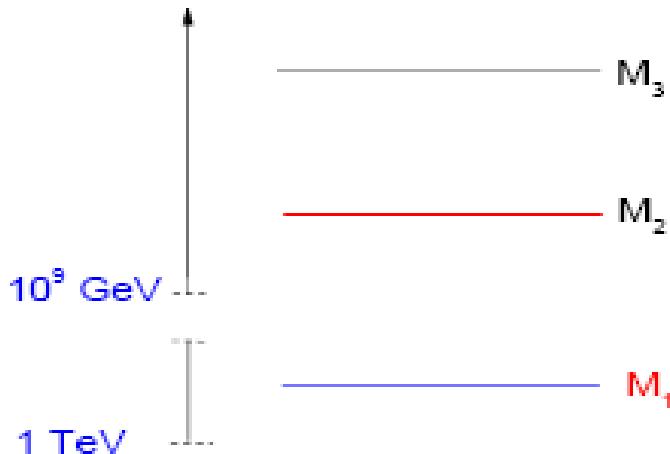
If light flavour effects are neglected the asymmetry from the next-to-lightest ( $N_2$ ) RH neutrinos is typically negligible:

$$N_{B-L}^{f,N_2} = \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f,N_1} = \varepsilon_1 \kappa(K_1)$$

...except for a special choice of  $\Omega=R_{23}$  when  $K_1 = m_1/m_* \ll 1$  and  $\varepsilon_1=0$ :

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_2 \kappa_2^{\text{fin}} \quad \varepsilon_2 \lesssim 10^{-6} \left( \frac{M_2}{10^{10} \text{ GeV}} \right)$$

The lower bound on  $M_1$  disappears and is replaced by a lower bound on  $M_2$  ...  
that however still implies a lower bound on  $T_{\text{reh}}$ !

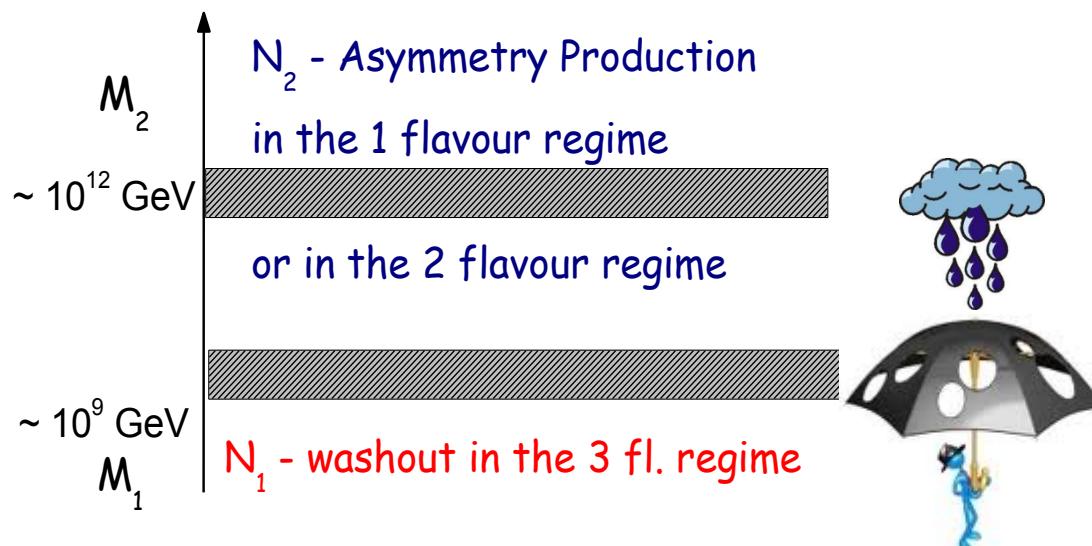


# $N_2$ -flavored leptogenesis

( Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

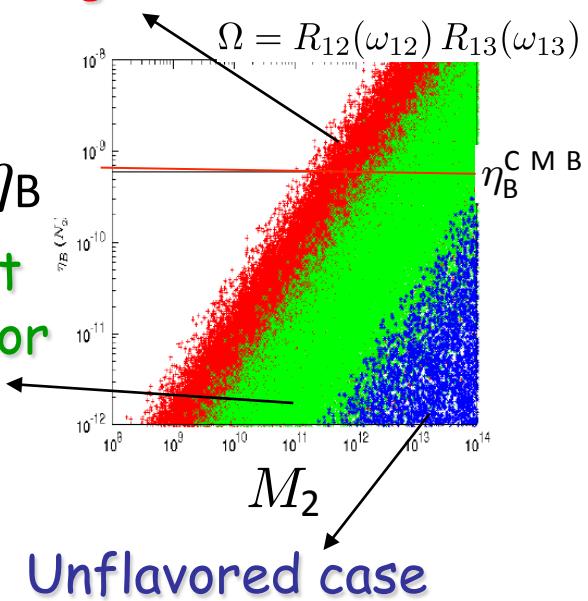
Combining together lepton and heavy neutrino flavour effects one has

A two stage process:



Wash-out is neglected

$\eta_B$   
Both wash-out and flavor effects



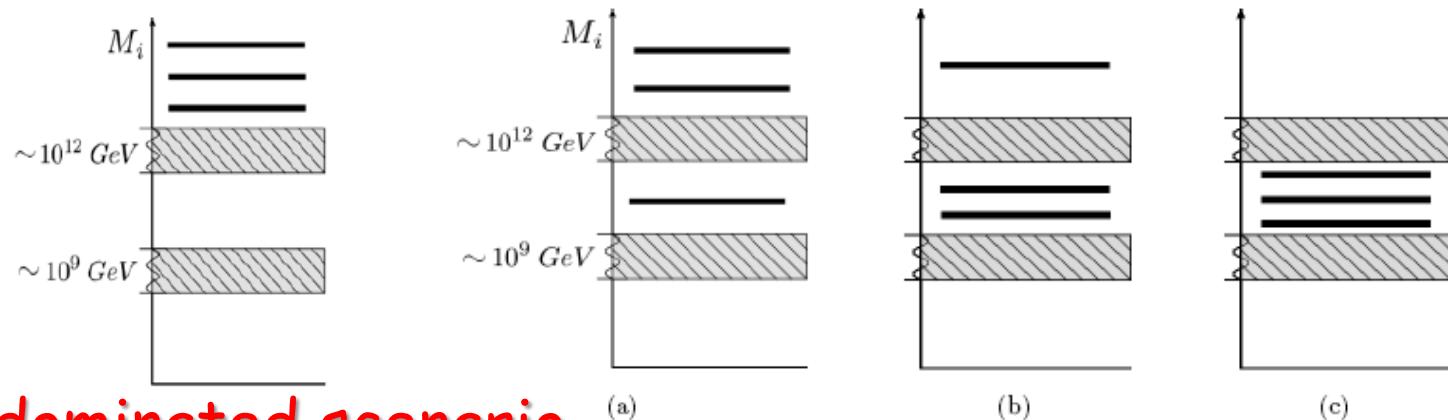
$$N_{B-L}^f(N_2) = P_{2e}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1e}} + P_{2\mu}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\mu}} + P_{2\tau}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\tau}}$$

Notice that  $K_1 = K_{1e} + K_{1\mu} + K_{1\tau}$

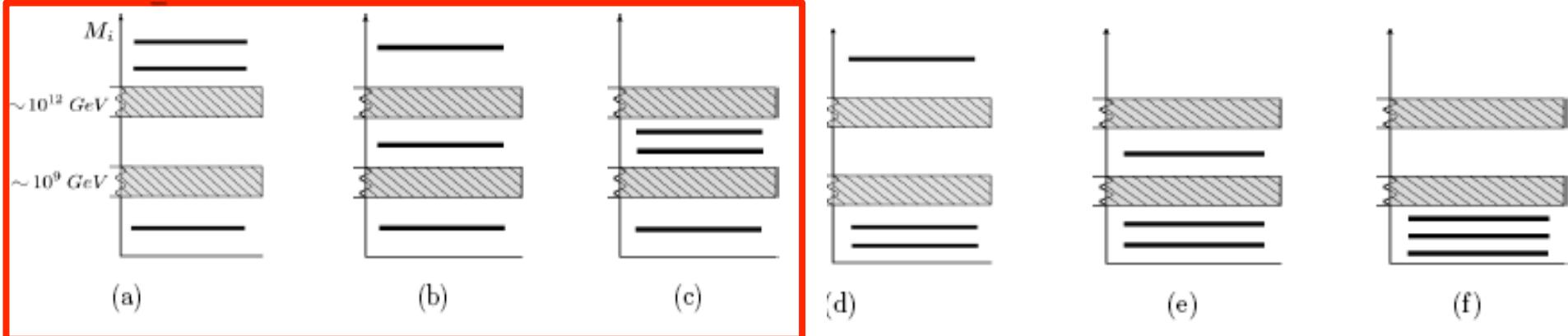
With flavor effects the domain of applicability goes much beyond the choice  $\Omega=R_{23}$

The existence of the heaviest RH neutrino  $N_3$  is necessary for the  $\varepsilon_{2a}$  not to be negligible !

More generally one has to distinguish 10 different RH neutrino mass patterns (Bertuzzo,PDB,Marzola '10)



$N_2$  dominated scenario

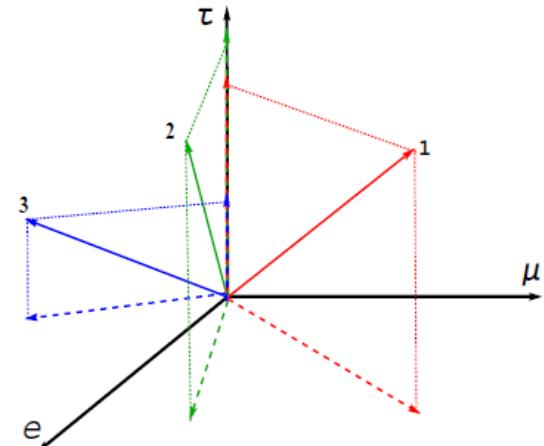


For each pattern a specific set of Boltzmann equations has to be considered

# Density matrix formalism with heavy neutrino flavours

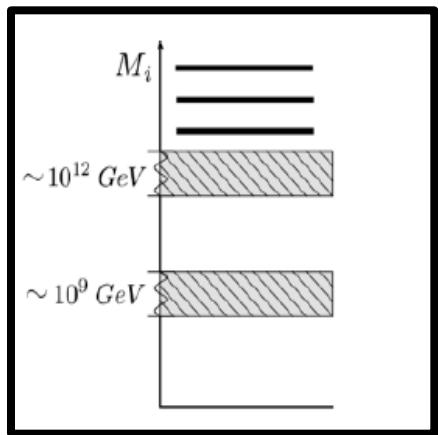
(Blanchet, PDB, Jones, Marzola '11)

For a thorough description of all neutrino mass patterns including transition regions and all effects (flavour projection, phantom leptogenesis,...) one needs a description in Terms of a density matrix formalism  
The result is a "monster" equation:

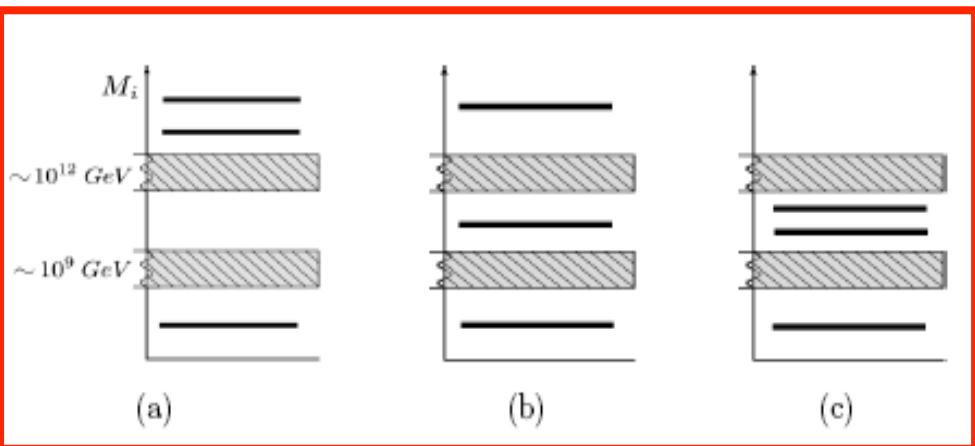
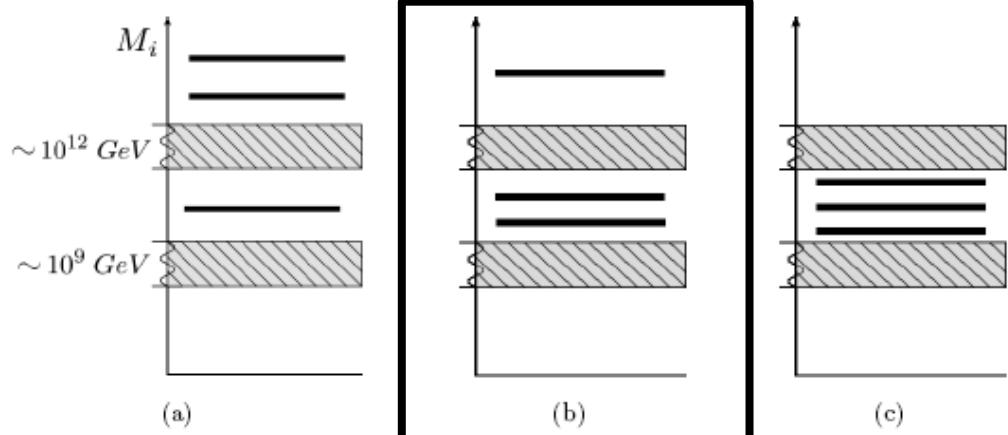


$$\begin{aligned}
 \frac{dN_{\alpha\beta}^{B-L}}{dz} = & \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(2)} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - \frac{1}{2} W_2 \{ \mathcal{P}^{0(2)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(3)} D_3 (N_{N_3} - N_{N_3}^{\text{eq}}) - \frac{1}{2} W_3 \{ \mathcal{P}^{0(3)}, N^{B-L} \}_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta}.
 \end{aligned} \tag{80}$$

# Heavy neutrino flavored scenario



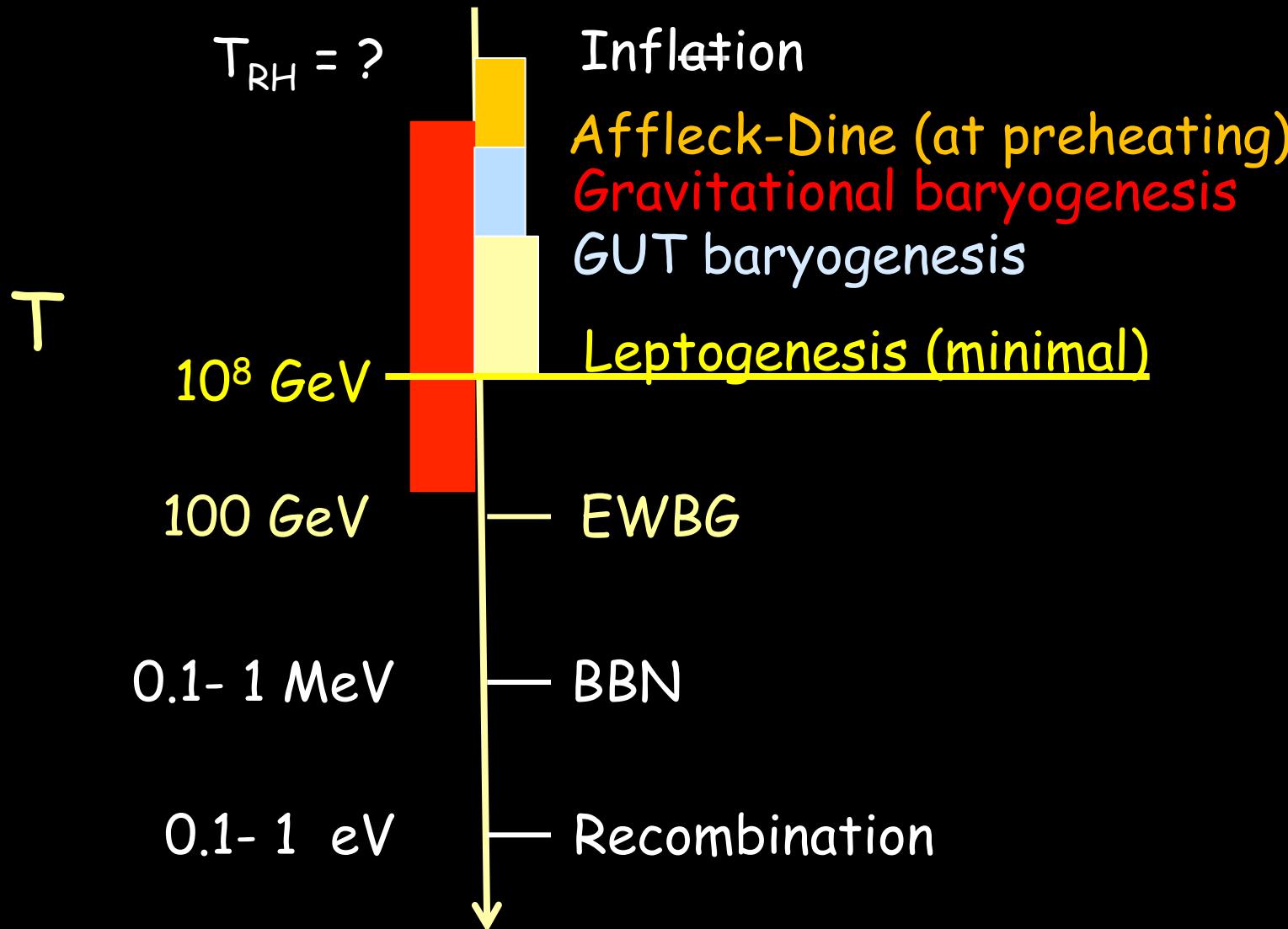
# 2 RH neutrino scenario



N<sub>2</sub>-dominated  
scenario

Particularly attractive  
for two reasons

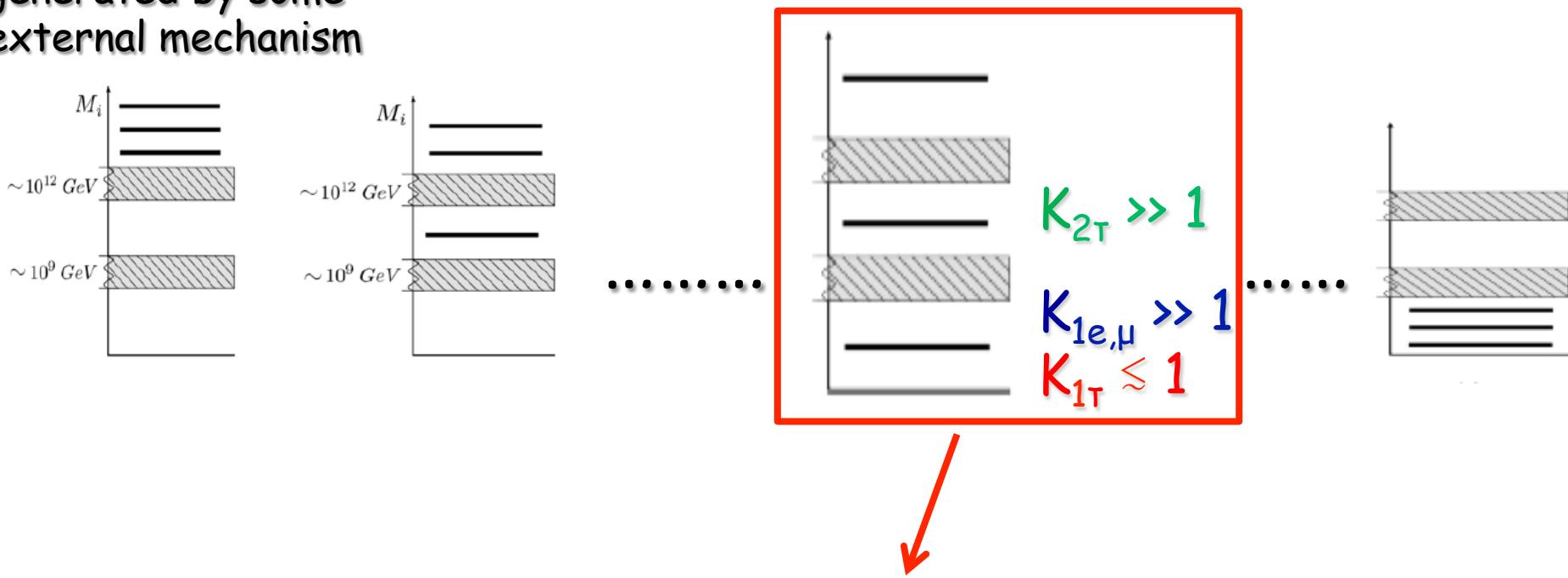
# Baryogenesis and the early Universe history



Residual "pre-existing" asymmetry possibly generated by some external mechanism

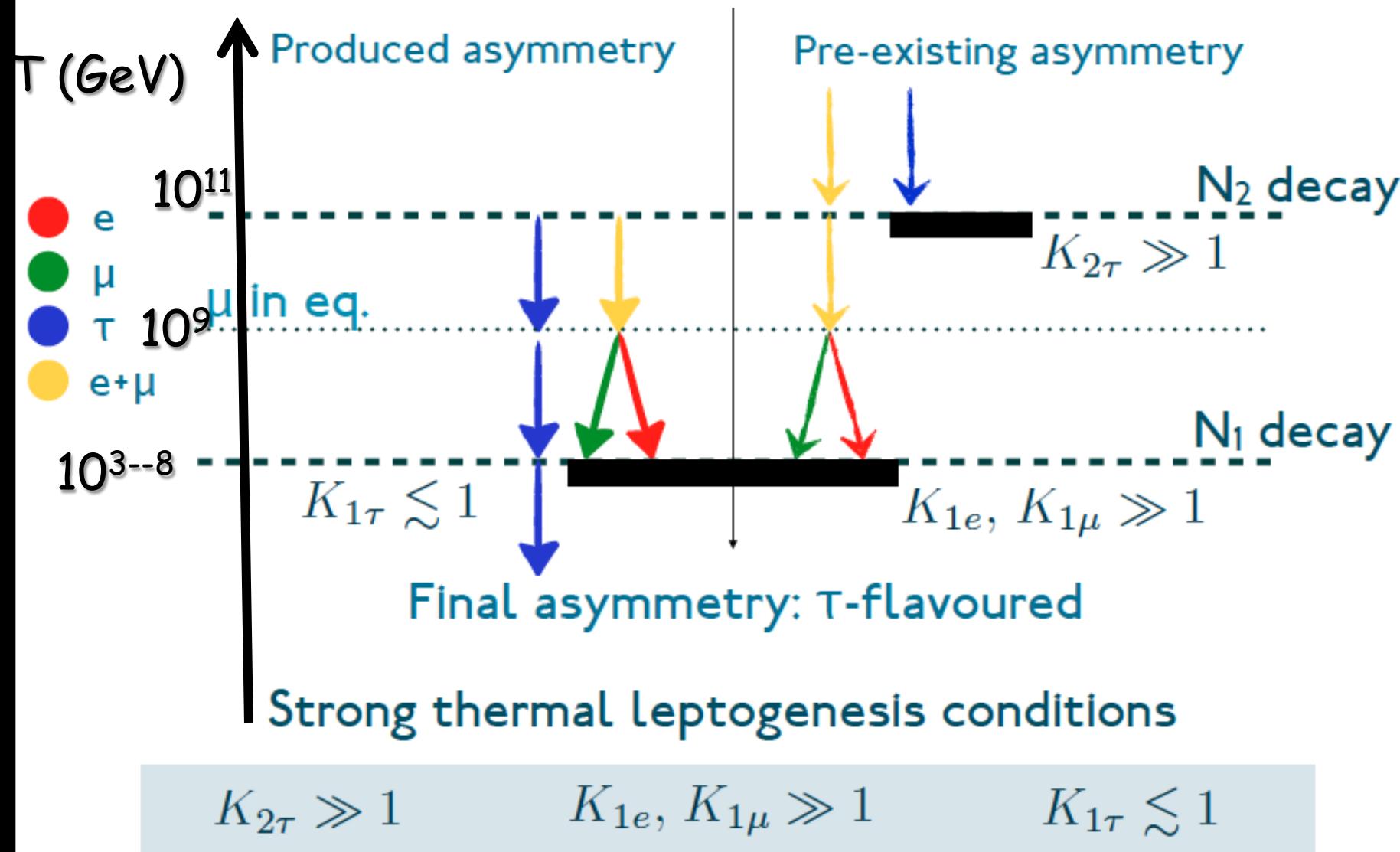
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f}$$

Asymmetry generated from leptogenesis



The conditions for the wash-out of a pre-existing asymmetry ('**strong thermal leptogenesis**') can be realised only within a  $N_2$ -dominated scenario where the final asymmetry is dominantly produced in the **tauon flavour**

# How is STL realised? - A cartoon

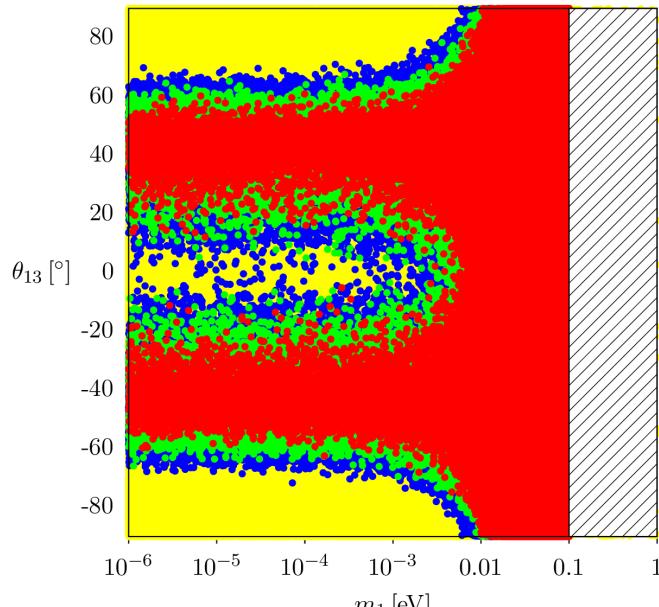


# Strong thermal leptogenesis and the absolute neutrino mass scale

(PDB, Sophie King, Michele Re Fiorentin 2014)

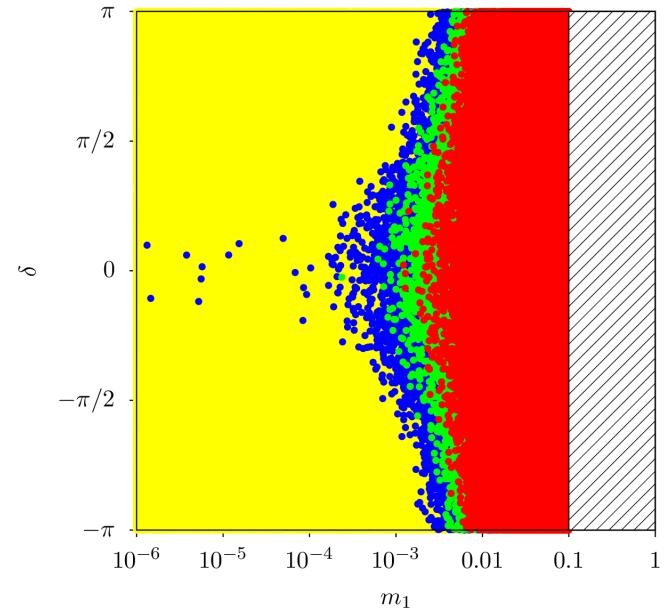
$$\theta_{13} = 8^\circ \div 10.$$

Allowed regions in  $m_1, \theta_{13}$  plane -  $M_2 \leq 10^{12}$  GeV



- |                                     |  |                                     |  |
|-------------------------------------|--|-------------------------------------|--|
| $N^{p,i} = 0$                       |  | $N^{p,i} \sim \mathcal{O}(10^{-2})$ |  |
| $N^{p,i} \sim \mathcal{O}(10^{-3})$ |  | $N^{p,i} \sim \mathcal{O}(10^{-1})$ |  |

Allowed regions in  $m_1, \delta$  plane -  $M_2 \leq 5 \cdot 10^{11}$  GeV



- |                                     |  |                                     |  |
|-------------------------------------|--|-------------------------------------|--|
| $N^{p,i} = 0$                       |  | $N^{p,i} \sim \mathcal{O}(10^{-2})$ |  |
| $N^{p,i} \sim \mathcal{O}(10^{-3})$ |  | $N^{p,i} \sim \mathcal{O}(10^{-1})$ |  |

# **SO(10)-inspired leptogenesis**

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the neutrino Dirac mass matrix  $m_D$  (in the basis where the Majorana mass and charged lepton mass matrices are diagonal) as:

$$m_D = V_L^\dagger D_{m_D} U_R$$

$$D_{m_D} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$$

SO(10) inspired conditions:

$$\lambda_{D1} = \alpha_1 m_u, \lambda_{D2} = \alpha_2 m_c, \lambda_{D3} = \alpha_3 m_t, (\alpha_i = \mathcal{O}(1))$$

$$V_L \simeq V_{CKM} \simeq I$$

From the seesaw formula one can express:

$$U_R = U_R(U, m_i; \alpha_i, V_L), M_i = M_i(U, m_i; \alpha_i, V_L) \Rightarrow \eta_B = \eta_B(U, m_i; \alpha_i, V_L)$$

one typically obtains (barring fine-tuned 'crossing level' solutions):

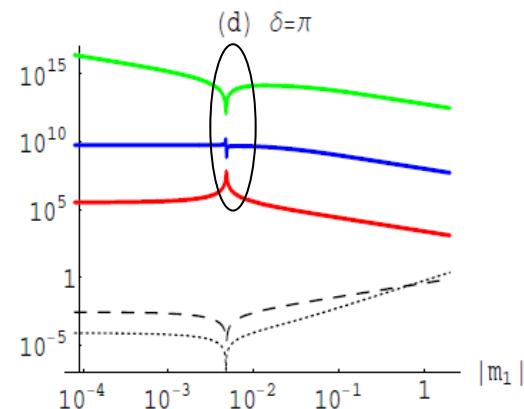
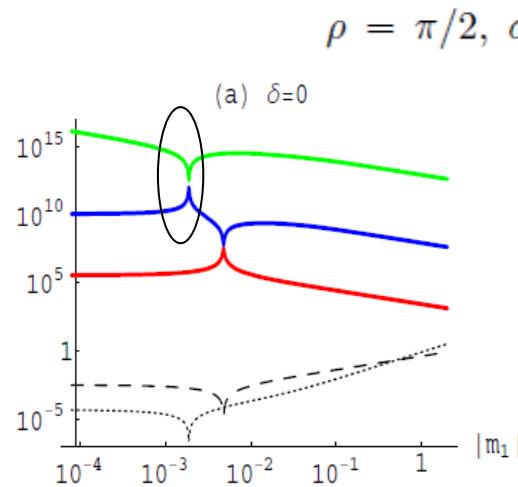
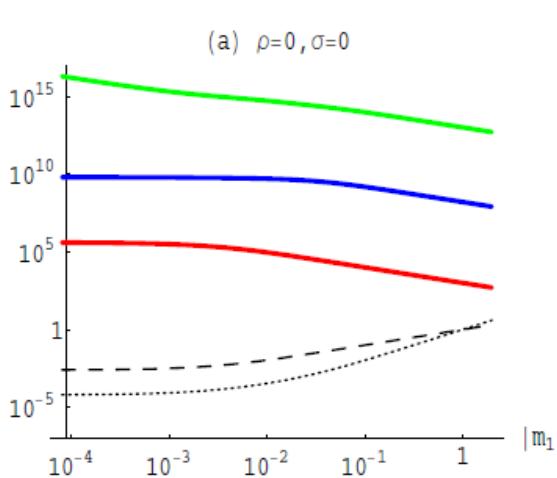
$$M_1 \gg \alpha_1^2 10^5 \text{ GeV}, M_2 \gg \alpha_2^2 10^{10} \text{ GeV}, M_3 \gg \alpha_3^2 10^{15} \text{ GeV}$$

since  $M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}}$  !

⇒ failure of the  $N_1$ -dominated scenario !

# Crossing level solutions

(Akhmedov, Frigerio, Smirnov '03)



At the crossing the CP asymmetries undergo a resonant enhancement (Covi, Roulet, Vissani '96; Pilaftsis '98; Pilaftsis, Underwood '04; ...)

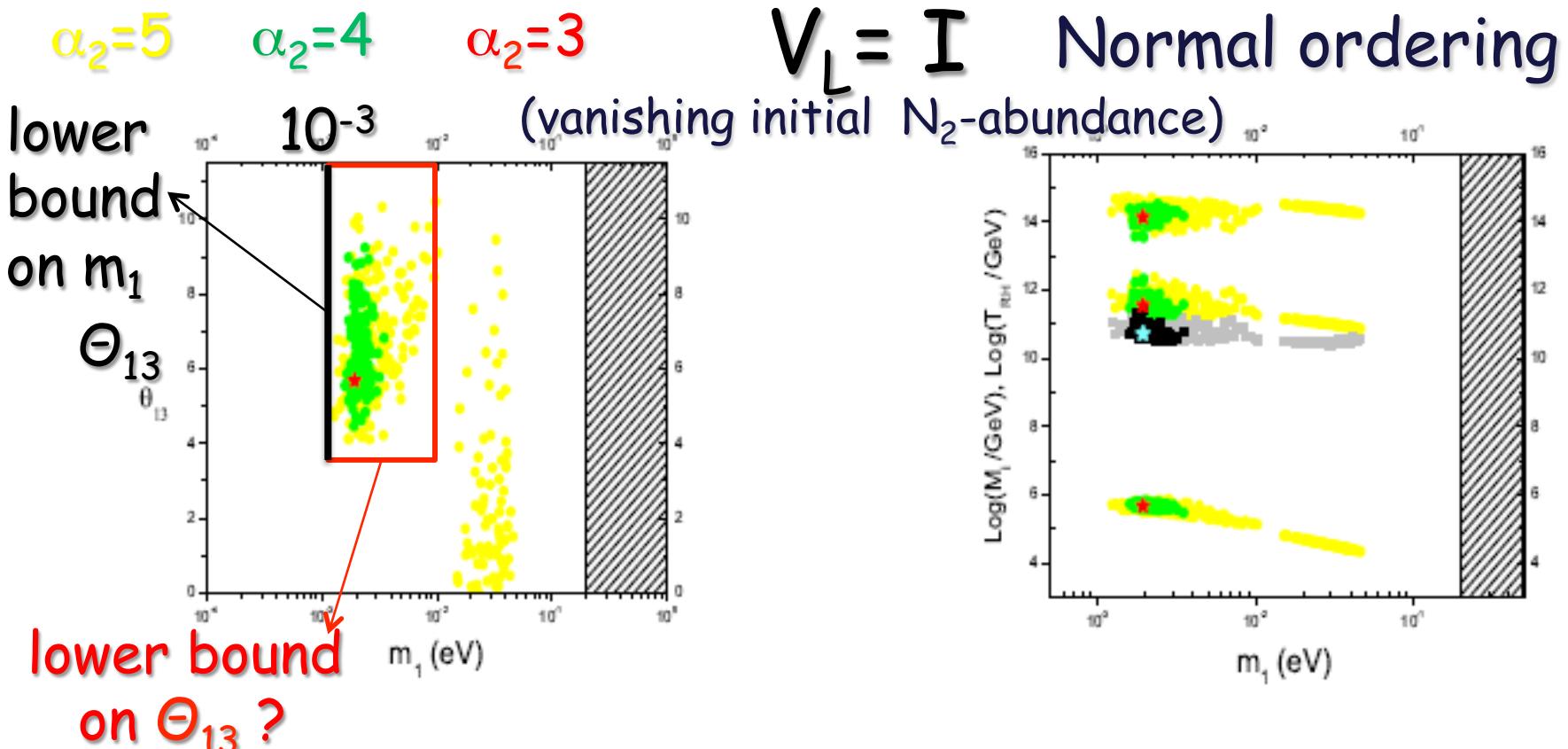
The measured  $\eta_B$  can be attained for a fine tuned choice of parameters: many models have made use of these solutions but as we will see there is another option

# The $N_2$ -dominated scenario rescues SO(10) inspired models

(PDB, Riotto '08)

$$N_{B-L}^f \simeq \varepsilon_{2e} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1e}} + \varepsilon_{2\mu} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}.$$

Independent of  $\alpha_1$  and  $\alpha_3$  !

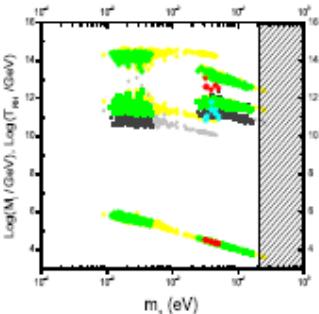


Another way to rescue SO(10) inspired models is by considering a left-right symmetric seesaw (Abada, Hosteins, Josse-Michaux, Lavignac '08)

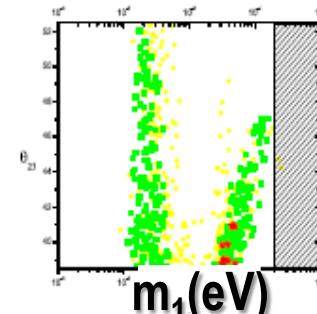
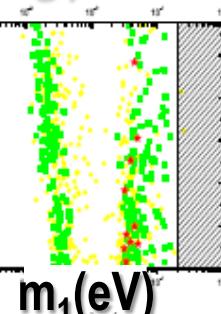
The model yields constraints on all low energy neutrino observables !

$$I \leq V_L \leq V_{CKM}$$

$M_i$



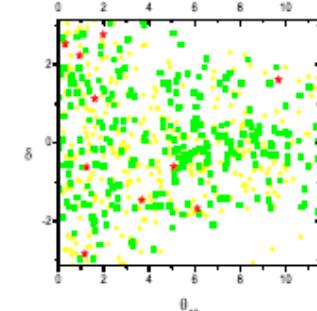
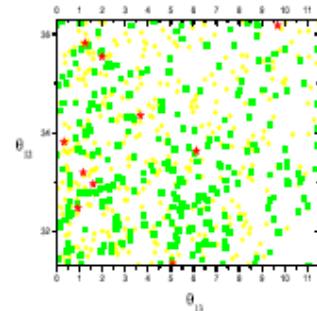
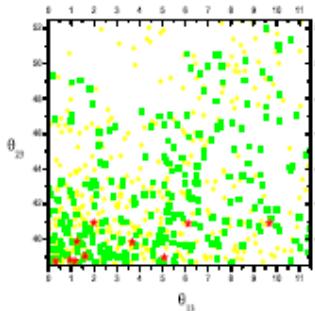
$\Theta_{13}$



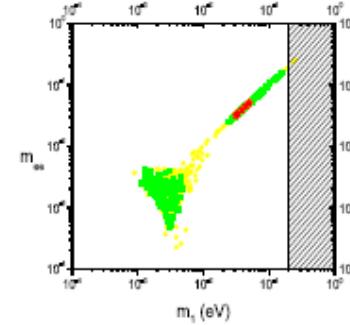
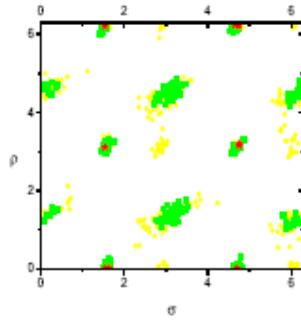
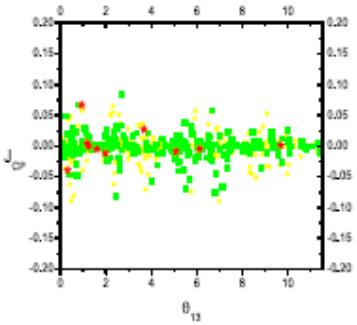
$\Theta_{23}$

NORMAL  
ORDERING

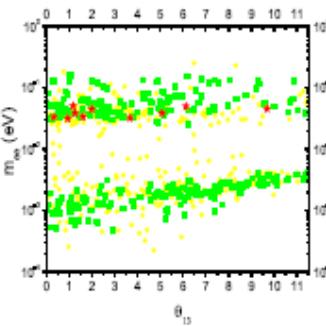
$\alpha_2=5$



$\alpha_2=4$



$\alpha_2=1$



# An improved analysis

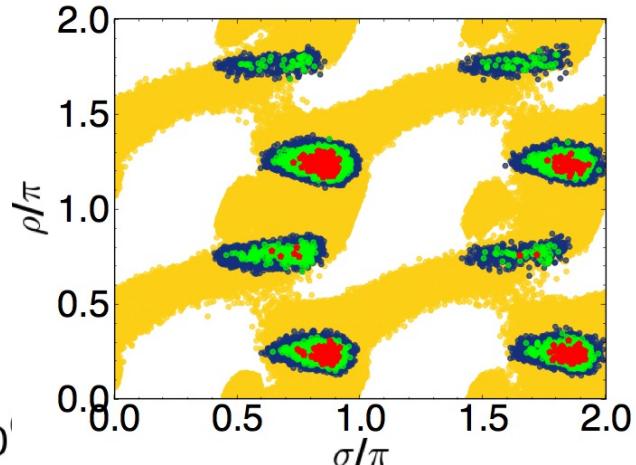
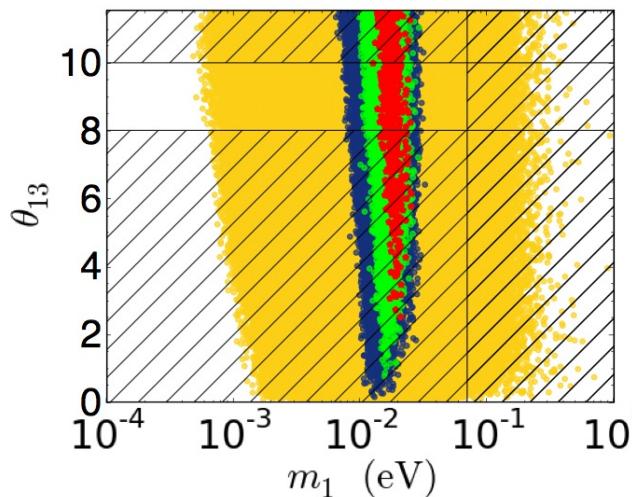
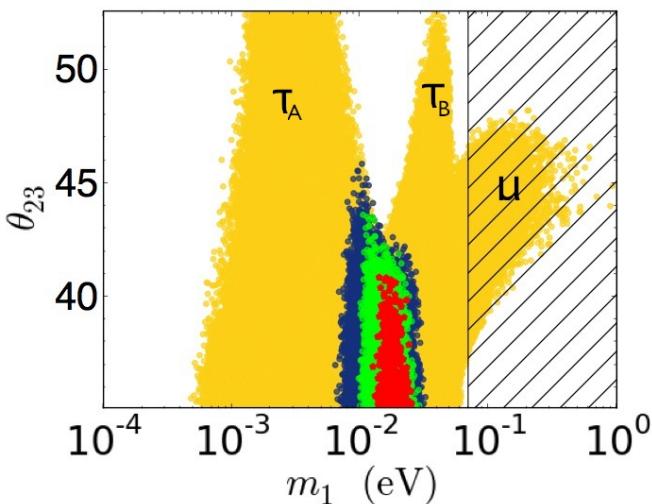
(PDB, Marzola '11-'12)

We optimised the procedure increasing of two orders of magnitudes the number of solutions (focus on yellow points for the time being):

$$\alpha_2=5$$

NORMAL ORDERING

$$I \leq V_L \leq V_{CKM}$$



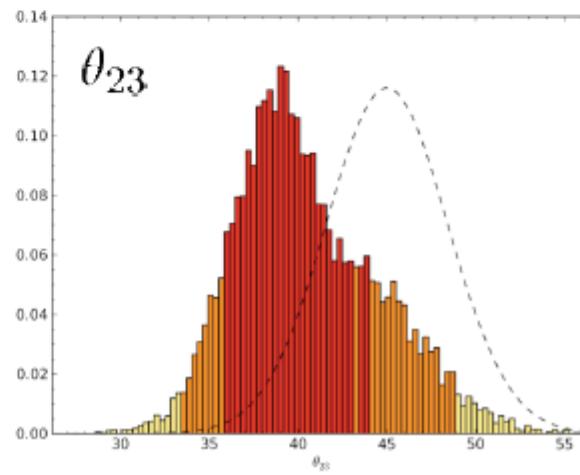
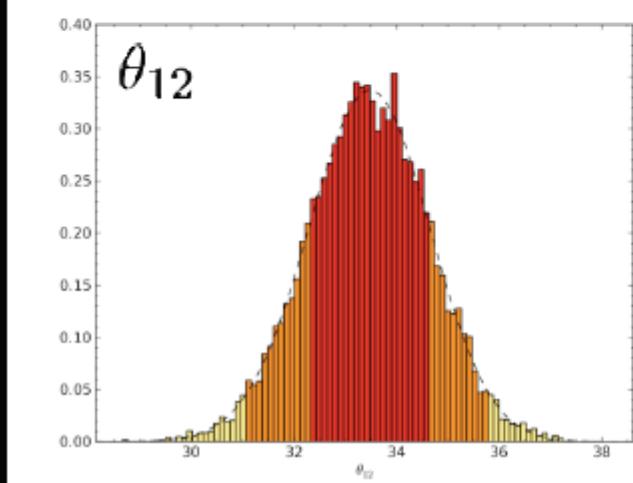
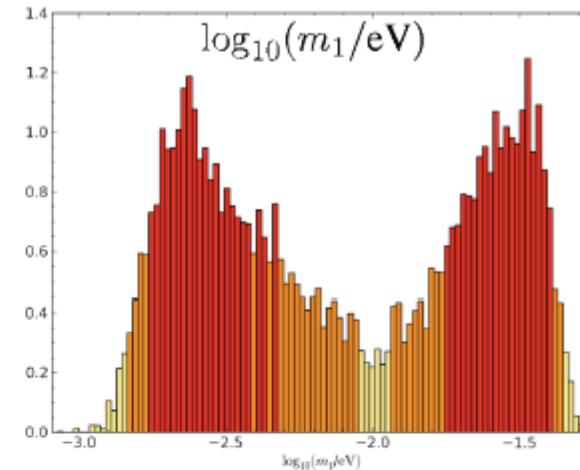
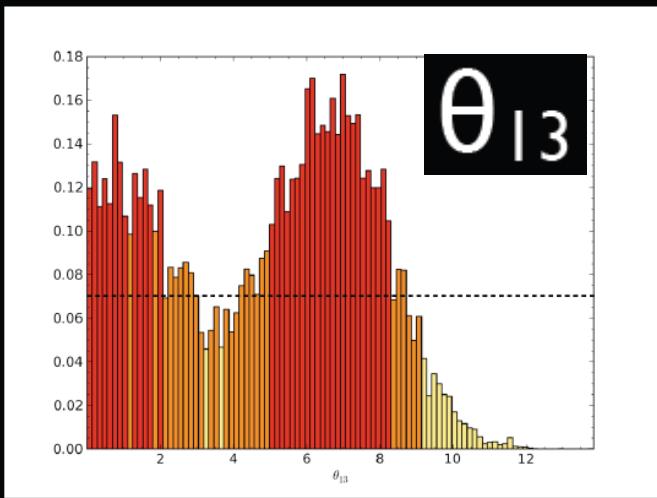
Why? Just to have sharper borders ? NO, two important reasons:  
i) statistical analysis  
ii) to obtain the blue green and red points

# A statistical analysis

P. Di Bari, L. M., S. Huber, S. Peeters - work in progress

68% C.L.

95% C.L.



Talk by Luca Marzola at the DESY theory workshop 28/9/11

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '13)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

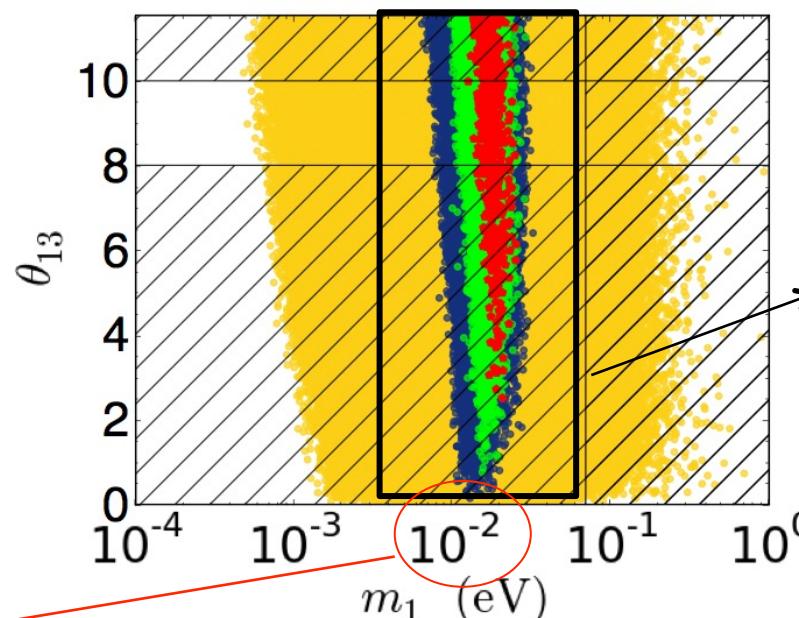
There are NO Solutions for Inverted Ordering !

But for Normal Ordering there is a subset with definite predictions

NON-VANISHING REACTOR MIXING ANGLE

$N_{B-L} = 0$   
0.001  
0.01  
0.1

$\alpha_2 = 5$



non-vanishing  
 $\Theta_{13}$   
(green and red points)

The lightest neutrino mass is constrained in a narrow range (10-30 meV)

# **SO(10)-inspired+strong thermal leptogenesis**

(PDB, Marzola '11, '12)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

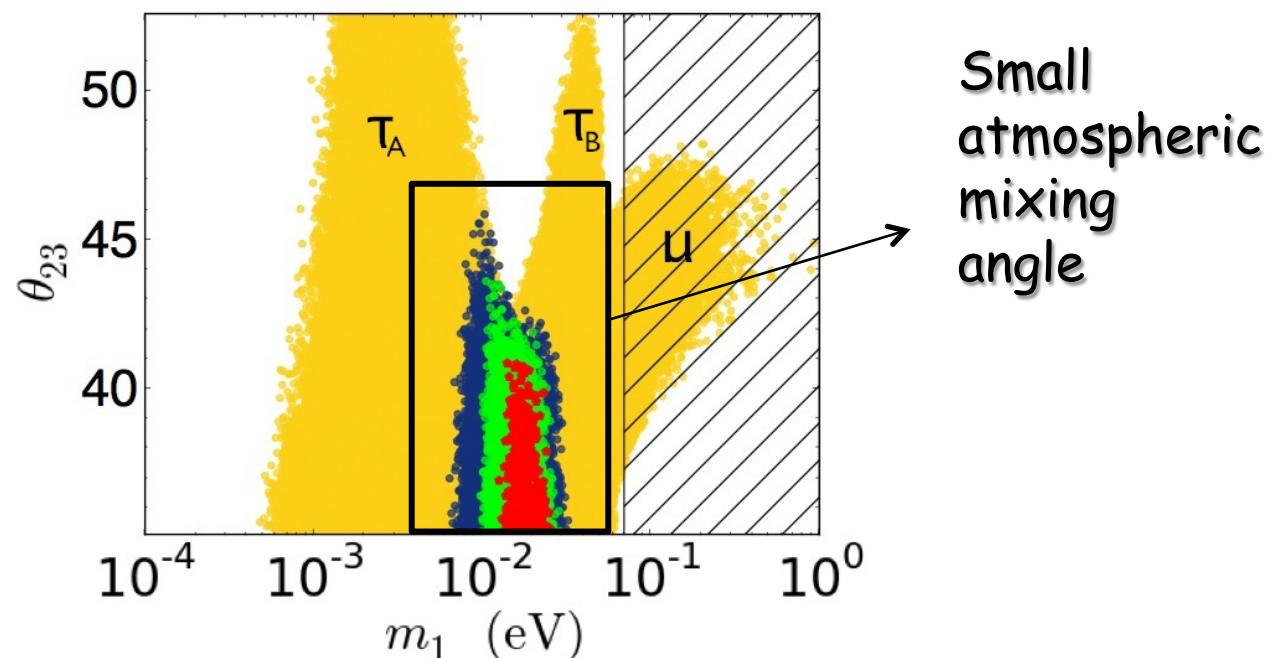
Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

## UPPER BOUND ON THE ATMOSPHERIC MIXING ANGLE

$N_{B-L} =$

- 0
- 0.001
- 0.01
- 0.1

$\alpha_2 = 5$



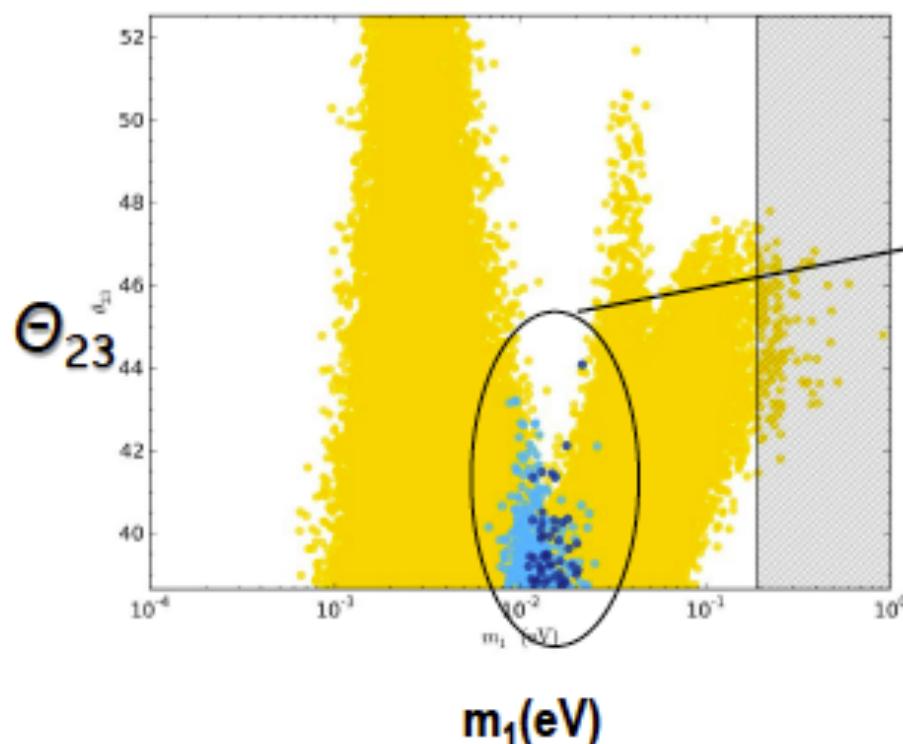
# Wash-out of a pre-existing asymmetry

(PDB, Marzola '11)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful  $SO(10)$ -inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

$N_{B-L}^{p,f} = 0$   
0.001  
0.01



→ Low values of  $\theta_{23}$

Talk at the DESY  
theory workshop  
28/9/11

# Wash-out of a pre-existing asymmetry in $SO(10)$ -inspired leptogenesis

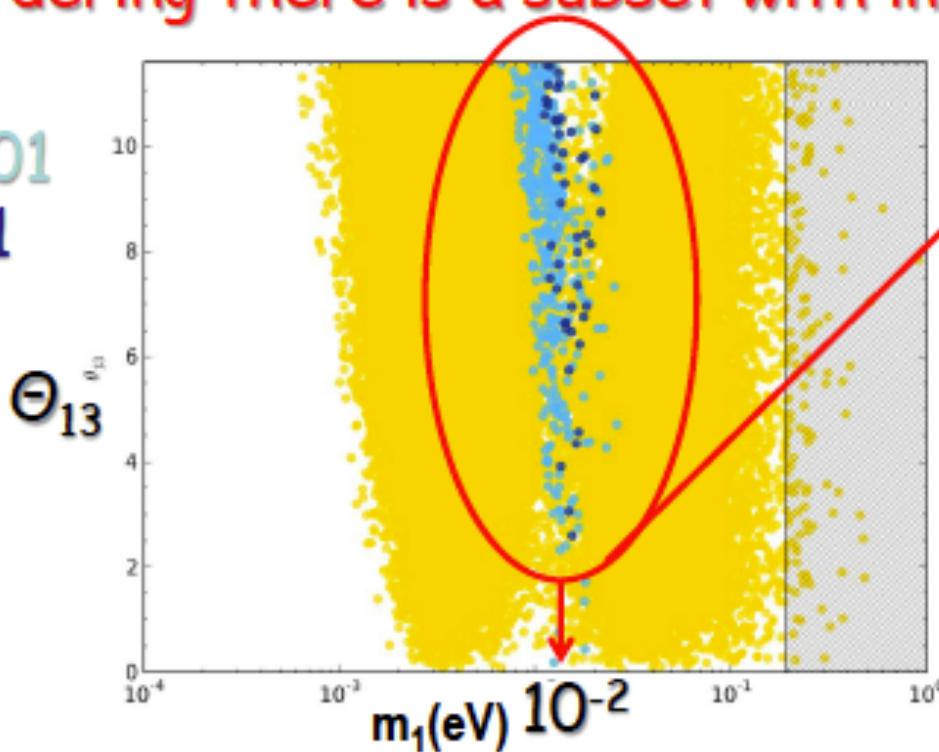
(PDB, Marzola '11)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful  $SO(10)$ -inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

NO Solutions for Inverted Ordering, while for  
Normal Ordering there is a subset with interesting predictions:

$N_{B-L}^{p,f}$  = 0  
0.001  
0.01



Non-vanishing  $\theta_{13}$   
Talk at the DESY  
theory workshop  
28/9/11

# $SO(10)$ -inspired+strong thermal leptogenesis

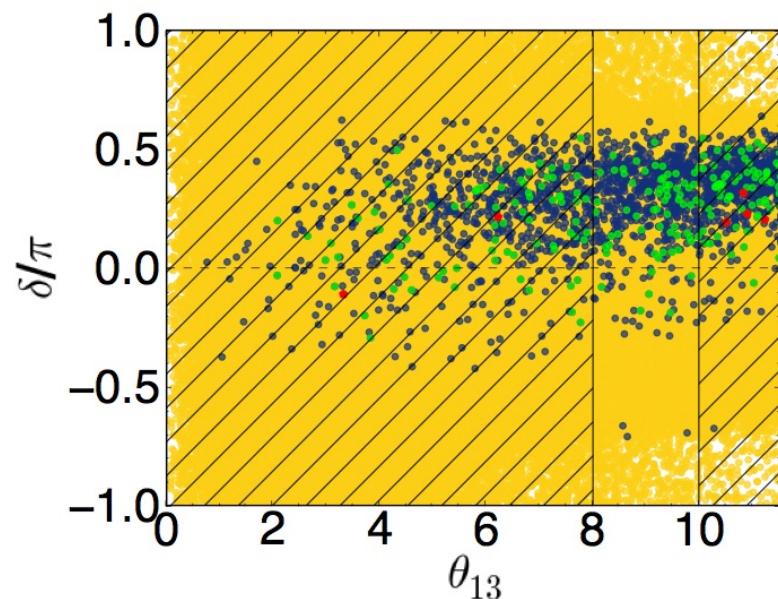
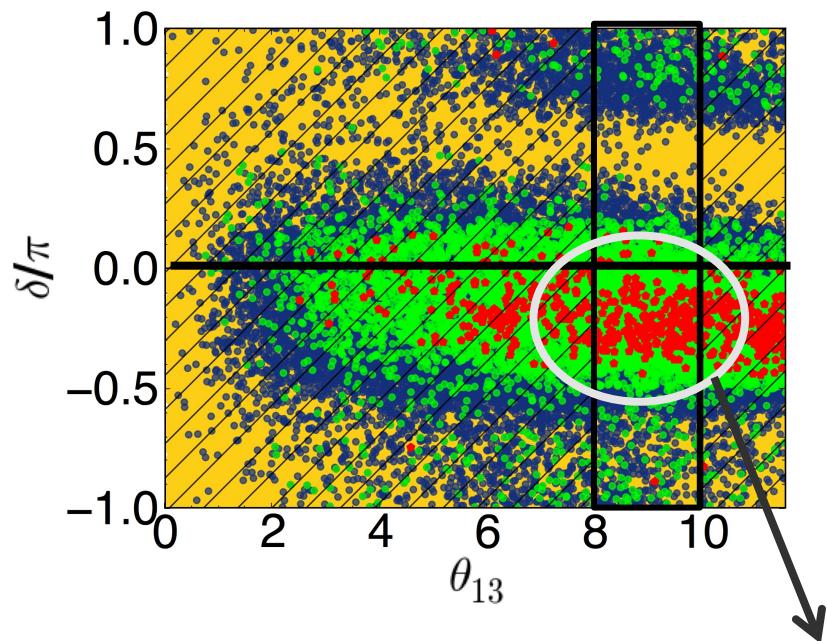
(PDB, Marzola '11-'12)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Link between the sign of  $J_{CP}$  and the sign of the asymmetry

$$\eta_B = \eta_B^{\text{CMB}}$$

$$\eta_B = -\eta_B^{\text{CMB}}$$



A Dirac phase  $\delta \sim -45^\circ$  is favoured for large  $\theta_{13}$

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

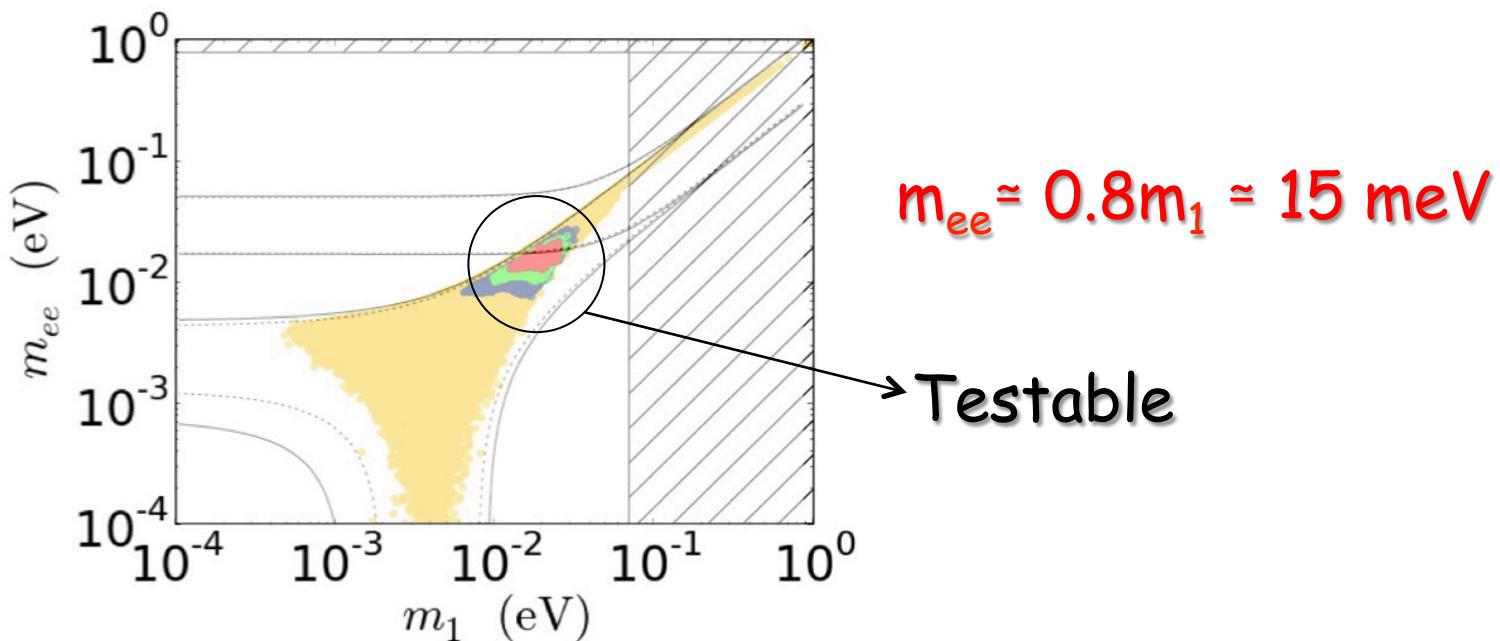
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

**Sharp prediction on the absolute neutrino mass scales**

$N_{B-L} = 0$   
0.001  
0.01  
0.1

$\alpha_2 = 5$



## Strong thermal SO(10) inspired leptogenesis: summary

- SO(10)-inspired leptogenesis is not only alive but it contains a subset of solutions able to satisfy quite a tight condition when flavour effects are taken into account: *independence of the initial conditions (strong thermal leptogenesis)*

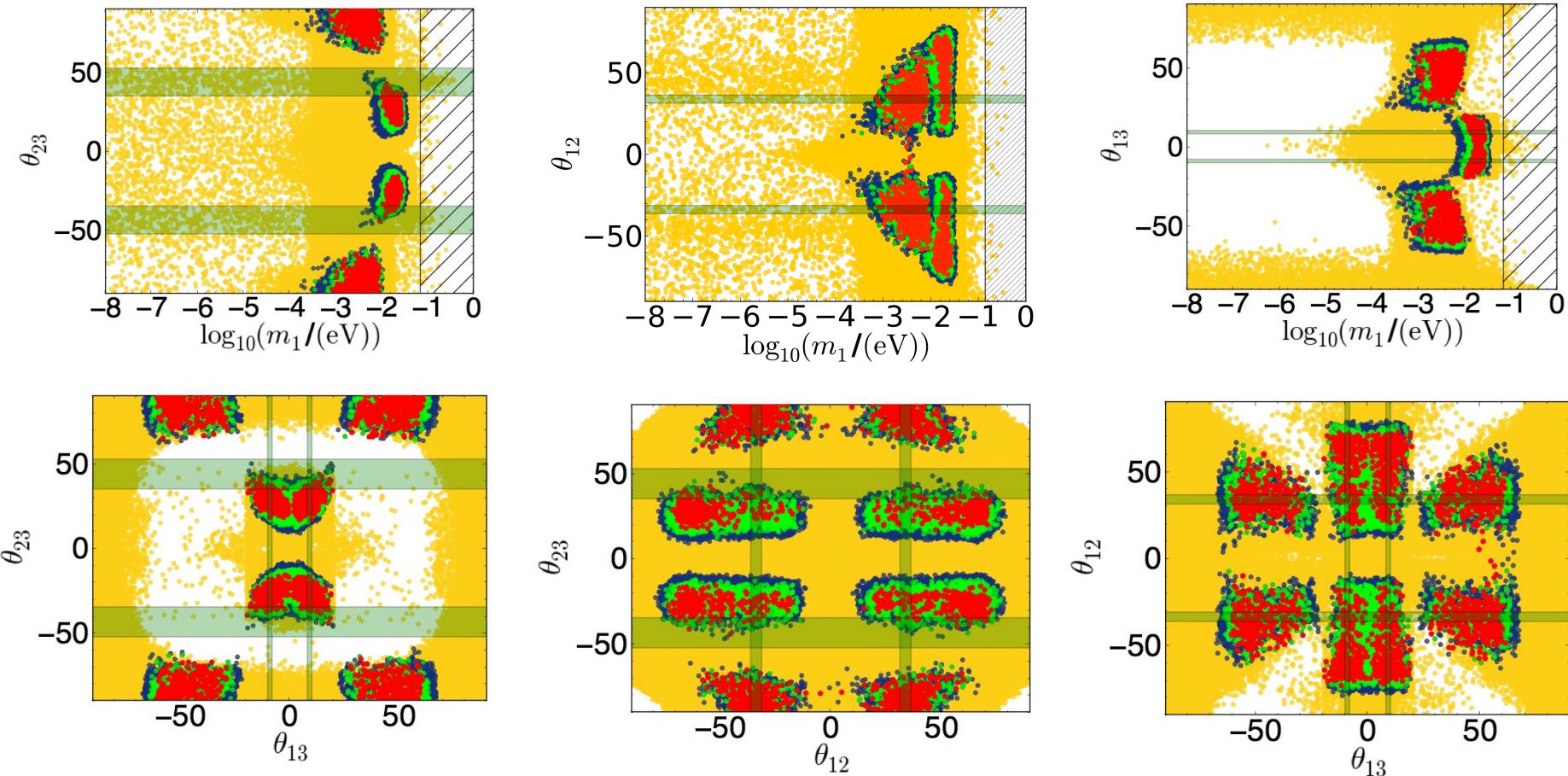
ORDERING	NORMAL
$\theta_{13}$	$\gtrsim 2^\circ$
$\theta_{23}$	$\lesssim 41^\circ$
$\delta$	$\sim -45^\circ$
$m_{ee} \approx 0.8 m_1$	$\sim 15 \text{ meV}$

- It provides an example of how (minimal) leptogenesis within a reasonable set of assumptions can yield testable predictions
- Corrections: flavour coupling, RGE effects,...
- Statistical analysis

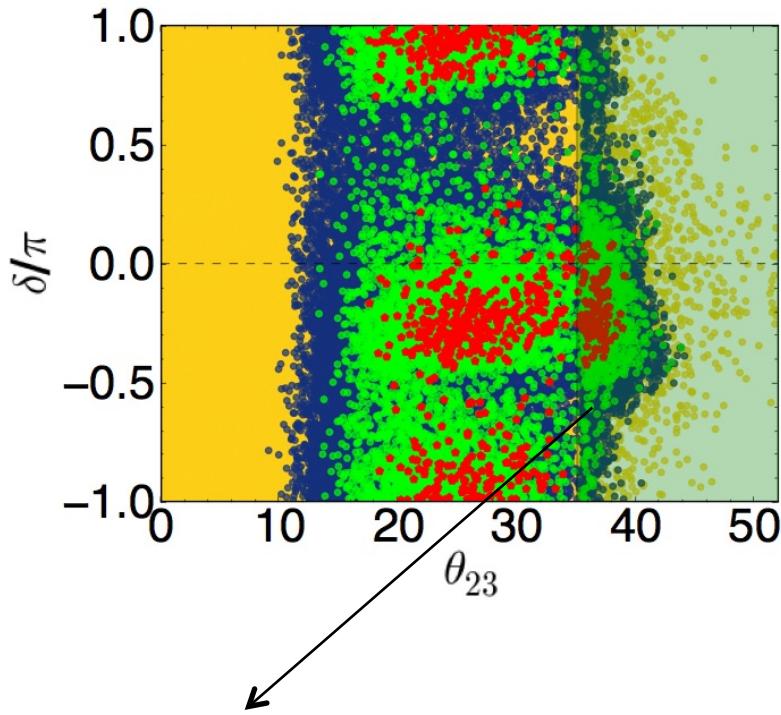
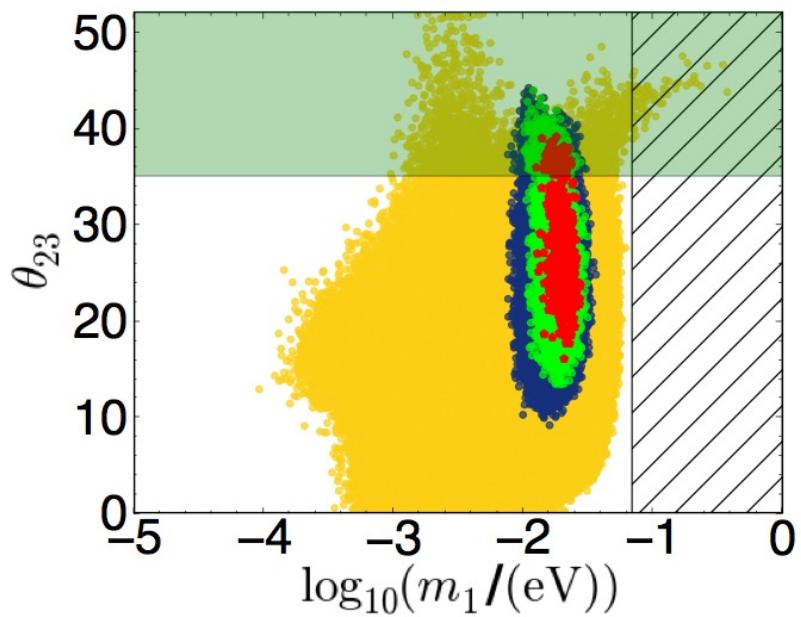
# Strong thermal SO(10)-inspired leptogenesis: on the right track?

(PDB, Marzola '13)

If we do not plug any experimental information (mixing angles left completely free) :



# Strong thermal SO(10)-inspired leptogenesis: the atmospheric mixing angle test



The allowed range for the Dirac phase gets narrower at large values of  $\Theta_{23} \gtrsim 35^\circ$

# Conclusion

The interplay between heavy neutrino and charged lepton flavour effects introduces many new ingredients in the calculation of the final asymmetry and a density matrix formalism becomes more necessary for a correct calculation of the asymmetry

All this finds a nice application in  $SO(10)$  inspired models

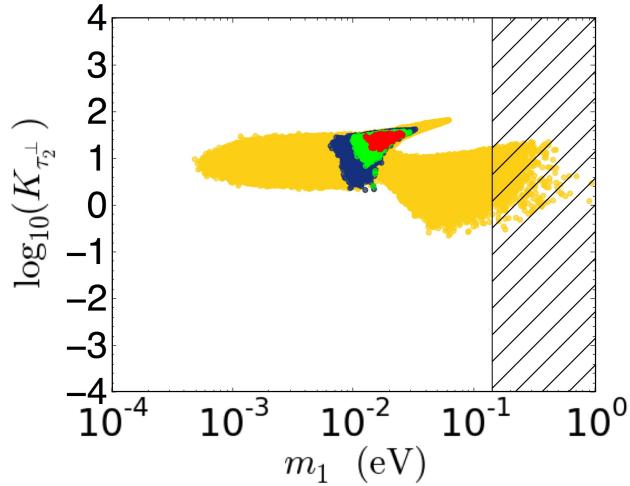
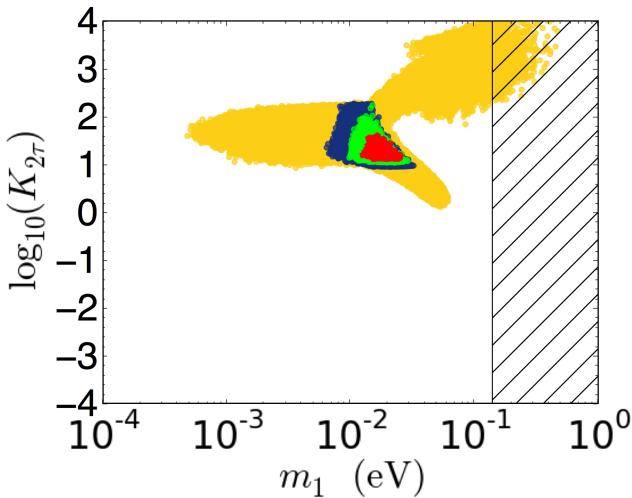
Especially when the strong thermal condition is imposed, These are able to produce a scenario of leptogenesis with definite predictions on low energy neutrino parameters and with the next experimental developments all this could become exciting or easily ruled out...in any case it represents an example of how a minimal high scale leptogenesis scenario can be falsifiable

Strong thermal  
 $SO(10)$ -inspired  
leptogenesis  
solution

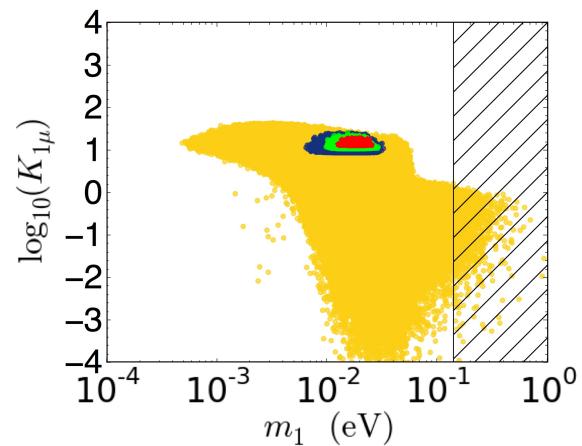
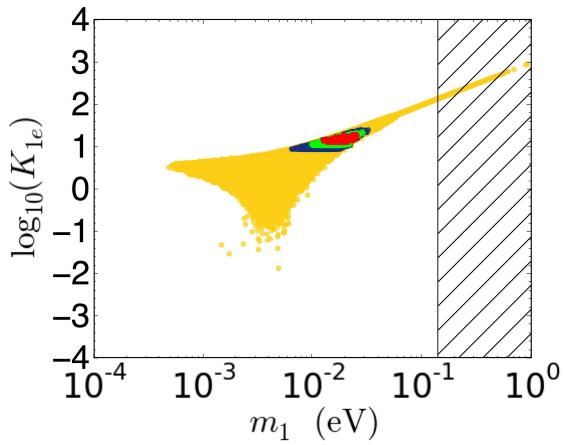
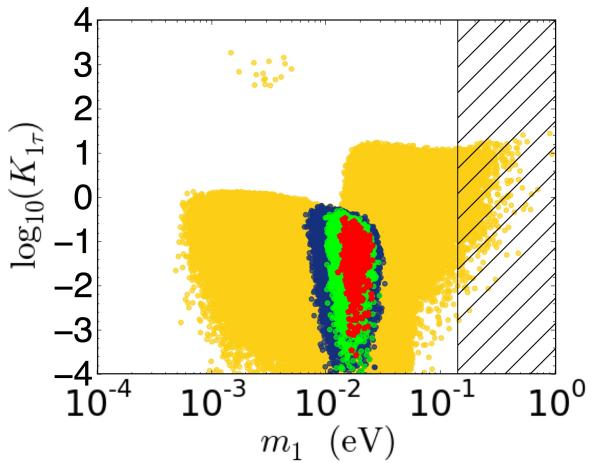
ORDERING	NORMAL
$\theta_{13}$	$\gtrsim 2^\circ$
$\theta_{23}$	$\lesssim 41^\circ$
$\delta$	$\sim -40^\circ$
$m_{ee} \approx 0.8 m_1$	$\approx 15 \text{ meV}$

# Some insight from the decay parameters

At the production  
( $T \sim M_2$ )



At the wash-out ( $T \sim M_1$ )



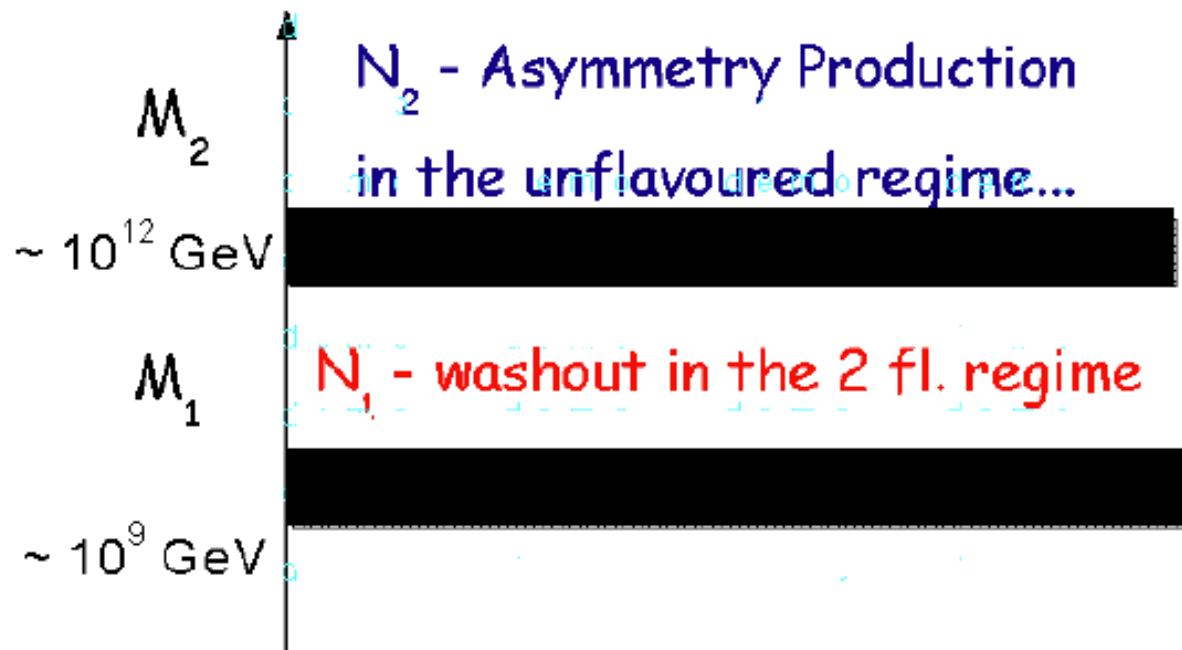
# Interplay between lepton and heavy neutrino flavour effects:

- **N<sub>2</sub> flavoured leptogenesis**  
( Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)
- **Phantom leptogenesis**  
( Antusch, PDB, King, Jones '10;  
Blanchet, PDB, Jones, Marzola '11)
- **Flavour projection**  
( Barbieri, Creminelli, Stumia, Tetradis '00;  
Engelhard, Grossman, Nardi, Nir '07)
- **Flavour coupling**  
(Abada, Josse Michaux '07, Antusch, PDB, King, Jones '10)

# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Consider this situation



What happens to  $N_{B-L}$  at  $T \sim 10^{12} \text{ GeV}$ ?

How does it split into a  $N_{\Delta T}$  component and into a  $N_{\Delta e+\mu}$  component?  
One could think:

$$N_{\Delta T} = p_{2T} N_{B-L},$$

$$N_{\Delta e+\mu} = p_{2e+\mu} N_{B-L}$$

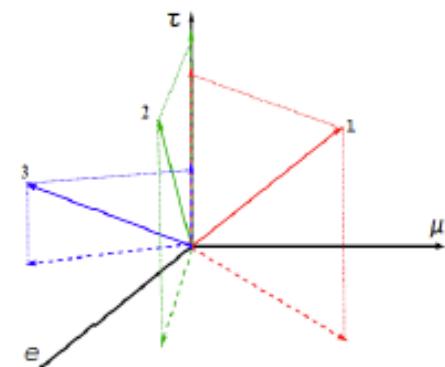
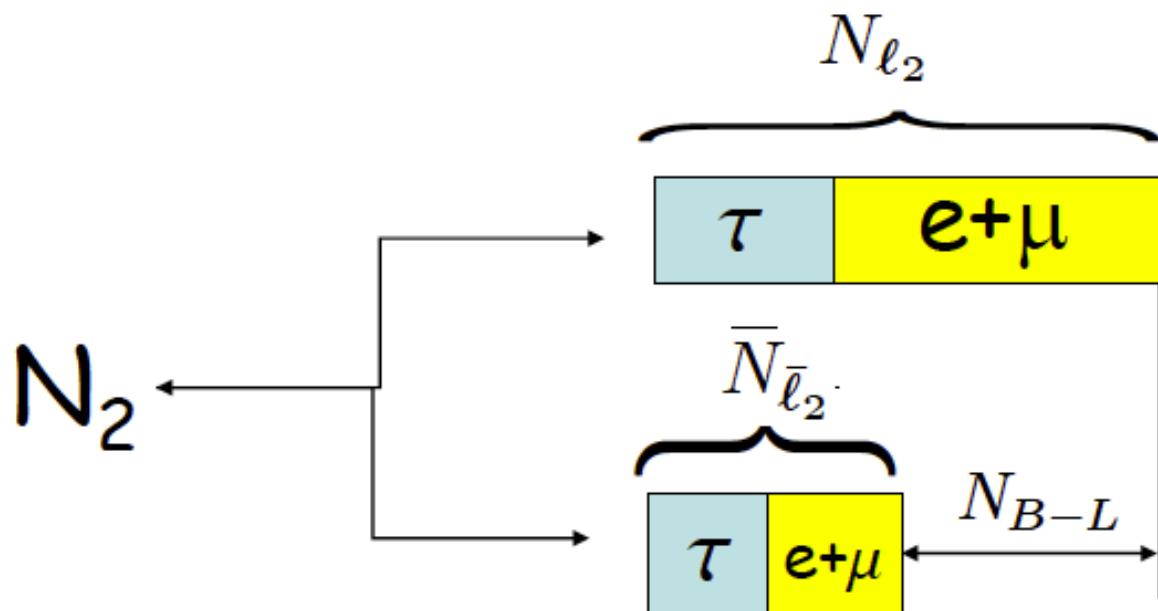
# Phantom terms

However one has to consider that in the unflavoured case there are contributions to  $N_{\Delta_T}$  and  $N_{\Delta e+\mu}$  that are not just proportional to  $N_{B-L}$

Remember that:

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

Assume an initial thermal  $N_2$ -abundance at  $T \sim M_2 \gg 10^{12}$  GeV



# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Let us then consider a situation where  $K_2 \gg 1$  so that at the end of the  $N_2$  washout the total asymmetry is negligible:

1)  $T \sim M_2$  : unflavoured regime

$\tau$	$e + \mu$
$\bar{\tau}$	$\bar{e} + \bar{\mu}$

$$\Rightarrow N_{B-L}^{T \sim M_2} \simeq 0 !$$

2)  $10^{12} \text{ GeV} \gtrsim T \gg M_1$  : decoherence  $\Rightarrow$  2 flavoured regime

$$N_{B-L}^{T \sim M_2} = N_{\Delta\tau}^{T \sim M_2} + N_{\Delta e+\mu}^{T \sim M_2} \simeq 0 !$$

3)  $T \simeq M_1$ : asymmetric washout from lightest RH neutrino

Assume  $K_{1\tau} \lesssim 1$  and  $K_{1e+\mu} \gg 1$

$$N_{B-L}^f \simeq N_{\Delta\tau}^{T \sim M_2} !$$

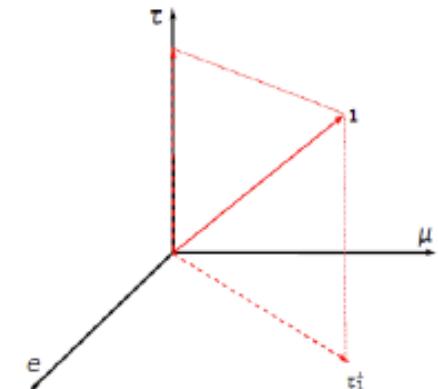
The  $N_1$  wash-out un-reveal the phantom term and effectively it creates a  $N_{B-L}$  asymmetry.

# Phantom Leptogenesis within a density matrix formalism

(Blanchet, PDB, Marzola, Jones '11-12')

In a picture where the gauge interactions are neglected the lepton and anti-leptons density matrices can be written as:

$$N_{\Delta_\tau}^{\text{phantom}} = \frac{\Delta p_{2\tau}}{2} N_{N_2}^{\text{in}}$$



There is a recent update (see 1112.4528 v2 to appear in JCAP)

Because of the presence of gauge interactions, the difference of flavour composition between lepton and anti-leptons is measured and this induces a wash-out of the phantom terms from Yukawa interactions though with halved wash-out rate compared to the one acting on the total asymmetry and in the end:

$$N_{\tau\tau}^{B-L,f} \simeq p_{2\tau}^0 N_{B-L}^f - \frac{\Delta p_{2\tau}}{2} \kappa(K_2/2),$$

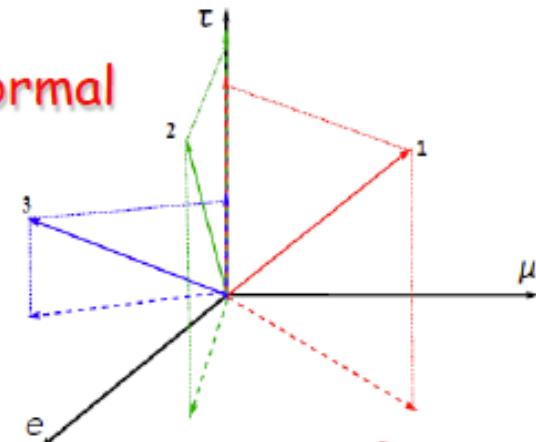
# Flavour projection

(Engelhard, Nir, Nardi '08 , Bertuzzo,PDB,Marzola '10)

Assume  $M_{i+1} \gtrsim 3M_i$  ( $i=1,2$ )

The heavy neutrino flavour basis cannot be orthonormal otherwise the CP asymmetries would vanish: this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \quad p_{ij} = \frac{|(m_D^\dagger m_D)_{ij}|^2}{(m_D^\dagger m_D)_{ii} (m_D^\dagger m_D)_{jj}}.$$



$$N_{B-L}^{(N_2)}(T \ll M_1) = N_{\Delta_1}^{(N_2)}(T \ll M_1) + N_{\Delta_{1\perp}}^{(N_2)}(T \ll M_1)$$

$\propto p_{12}$

$\propto (1-p_{12})$

Component from heavier RH neutrinos parallel to  $\mathbf{l}_1$  and washed-out by  $N_1$  inverse decays

Contribution from heavier RH neutrinos orthogonal to  $\mathbf{l}_1$  and escaping  $N_1$  wash-out

$$N_{\Delta_1}^{(N_2)}(T \ll M_1) = p_{12} e^{-\frac{3\pi}{8} K_1} N_{B-L}^{(N_2)}(T \sim M_2)$$

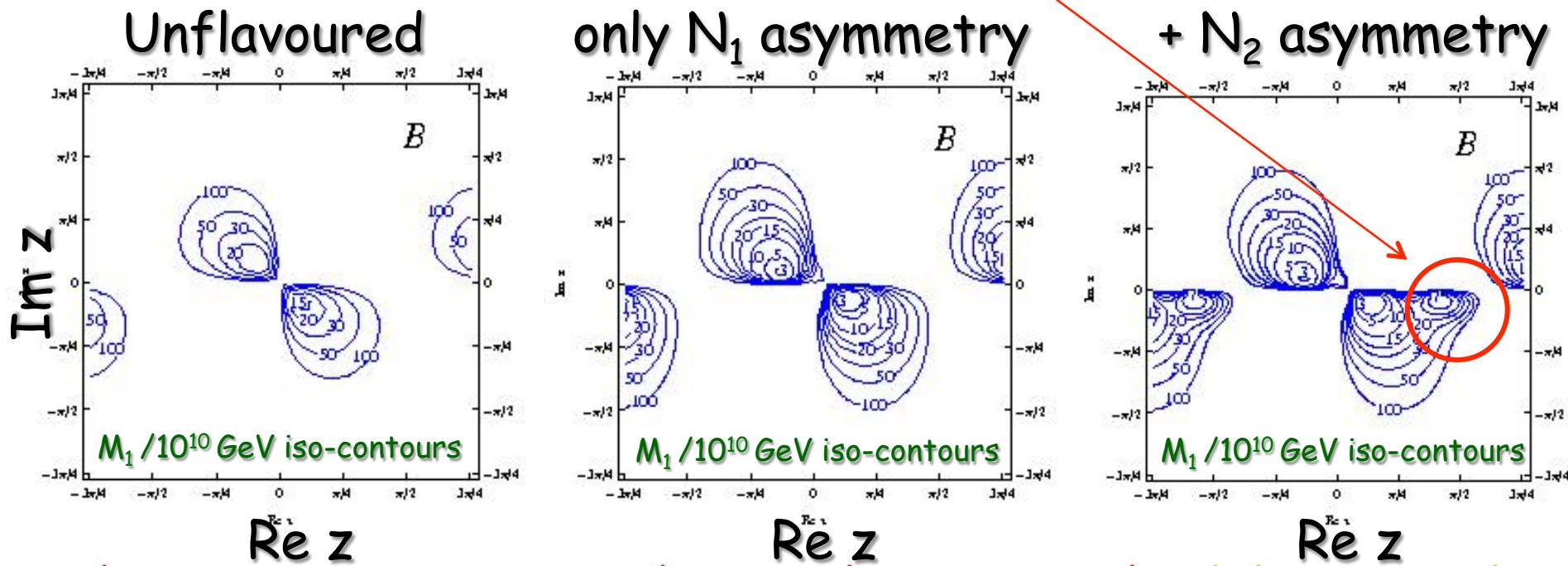
# 2 RH neutrino scenario revisited

(King 2000;Frampton,Yanagida,Glashow '01,Ibarra, Ross 2003;Antusch, PDB,Jones,King '11)

In the 2 RH neutrino scenario the  $N_2$  production has been so far considered to be safely negligible because  $\varepsilon_{2a}$  were supposed to be strongly suppressed and very strong  $N_1$  wash-out. **But taking into account:**

- the  $N_2$  asymmetry  $N_1$ -orthogonal component
- an additional unsuppressed term to  $\varepsilon_{2a}$

**New allowed  $N_2$  dominated regions appear**



These regions are interesting because they correspond to light sequential dominated neutrino mass models realized in some grandunified models

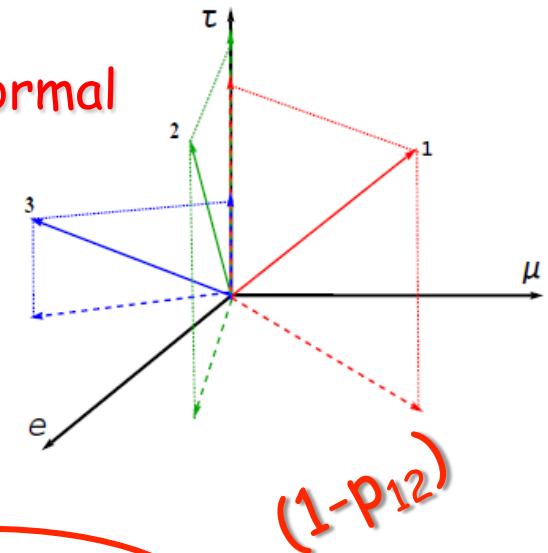
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$$N_{B_i L}^{(N_2)}(T \dot{\epsilon} M_1) = N_{\zeta_1}^{(N_2)}(T \dot{\epsilon} M_1) + N_{\zeta_{1?}}^{(N_2)}(T \dot{\epsilon} M_1)$$

Component from heavier RH neutrinos parallel to  $\ell_1$  and washed-out by  $N_1$  inverse decays

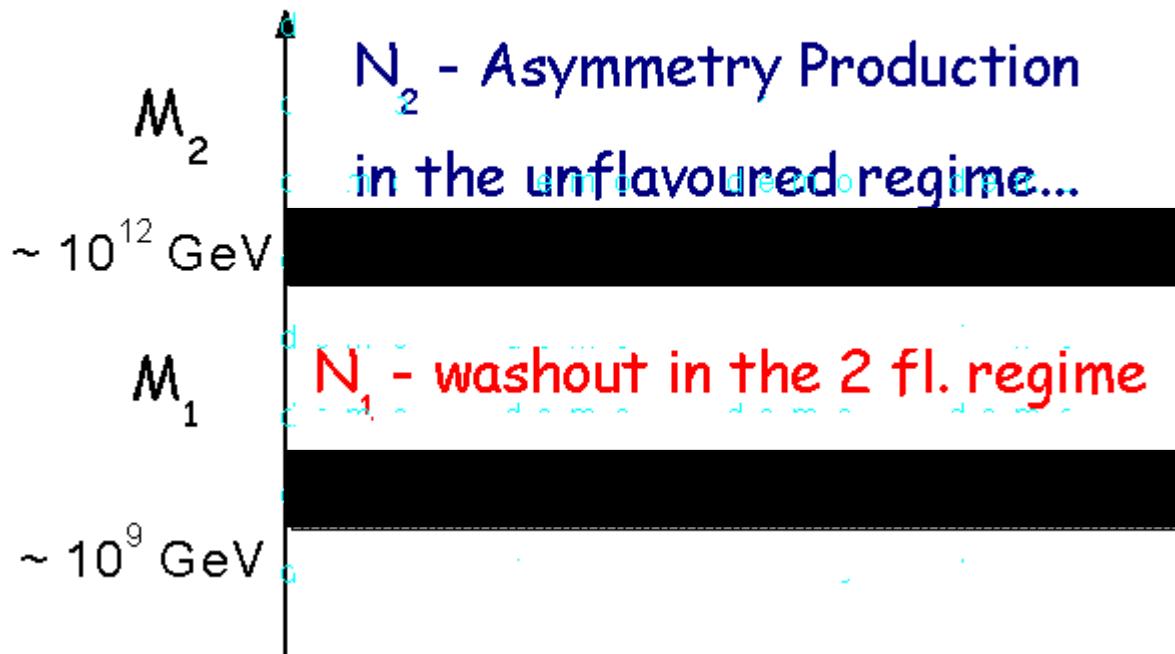
Contribution from heavier RH neutrinos orthogonal to  $\ell_1$  and escaping  $N_1$  wash-out

$$N_{\zeta_1}^{(N_2)}(T \dot{\epsilon} M_1) = p_{12} e^{i \frac{3\pi}{8} K_1} N_{B_i L}^{(N_2)}(T \gg M_2)$$

# Phantom Leptogenesis

( Antusch, PDB, King, Jones '10)

Consider this situation



What happens to  $N_{B-L}$  at  $T \sim 10^{12} \text{ GeV}$ ?

How does it split into a  $N_{\Delta T}$  component and into a  $N_{\Delta e+\mu}$  component?  
One could think:

$$N_{\Delta T} = p_{2T} N_{B-L},$$

$$N_{\Delta e+\mu} = p_{2e+\mu} N_{B-L}$$

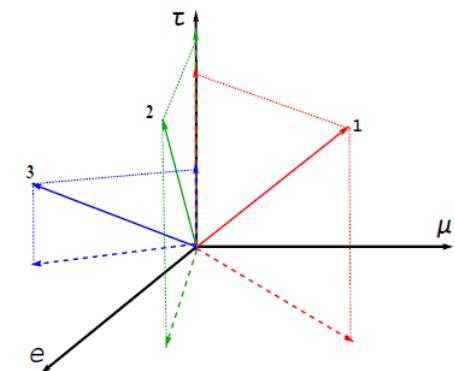
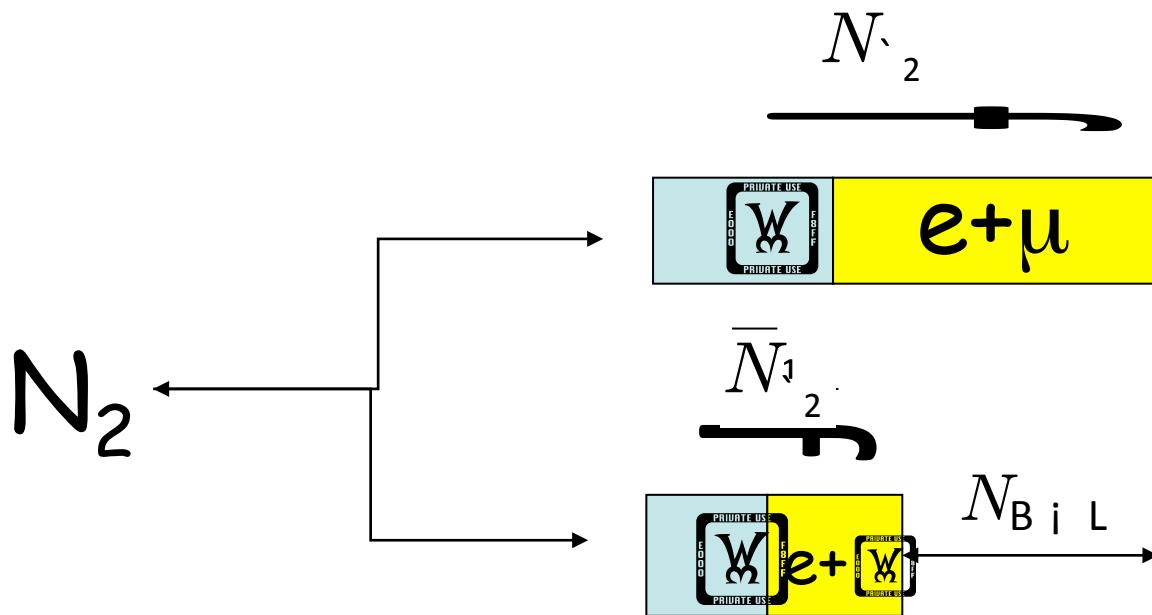
# Phantom terms

However one has to consider that in the unflavoured case there are contributions to  $N_{\Delta_T}$  and  $N_{\Delta e+\mu}$  that are not just proportional to  $N_{B-L}$

Remember that:

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

Assume an initial thermal  $N_2$ -abundance at  $T \sim M_2 \gg 10^{12} \text{ GeV}$

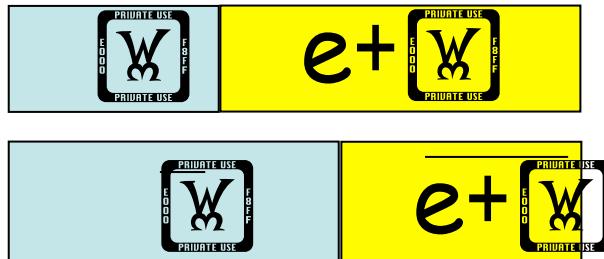


# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Let us then consider a situation where  $K_2 \gg 1$  so that at the end of the  $N_2$  washout the total asymmetry is negligible:

1)  $T \sim M_2$  : unflavoured regime



$$N_{B-L}^T \gg M_2 , 0 !$$

2)  $10^{12} \text{ GeV}$   $T \gg M_1$  : decoherence 2 flavoured regime

$$N_{B-L}^T \gg M_2 = N_{\zeta_d}^T \gg M_2 + N_{\zeta_{e^+}}^T \gg M_2 , 0 !$$

3)  $T \ll M_1$ : asymmetric washout from lightest RH neutrino

Assume  $K_{1_T} \ll 1$  and  $K_{1_{e+\mu}} \gg 1$

$$N_{B-L}^f , N_{\zeta_d}^T \gg M_2 !$$

The  $N_1$  wash-out un-reveal the phantom term and effectively it creates a  $N_{B-L}$  asymmetry. Fully confirmed within a density matrix formalism (Blanchet, PDB, Marzola, Jones '11)

# Remarks on phantom Leptogenesis

We assumed an initial  $N_2$  thermal abundance but if we were assuming An initial vanishing  $N_2$  abundance the phantom terms were just zero !

$$N_{\zeta_i}^{\text{phantom}} = \frac{\zeta p_{2i}}{2} N_{N_2}^{\text{in}}$$

The reason is that if one starts from a vanishing abundance during the  $N_2$  production one creates a contribution to the phantom term by **inverse decays** with opposite sign and exactly cancelling with what is created in the decays

In conclusion ...phantom leptogenesis introduces additional strong dependence on the initial conditions

NOTE: in **strong thermal leptogenesis** phantom terms are also washed out: full independence of the initial conditions!

Phantom terms cannot contribute to the final asymmetry in  $N_1$  leptogenesis but (canceling) flavoured asymmetries can be much bigger than the baryon asymmetry and have implications in active-sterile neutrino oscillations

$$I \leq V_L \leq V_{CKM}$$

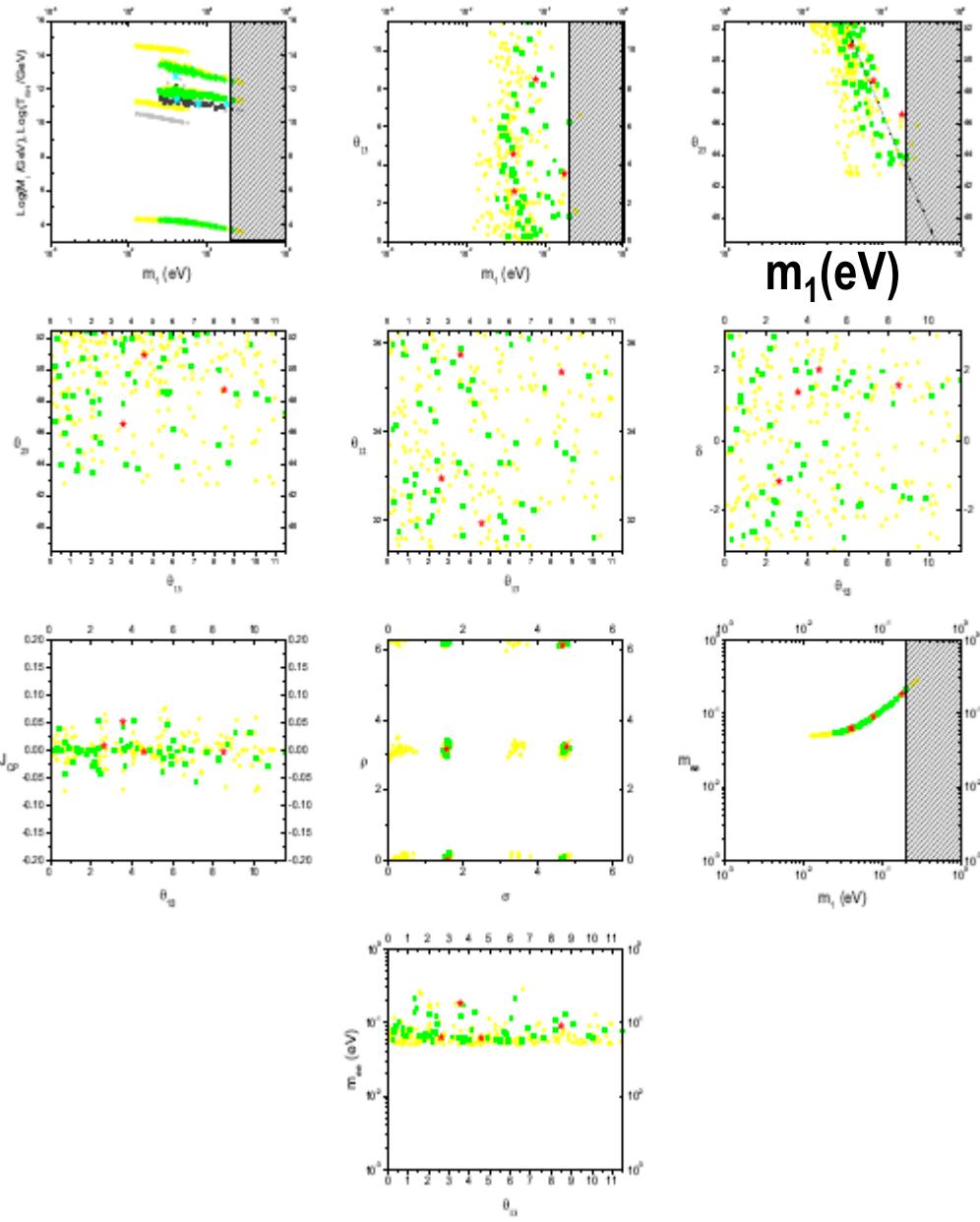
$\Theta_{23}$

INVERTED  
ORDERING

$\alpha_2=5$

$\alpha_2=4$

$\alpha_2=1.5$



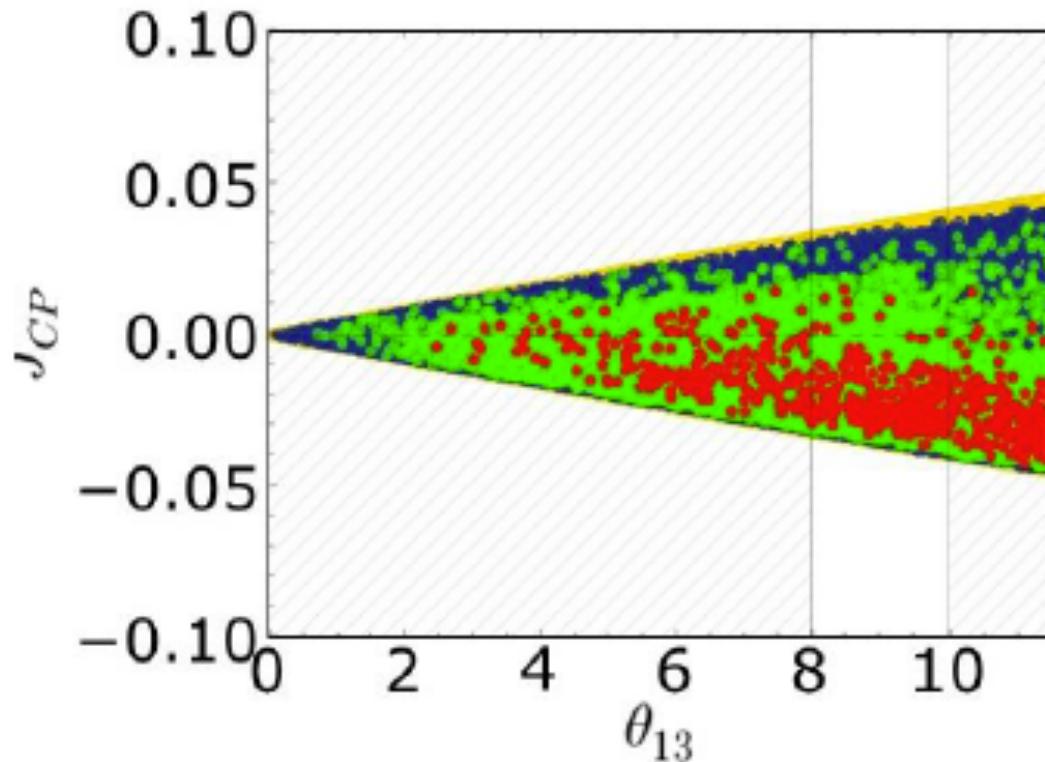
# No link between the sign of the asymmetry and $J_{CP}$

(PDB, Marzola)

$\alpha_2=5$

NORMAL  
ORDERING

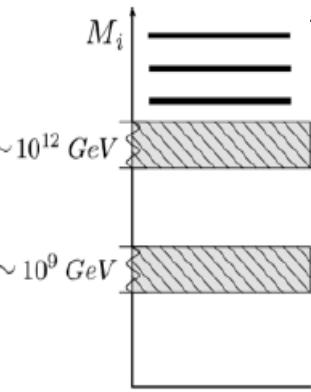
$I \leq V_L \leq V_{CKM}$



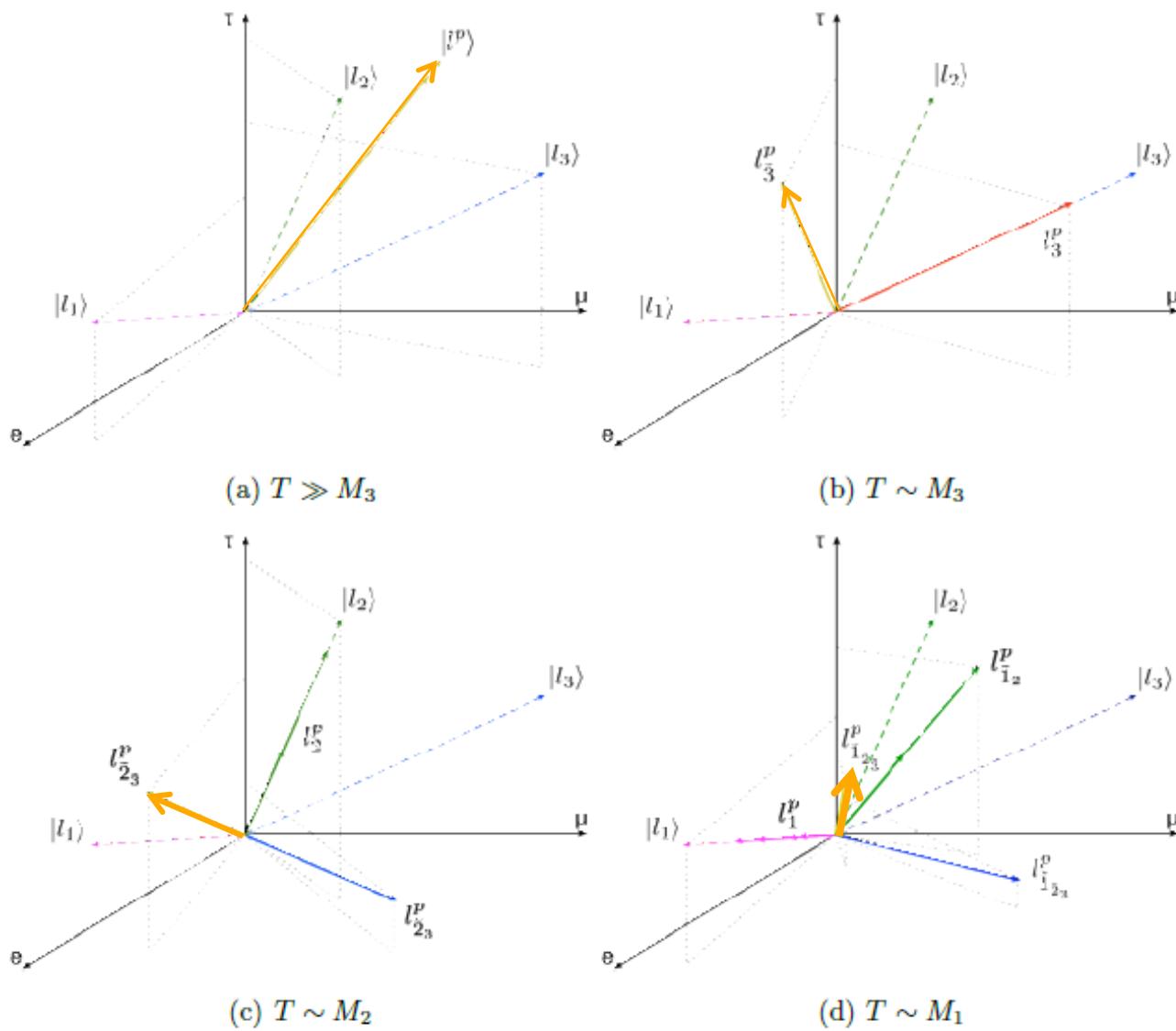
It is confirmed that there is no link between the matter-antimatter asymmetry and CP violation in neutrino mixing.....for the yellow points

WHAT ARE THE NON-YELLOW POINTS ?

Example: The heavy neutrino flavored scenario cannot satisfy the strong thermal leptogenesis condition

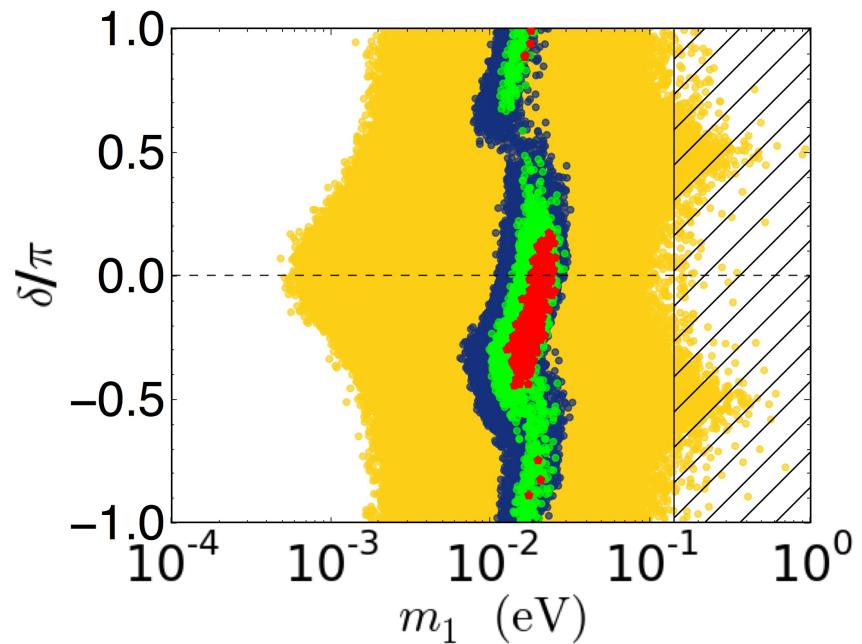


The pre-existing asymmetry (yellow) undergoes a 3 step flavour projection



# Link between the sign of $J_{CP}$ and the sign of the asymmetry

$$\eta_B = \eta^{CMB}_B$$



$$\eta_B = -\eta^{CMB}_B$$

